

## **FRAGMENTATION-BASED METHODS AND SYSTEMS FOR SEQUENCE VARIATION DETECTION AND DISCOVERY**

### **RELATED APPLICATIONS**

Benefit of priority under §119(e) is claimed to U.S. Provisional Application Serial No. 60/429,895, filed November 27, 2002, entitled "Fragmentation-based Methods and Systems for Sequence Variation  
5 Detection and Discovery", the subject matter of which is incorporated herein in its entirety.

The subject matter of each of the following applications also is incorporated herein by reference in its entirety: U.S. Provisional Application Serial No. 60/466,006, filed April 25, 2003, entitled  
10 "Fragmentation-based Methods and Systems for *de novo* Sequencing", and International PCT Application entitled "Fragmentation-based Methods and Systems for Sequence Variation Detection and Discovery", filed November 26, 2003, attorney Docket No. 24736-2073PC.

### **BACKGROUND**

15 The genetic information of all living organisms (e.g., animals, plants and microorganisms) is encoded in deoxyribonucleic acid (DNA). In humans, the complete genome contains of about 100,000 genes located on 24 chromosomes (The Human Genome, T. Strachan, BIOS Scientific Publishers, 1992). Each gene codes for a specific protein, which after its  
20 expression *via* transcription and translation, fulfills a specific biochemical function within a living cell.

A change or variation in the genetic code can result in a change in the sequence or level of expression of mRNA and potentially in the protein encoded by the mRNA. These changes, known as polymorphisms  
25 or mutations, can have significant adverse effects on the biological activity of the mRNA or protein resulting in disease. Mutations include nucleotide deletions, insertions, substitutions or other alterations (*i.e.*, point mutations).

Many diseases caused by genetic polymorphisms are known and include hemophilia, thalassemia, Duchenne Muscular Dystrophy (DMD), Huntington's Disease (HD), Alzheimer's Disease and Cystic Fibrosis (CF) (Human Genome Mutations, D. N. Cooper and M. Krawczak, BIOS Publishers, 1993). Genetic diseases such as these can result from a single addition, substitution, or deletion of a single nucleotide in the deoxynucleic acid (DNA) forming the particular gene. In addition to mutated genes, which result in genetic disease, certain birth defects are the result of chromosomal abnormalities such as Trisomy 21 (Down's Syndrome), Trisomy 13 (Patau Syndrome), Trisomy 18 (Edward's Syndrome), Monosomy X (Turner's Syndrome) and other sex chromosome aneuploidies such as Klinefelter's Syndrome (XXY). Further, there is growing evidence that certain DNA sequences can predispose an individual to any of a number of diseases such as diabetes, arteriosclerosis, obesity, various autoimmune diseases and cancer (e.g., colorectal, breast, ovarian, lung).

A change in a single nucleotide between genomes of more than one individual of the same species (*e.g.*, human beings), that accounts for heritable variation among the individuals, is referred to as a "single nucleotide polymorphism" or "SNP." Not all SNPs result in disease. The effect of an SNP, dependent on its position and frequency of occurrence, can range from harmless to fatal. Certain polymorphisms are thought to predispose some individuals to disease or are related to morbidity levels of certain diseases. Atherosclerosis, obesity, diabetes, autoimmune disorders, and cancer are a few of such diseases thought to have a correlation with polymorphisms. In addition to a correlation with disease, polymorphisms are also thought to play a role in a patient's response to therapeutic agents given to treat disease. For example, polymorphisms are believed to play a role in a patient's ability to respond to drugs, radiation therapy, and other forms of treatment.

Identifying polymorphisms can lead to better understanding of particular diseases and potentially more effective therapies for such diseases. Indeed, personalized therapy regimens based on a patient's identified polymorphisms can result in life saving medical interventions.

- 5 Novel drugs or compounds can be discovered that interact with products of specific polymorphisms, once the polymorphism is identified and isolated. The identification of infectious organisms including viruses, bacteria, prions, and fungi, can also be achieved based on polymorphisms, and an appropriate therapeutic response can be  
10 administered to an infected host.

- Since the sequence of about 16 nucleotides is specific on statistical grounds even for the size of the human genome, relatively short nucleic acid sequences can be used to detect normal and defective genes in higher organisms and to detect infectious microorganisms (e.g., bacteria,  
15 fungi, protists and yeast) and viruses. DNA sequences can even serve as a fingerprint for detection of different individuals within the same species (see, Thompson, J. S. and M. W. Thompson, eds., Genetics in Medicine, W.B. Saunders Co., Philadelphia, PA (1991)).

- Several methods for detecting DNA are used. For example, nucleic  
20 acid sequences are identified by comparing the mobility of an amplified nucleic acid molecule with a known standard by gel electrophoresis, or by hybridization with a probe, which is complementary to the sequence to be identified. Identification, however, can only be accomplished if the nucleic acid molecule is labeled with a sensitive reporter function (e.g.,  
25 radioactive ( $^{32}\text{P}$ ,  $^{35}\text{S}$ ), fluorescent or chemiluminescent). Radioactive labels can be hazardous and the signals they produce decay over time. Non-isotopic labels (e.g., fluorescent) suffer from a lack of sensitivity and fading of the signal when high intensity lasers are being used. Additionally, performing labeling, electrophoresis and subsequent  
30 detection are laborious, time-consuming and error-prone procedures.

Electrophoresis is particularly error-prone, since the size or the molecular weight of the nucleic acid cannot be directly correlated to the mobility in the gel matrix. It is known that sequence specific effects, secondary structure and interactions with the gel matrix cause artefacts. Moreover, the molecular weight information obtained by gel electrophoresis is a result of indirect measurement of a related parameter, such as mobility in the gel matrix.

Applications of mass spectrometry in the biosciences have been reported (see Meth. Enzymol., Vol. 193, *Mass Spectrometry* (McCloskey, ed.; Academic Press, NY 1990); McLafferty et al., Acc. Chem. Res. 27:297-386 (1994); Chait and Kent, Science 257:1885-1894 (1992); Siuzdak, Proc. Natl. Acad. Sci., USA 91:11290-11297 (1994)), including methods for mass spectrometric analysis of biopolymers (see Hillenkamp et al. (1991) Anal. Chem. 63:1193A-1202A) and for producing and analyzing biopolymer ladders (see, International Publ. WO 96/36732; U.S. Patent No. 5,792,664).

MALDI-MS requires incorporation of the macromolecule to be analyzed in a matrix, and has been performed on polypeptides and on nucleic acids mixed in a solid (i.e., crystalline) matrix. In these methods, a laser is used to strike the biopolymer/matrix mixture, which is crystallized on a probe tip, thereby effecting desorption and ionization of the biopolymer. In addition, MALDI-MS has been performed on polypeptides using the water of hydration (i.e., ice) or glycerol as a matrix. When the water of hydration was used as a matrix, it was necessary to first lyophilize or air dry the protein prior to performing MALDI-MS (Berkenkamp et al. (1996) Proc. Natl. Acad. Sci. USA 93:7003-7007). The upper mass limit for this method was reported to be 30 kDa with limited sensitivity (i.e., at least 10 pmol of protein was required).



MALDI-TOF mass spectrometry has been employed in conjunction with conventional Sanger sequencing or similar primer-extension based methods to obtain sequence information, including the detection of SNPs (see, *e.g.*, U.S. Patent Nos. 5,547,835; 6,194,144; 6,225,450; 5,691,141 and 6,238,871; H. Köster *et al.*, *Nature Biotechnol.*, 14:1123-1128, 1996; WO 96/29431; WO 98/20166; WO 98/12355; U.S. Patent No. 5,869,242; WO 97/33000; WO 98/54571; A. Braun *et al.*, *Genomics*, 46:18, 1997; D.P. Little *et al.*, *Nat. Med.*, 3:1413, 1997; L. Haff *et al.*, *Genome Res.*, 7:378, 1997; P. Ross *et al.*, *Nat. Biotechnol.*, 16:1347, 1998; K. Tang *et al.*, *Proc. Natl. Acad. Sci. USA*, 96:10016, 1999). Since each of the four naturally occurring nucleotide bases dC, dT, dA and dG, also referred to herein as C, T, A and G, in DNA has a different molecular weight:  $M_C = 289.2$ ;  $M_T = 304.2$ ;  $M_A = 313.2$ ;  $M_G = 329.2$ ; where  $M_C$ ,  $M_T$ ,  $M_A$ ,  $M_G$  are average molecular weights (under the natural isotopic distribution) in daltons of the nucleotide bases deoxycytidine, thymidine, deoxyadenosine, and deoxyguanosine, respectively, it is possible to read an entire sequence in a single mass spectrum. If a single spectrum is used to analyze the products of a conventional Sanger sequencing reaction, where chain termination is achieved at every base position by the incorporation of dideoxynucleotides, a base sequence can be determined by calculation of the mass differences between adjacent peaks. For the detection of SNPs, alleles or other sequence variations (*e.g.*, insertions, deletions), variant-specific primer extension is carried out immediately adjacent to the polymorphic SNP or sequence variation site in the target nucleic acid molecule. The mass of the extension product and the difference in mass between the extended and unextended product is indicative of the type of allele, SNP or other sequence variation.

U.S. Patent No. 5,622,824, describes methods for DNA sequencing based on mass spectrometric detection. To achieve this, the

DNA is by means of protection, specificity of enzymatic activity, or immobilization, unilaterally degraded in a stepwise manner *via* exonuclease digestion and the nucleotides or derivatives detected by mass spectrometry. Prior to the enzymatic degradation, sets of ordered  
5 deletions that span a cloned DNA sequence can be created. In this manner, mass-modified nucleotides can be incorporated using a combination of exonuclease and DNA/RNA polymerase. This permits either multiplex mass spectrometric detection, or modulation of the activity of the exonuclease so as to synchronize the degradative process.  
10 U.S. Patent Nos. 5,605,798 and 5,547,835 provide methods for detecting a particular nucleic acid sequence in a biological sample. Depending on the sequence to be detected, the processes can be used, for example, in methods of diagnosis.

Technologies have been developed to apply MALDI-TOF mass  
15 spectrometry to the analysis of genetic variations such as microsatellites, insertion and/or deletion mutations and single nucleotide polymorphisms (SNPs) on an industrial scale. These technologies can be applied to large numbers of either individual samples, or pooled samples to study allelic frequencies or the frequency of SNPs in populations of individuals, or in  
20 heterogeneous tumor samples. The analyses can be performed on chip-based formats in which the target nucleic acids or primers are linked to a solid support, such as a silicon or silicon-coated substrate, preferably in the form of an array (*see, e.g., K. Tang et al., Proc. Natl. Acad. Sci. USA, 96:10016, 1999*). Generally, when analyses are performed using mass  
25 spectrometry, particularly MALDI, small nanoliter volumes of sample are loaded onto a substrate such that the resulting spot is about, or smaller than, the size of the laser spot. It has been found that when this is achieved, the results from the mass spectrometric analysis are quantitative. The area under the signals in the resulting mass spectra are  
30 proportional to concentration (when normalized and corrected for

background). Methods for preparing and using such chips are described in U.S. Patent No. 6,024,925, co-pending U.S. application Serial Nos. 08/786,988, 09/364,774, 09/371,150 and 09/297,575; *see, also*, U.S. application Serial No. PCT/US97/20195, which published as

- 5 WO 98/20020. Chips and kits for performing these analyses are commercially available from SEQUENOM, INC. under the trademark MassARRAY™. MassARRAY™ relies on mass spectral analysis combined with the miniaturized array and MALDI-TOF (Matrix-Assisted Laser Desorption Ionization-Time of Flight) mass spectrometry to deliver results
- 10 rapidly. It accurately distinguishes single base changes in the size of DNA fragments associated with genetic variants without tags.

- Although the use of MALDI for obtaining nucleic acid sequence information, especially from DNA fragments as described above, offers the advantages of high throughput due to high-speed signal acquisition
- 15 and automated analysis off solid surfaces, there are limitations in its application. When the SNP or mutation or other sequence variation is unknown, the variant mass spectrum or other indicator of mass, such as mobility in the case of gel electrophoresis, must be simulated for every possible sequence change of a reference sequence that does not contain
- 20 the sequence variation. Each simulated variant spectrum corresponding to a particular sequence variation or set of sequence variations must then be matched against the actual variant mass spectrum to determine the most likely sequence change or changes that resulted in the variant spectrum. Such a purely simulation-based approach is time consuming.
- 25 For example, given a reference sequence of 1000 bases, there exist approximately 9000 potential single base sequence variations. For every such potential sequence variation, one would have to simulate the expected spectra and to match them against the experimentally measured spectra. The problem is further compounded when multiple base

variations or multiple sequence variations rather than only single base or sequence variations are present.

Therefore, there is a need to improve the accuracy of SNP, mutation and other sequence variation detection and discovery. Thus, among the objects herein, is an object to improve the accuracy of SNP, mutation and other sequence variation detection and discovery. Also among the objects herein, is an increase in the speed of SNP, mutation and sequence variation detection and discovery.

#### **SUMMARY**

10        Provided herein are methods and systems for highly accurate SNP, mutation and other sequence variation detection and discovery. The methods and systems herein permit rapid and accurate SNP, mutation and sequence variation detection and discovery.

15        Provided herein are methods and systems for detecting or discovering sequence variations, including nucleic acid polymorphisms and mutations, using techniques, such as mass spectrometry and gel electrophoresis, that are based upon molecular mass. The methods and systems provide a variety of information based on nucleic acid sequence variations. For example, such information includes, but is not limited to, 20 identifying a genetic disease or chromosome abnormality; identifying a predisposition to a disease or condition including, but not limited to, obesity, atherosclerosis, or cancer; identifying an infection by an infectious agent; providing information relating to identity, heredity, or histocompatibility; identifying pathogens (*e.g.*, bacteria, viruses and 25 fungi); providing antibiotic or other drug-resistance profiling; determining haplotypes; analyzing microsatellite sequences and STR (short tandem repeat) loci; determining allelic variation and/or frequency; analyzing cellular methylation patterns; epidemiological analysis of genotype variations; and genetic variation in evolution.

Provided herein are methods for the detection or discovery of nucleic acid sequence variations in the diagnosis of genetic diseases, predispositions to certain diseases, cancers, and infections.

Methods for detecting known mutations, SNPs, or other kinds of sequence variations (*e.g.*, insertions, deletions, errors in sequence determination) or for discovering new mutations SNPs or sequence variations by specific cleavage are provided. In these methods, fragments that are cleaved at a specific position in a target biomolecule sequence based on (i) the sequence specificity of the cleaving reagent (*e.g.*, for nucleic acids, the base specificity such as single bases A, G, C, T or U, or the recognition of modified single bases or nucleotides, or the recognition of short, between about two to about twenty base, non-degenerate as well as degenerate oligonucleotide sequences); or (ii) the structure of the target biomolecule; or (iii) physical processes, such as ionization by collision-induced dissociation during mass spectrometry; or (iv) a combination thereof, are generated from the target biomolecule. The analysis of fragments rather than the full length biomolecule shifts the mass of the ions to be determined into a lower mass range, which is generally more amenable to mass spectrometric detection. For example, the shift to smaller masses increases mass resolution, mass accuracy and, in particular, the sensitivity for detection. The actual molecular weights of the fragments of the target biomolecule as determined by mass spectrometry provide sequence information (*e.g.*, the presence and/or identity of a mutation). The methods provided herein can be used to detect a plurality of sequence variations in a target biomolecule.

The fragment molecular weight pattern, *i.e.*, mass signals of fragments that are generated from the target biomolecule is compared to the actual or simulated pattern of fragments generated under the same cleavage conditions for a reference sequence. The reference sequence usually corresponds to the target sequence, with the exception that the

sequence variations (mutations, polymorphisms) to be identified in the target sequence, are not present in the reference sequence. For example, if the biomolecule is a nucleic acid, the reference nucleic acid sequence can be derived from a wild-type allele, whereas the target nucleic acid sequence can be derived from a mutant allele. As another example, the reference nucleic acid sequence can be a sequence from the human genome, whereas the target nucleic acid sequence can be a sequence from an infectious organism, such as a pathogen. The differences in mass signals between the target sequence and the reference sequence are then analyzed to determine the sequence variations that are most likely to be present in the target biomolecule sequence. The difference in mass signals between the target sequence and the reference sequence can be absolute (*i.e.*, a mass signal that is present in the fragmentation spectrum of one sequence but not the other), or it can be relative, such as, but not limited to, differences in peak intensities (height, area, signal-to-noise or combinations thereof) of the signals.

The methods provided herein can be used to screen nucleic acid sequences of up to and greater than 2000 bases for the presence of sequence variations relative to a reference sequence. Further, the sequence variations are detected with greater accuracy due to the reduced occurrence of base-calling errors, which proves especially useful for the detection of "true" SNPs, such as SNPs in the coding region of a gene that results in an amino acid change, which usually have allele frequencies of less than 5% (*see, e.g.*, L. Kruglyak *et al.*, *Nat. Genet.*, 27:234, 2001).

In the methods provided herein, the differences in mass signals between the fragments that are obtained by specific cleavage of the target nucleic acid sequence and those obtained by actual or simulated specific cleavage of the reference nucleic acid sequence under the same conditions are identified ("additional" or "missing" mass signals in the

target nucleic acid fragment spectrum), and the masses of the fragments corresponding to these differences are determined. The set of differences can include, in addition to "missing" or "additional" signals in the target fragmentation pattern, signals of differing intensities or signal to noise ratios between the target and reference sequences. Once the masses of the fragments corresponding to differences between the target sequence and the reference sequence are determined ("different" fragments), one or more nucleic acid base compositions (compomers) are identified whose masses differ from the actual measured mass of each different fragment by a value that is less than or equal to a sufficiently small mass difference. These compomers are called witness compomers. The value of this sufficiently small mass difference is determined by parameters such as, but not limited to, the mass of the different fragment, the peak separation between fragments whose masses differ by a single nucleotide in type or length, and the absolute resolution of the mass spectrometer. Cleavage reactions specific for one or more of the four nucleic acid bases (A, G, C, T or U for RNA, or modifications thereof) can be used to generate data sets comprising the possible witness compomers for each specifically cleaved fragment that nears or equal the measured mass of each different fragment by a value that is less than or equal to the sufficiently small mass difference.

The generated witness compomers for each different fragment can then be used to determine the presence of SNPs or other sequence variations (*e.g.*, insertions, deletions, substitutions) in the target nucleic acid sequence.

The possible witness compomers corresponding to the different fragments can be manually analyzed to obtain sequence variations corresponding to the compomers. In another aspect, mathematical algorithms are provided to reconstruct the target sequence variations from possible witness compomers of the different fragments. In a first step, all

possible compomers whose masses differ by a value that is less than or equal to a sufficiently small mass difference from the actual mass of each different fragment generated in either the target nucleic acid or the reference nucleic acid cleavage reaction relative to the other under the same cleavage conditions, are identified. These compomers are the 'compomer witnesses'. The algorithm then determines all sequence variations that would lead to the identified compomer witnesses. The algorithm constructs those sequence variations of the target sequence relative to a reference sequence that contain at most  $k$  mutations, polymorphisms, or other sequence variations, including, but not limited to, sequence variations between organisms, insertions, deletions and substitutions. The value of  $k$ , the sequence variation order, is dependent on a number of parameters including, but not limited to, the expected type and number of sequence variations between a reference sequence and the target sequence, *e.g.*, whether the sequence variation is a single base or multiple bases, or whether sequence variations are present at one location or at more than one location on the target sequence relative to the reference sequence. For example, for the detection of SNPs, the value of  $k$  is usually, although not necessarily, 1 or 2. For the detection of mutations and in resequencing, the value of  $k$  is usually, although not necessarily, 3 or higher. The sequences representing possible sequence variations contained in the target sequence relative to the reference sequence are called sequence variation candidates. The possible sequence variations that are detected in the target sequence are usually the sum of all sequence variations for which specific cleavage generates a witness compomer corresponding to each sequence variation.

A second algorithm is used to generate a simulated spectrum for each computed output sequence variation candidate. The simulated spectrum for each sequence variation candidate is scored, using a third (scoring) algorithm, against the actual spectrum for the target nucleic acid



sequence. The value of the scores (the higher the score, the better the match, with the highest score usually being the sequence variation that is most likely to be present) can then be used to determine the sequence variation candidate that corresponds to the actual target nucleic acid sequence. The output of sequence variation candidates will include all sequence variations of the target sequence relative to the reference sequence that generate a different fragment in a specific cleavage reaction. For sequence variations in the target sequence that do not interact with each other, *i.e.*, the separation (distance) between sequence variations along the target sequence is sufficient for each sequence variation to generate a distinct different fragment (of the target sequence relative to the reference sequence) in a specific cleavage reaction, the differences in the fragmentation pattern of the target sequence relative to the reference sequence represents the sum of all sequence variations in the target sequence relative to the reference sequence.

When a plurality of target sequences are analyzed against the same reference sequence, the algorithm can combine the scores of those target sequences that correspond to the same sequence variation candidate. Thus, an overall score for the sequence variation candidate representing the actual sequence variation can be determined. This embodiment is particularly useful, for example, in SNP discovery.

The sequence variation candidate output can be further used in an iterative process to detect additional sequence variations in the target sequence. For example, in the iterative process of detecting more than one sequence variation in a target sequence, the sequence variation with the highest score is accepted as an actual sequence variation, and the signal or peak corresponding to this sequence variation is added to the reference fragment spectrum to generate an updated reference fragment spectrum. All remaining sequence variation candidates are then scored against this updated reference fragment spectrum to output the sequence

variation candidate with the next highest score. This second sequence variation candidate can also represent a second actual sequence variation in the target sequence. Therefore, the peak corresponding to the second sequence variation can be added to the reference fragment spectrum to  
5 generate a second updated reference spectrum against which a third sequence variation can be detected according to its score. This process of iteration can be repeated until no more sequence variation candidates representing actual sequence variations in the target sequence are identified.

10 In one embodiment, provided herein is a method for determining allelic frequency in a sample by cleaving a mixture of target nucleic acid molecules in the sample containing a mixture of wild-type and mutant alleles into fragments using one or more specific cleavage reagents; cleaving or simulating cleavage of a nucleic acid molecule containing a  
15 wild-type allele into fragments using the same one or more cleavage reagents; determining the masses of the fragments; identifying differences in fragments between the target nucleic acid molecule and the wild-type nucleic acid molecule that are representative of sequence variations in the mixture of target nucleic acid molecules relative to the  
20 wild-type nucleic acid molecule; determining the different fragments that are compomer witnesses; determining the set of bounded compomers of sequence variation order  $k$  corresponding to each compomer witness; determining the allelic variants that are candidate alleles for each bounded compomer; scoring the candidate alleles; and determining the allelic  
25 frequency of the mutant alleles in the sample.

In other embodiments, the methods provided herein can be used for detecting sequence variations in a target nucleic acid in a mixture of nucleic acids in a biological sample. Biological samples include but are not limited to DNA from a pool of individuals, or a homogeneous tumor  
30 sample derived from a single tissue or cell type, or a heterogeneous tumor

sample containing more than one tissue type or cell type, or a cell line derived from a primary tumor. Also contemplated are methods, such as haplotyping methods, in which two mutations in the same gene are detected.

- 5        In other embodiments, a plurality of target nucleic acids can be multiplexed in a single reaction measurement by fragmenting each target nucleic acid and one or more reference nucleic acids in the same cleavage reactions using one or more cleavage reagent. These methods are particularly useful when differences in fragmentation patterns between  
10 one or more target nucleic acids relative to one or more reference nucleic acids using one or more specific cleavage reagents are simultaneously analyzed.

- In one embodiment, the fragments generated according to the methods provided herein are analyzed for the presence of sequence  
15 variations relative to a reference sequence, and the analyzed fragment sequences are ordered to provide the sequence of the larger target nucleic acid. The fragments can be generated by partial or total cleavage, using a single specific cleavage reaction or complementary specific cleavage reactions such that alternative fragments of the same target biomolecule  
20 sequence are obtained. The cleavage means can be enzymatic, chemical, physical or a combination thereof, as long as the site of cleavage can be identified.

- The target nucleic acids can be selected from among single stranded DNA, double stranded DNA, cDNA, single stranded RNA, double  
25 stranded RNA, DNA/RNA hybrid, PNA (peptide nucleic acid) and a DNA/RNA mosaic nucleic acid. The target nucleic acids can be directly isolated from a biological sample, or can be derived by amplification or cloning of nucleic acid sequences from a biological sample. The amplification can be achieved by polymerase chain reaction (PCR), reverse  
30 transcription followed by the polymerase chain reaction (RT-PCR), strand

displacement amplification (SDA), rolling circle amplification and transcription based processes.

The target biomolecules, such as nucleic acids, proteins and peptides, can be treated prior to fragmentation so that the cleavage  
5 specificity is altered.

In one embodiment, the target nucleic acids are amplified using modified nucleoside triphosphates. The modifications either confer or alter cleavage specificity of the target nucleic acid sequence by cleavage reagents, and improve resolution of the fragmentation spectrum by  
10 increasing mass signal separation. The modified nucleoside triphosphates can be selected from among isotope enriched ( $^{13}\text{C}/^{15}\text{N}$ , *e.g.*) or isotope depleted nucleotides, mass modified deoxynucleoside triphosphates, mass modified dideoxynucleoside triphosphates, and mass modified ribonucleoside triphosphates. The mass modified triphosphates can be  
15 modified on the base, the sugar, and/or the phosphate moiety, and are introduced through an enzymatic step, chemically, or a combination of both. In one aspect, the modification can include 2' substituents other than a hydroxyl group. In another aspect, the internucleoside linkages can be modified *e.g.*, phosphorothioate linkages or phosphorothioate  
20 linkages further reacted with an alkylating agent. In yet another aspect, the modified nucleoside triphosphate can be modified with a methyl group, *e.g.*, 5-methyl cytosine or 5-methyl uridine.

In another embodiment, the target nucleic acids are amplified using nucleoside triphosphates that are naturally occurring, but that are not  
25 normal precursors of the target nucleic acid. For example, uridine triphosphate, which is not normally present in DNA, can be incorporated into an amplified DNA molecule by amplifying the DNA in the presence of normal DNA precursor nucleotides (*e.g.* dCTP, dATP, and dGTP) and dUTP. When the amplified product is treated with uracil-DNA  
30 glycosylase (UDG), uracil residues are cleaved. Subsequent chemical or

enzymatic treatment of the products from the UDG reaction results in the cleavage of the phosphate backbone and the generation of nucleobase specific fragments. Moreover, the separation of the complementary strands of the amplified product prior to glycosylase treatment allows

5 complementary patterns of fragmentation to be generated. Thus, the use of dUTP and Uracil DNA glycosylase allows the generation of T specific fragments for the complementary strands, providing information on the T as well as the A positions within a given sequence. Similarly, a C-specific reaction on both (complementary) strands (*i.e.* with a C-specific

10 glycosylase) would yield information on C as well as G positions within a given sequence if the fragmentation patterns of both amplification strands are analyzed separately. With the glycosylase method and mass spectrometry, a full series of A, C, G and T specific fragmentation patterns can be analyzed. Several methods exist where treatment of DNA

15 with specific chemicals modifies existing bases so that they are recognized by specific DNA glycosylases. For example, treatment of DNA with alkylating agents such as methylnitrosourea generates several alkylated bases including N3-methyladenine and N3-methylguanine which are recognized and cleaved by alkyl purine DNA-glycosylase. Treatment

20 of DNA with sodium bisulfite causes deamination of cytosine residues in DNA to form uracil residues in the DNA, which can be cleaved by uracil N-glycosylase (also known as uracil DNA-glycosylase). Chemical reagents can also convert guanine to its oxidized form, 8-hydroxyguanine, which can be cleaved by formamidopyrimidine DNA N-glycosylase (FPG

25 protein) (Chung *et al.*, "An endonuclease activity of *Escherichia coli* that specifically removes 8-hydroxyguanine residues from DNA," Mutation Research 254: 1-12 (1991)).

In another embodiment, bisulfite treatment of genomic DNA can be utilized to analyze positions of methylated cytosine residues within the

30 DNA. Treating nucleic acids with bisulfite deaminates cytosine residues

to uracil residues, while methylated cytosine remains unmodified. Thus, by comparing the cleavage pattern of a sequence of a target nucleic acid that is not treated with bisulfite with the cleavage pattern of the sequence of the target nucleic acid that is treated with bisulfite in the methods provided herein, the degree of methylation in a nucleic acid as well as the positions where cytosine is methylated can be deduced.

The methods provided herein are adaptable to any sequencing method or detection method that relies upon or includes fragmentation of nucleic acids. As discussed further below, fragmentation of polynucleotides is known in the art and can be achieved in many ways. For example, polynucleotides composed of DNA, RNA, analogs of DNA and RNA or combinations thereof, can be fragmented physically, chemically, or enzymatically. Fragments can vary in size, and suitable nucleic acid fragments are typically less than about 2000 nucleotides. Suitable nucleic acid fragments can fall within several ranges of sizes including but not limited to: less than about 1000 bases, between about 100 to about 500 bases, or from about 25 to about 200 bases. In some aspects, fragments of about one nucleotide may be present in the set of fragments obtained by specific cleavage.

Fragmentation of nucleic acids can also be combined with sequencing methods that rely on chain extension in the presence of chain-terminating nucleotides. These methods include, but are not limited to, sequencing methods based upon Sanger sequencing, and detection methods, such as primer oligo base extension (see, *e.g.*, U.S. application Serial No. 6,043,031; allowed U.S. application Serial No. 6,258,538; and 6,235,478), that rely on and include a step of chain extension.

One method of generating base specifically terminated fragments

from a nucleic acid is effected by contacting an appropriate amount of a target nucleic acid with an appropriate amount of a specific endonuclease, thereby resulting in partial or complete digestion of the target nucleic acid. Endonucleases will typically degrade a sequence into

5 pieces of no more than about 50-70 nucleotides, even if the reaction is run to completion. In one embodiment, the nucleic acid is a ribonucleic acid and the endonuclease is a ribonuclease (RNase) selected from among: the G-specific RNase T<sub>1</sub>, the A-specific RNase U<sub>2</sub>, the A/U specific RNase PhyM, U/C specific RNase A, C specific chicken liver

10 RNase (RNase CL3) or cusavitin. In other embodiments, the nucleic acid is deoxyribonucleic acid (DNA) and the cleavage reagent is a DNase or a glycosylase. In another embodiment, the endonuclease is a restriction enzyme that cleaves at least one site contained within the target nucleic acid. Another method for generating base specifically terminated

15 fragments includes performing a combined amplification and base-specific termination reaction, for example, using an appropriate amount of a first DNA polymerase, which has a relatively low affinity towards the chain-terminating nucleotides resulting in an exponential amplification of the target; and a polymerase with a relatively high affinity for the chain

20 terminating nucleotide, resulting in base-specific termination of the polymerization.

The masses of the cleaved and uncleaved target sequence fragments can be determined using methods known in the art including but not limited to mass spectroscopy and gel electrophoresis, preferably

25 MALDI/TOF. Chips and kits for performing high-throughput mass spectrometric analyses are commercially available from SEQUENOM, INC. under the trademark MassARRAY™. The MassARRAY™ system can be used to analyze with high speed and accuracy SNPs and other mutations that are discovered and localized by base-specific fragmentation.

The methods provided herein combine the improved accuracy and clarity of identification of fragment signals produced by base-specific fragmentation rather than primer extension of target nucleic acids, with the increase in speed of analysis of these signals by using algorithms that  
5 screen the signals to select only those that are likely to represent true sequence variations within the target nucleic acid.

The methods provided herein can additionally be adapted to analyze sequence variations in samples containing mixtures of nucleic acids from multiple genomes (species), or multiple individuals, or biological samples  
10 such as tumor samples that are derived from mixtures of tissues or cells. Such "sample mixtures" usually contain the sequence variation or mutation or polymorphism containing target nucleic acid at very low frequency, with a high excess of wildtype sequence. For example, in tumors, the tumor-causing mutation is usually present in less than 5-10%  
15 of the nucleic acid present in the tumor sample, which is a heterogeneous mixture of more than one tissue type or cell type. Similarly, in a population of individuals, most polymorphisms with functional consequences that are determinative of, *e.g.*, a disease state or predisposition to disease, occur at low allele frequencies of less than 5%.  
20 The methods provided herein can be adapted to detect low frequency mutations, sequence variations, alleles or polymorphisms that are present in the range of less than about 5-10%.

The methods provided herein can also be adapted to detect sequencing errors. For example, if the actual sequence of the reference  
25 nucleic acid(s) used in the methods provided herein is different from the reported sequence (*e.g.*, in a published database), the methods provided herein will detect errors in the reported sequence by detecting sequence variations in the reported sequence.



The methods herein permit sequencing of oligonucleotides of any size, particularly in the range of less than about 4000 nt, more typically in the range of about 100 to about 1000 nt.

Kits containing the components for mutation (insertions, deletions, substitutions) and polymorphism detection or discovery in a target nucleic acid are also provided. The kits contain the reagents as described herein and optionally any other reagents required to perform the reactions. Such reagents and compositions are packaged in standard packaging known to those of skill in the art. Additional vials, containers, pipets, syringes and other products for sequencing can also be included. Instructions for performing the reactions can be included.

The methods provided herein can be adapted for determining sequence variations in a target protein or peptide sequence relative to a reference protein or peptide sequence. Proteins can be fragmented by specific cleavage using several techniques including chemical cleavage, enzymatic cleavage and fragmentation by ionization. The differences in fragmentation corresponding to missing or additional signals in the fragmentation spectrum of the target protein or peptide relative to the reference protein or peptide are then identified. Once the masses of the different fragments are determined, one or more amino acid compositions (compomers) are identified whose masses differ from the actual measured mass of each different fragment by a value that is less than or equal to a sufficiently small mass difference as described herein. These compomers would be the witness compomers for the target protein or peptide. Cleavage reactions specific for one or more of the twenty amino acids or of structural features characteristic of a sequence motif can be used to generate data sets comprising the possible witness compomers for each specifically cleaved fragment that nears or equals the measured mass of each different fragment by a value that is less than or equal to the sufficiently small mass difference.

The possible witness compomers for each different fragment of the target protein or peptide sequence relative to a reference sequence can then be used to determine the presence of SNPs or other sequence variations (*e.g.*, insertions, deletions, substitutions) in the target protein or peptide sequence.

Other features and advantages will be apparent from the following detailed description and claims.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

FIGURE 1 is a flow diagram that illustrates operations executed by a computer system that performs data analysis by the methods and processes as described herein.

FIGURE 2 is a flow diagram that illustrates operations executed by a computer system to determine a reduced set of sequence variation candidates.

FIGURE 3 is a block diagram of a system that performs sample processing and performs the operations illustrated in FIGURES 1 and 2.

FIGURE 4 is a block diagram of the data analysis computer illustrated in FIGURE 3.

#### **DETAILED DESCRIPTION**

- A. Definitions
- B. Methods of Generating Fragments
- C. Techniques for Polymorphism, Mutation and Sequence Variation Discovery
- D. Applications
- E. System and Software Method
- F. Examples
- A. Definitions

Unless defined otherwise, all technical and scientific terms used herein have the same meaning as is commonly understood by one of skill

in the art to which the invention(s) belong. All patents, patent applications, published applications and publications, Genbank sequences, websites and other published materials referred to throughout the entire disclosure herein, unless noted otherwise, are incorporated by reference

5 in their entirety. In the event that there are a plurality of definitions for terms herein, those in this section prevail. Where reference is made to a URL or other such identifier or address, it is understood that such identifiers can change and particular information on the internet can come and go, but equivalent information can be found by searching the

10 internet. Reference thereto evidences the availability and public dissemination of such information.

As used herein, a molecule refers to any molecular entity and includes, but is not limited to, biopolymers, biomolecules, macromolecules or components or precursors thereof, such as peptides, proteins, organic

15 compounds, oligonucleotides or monomeric units of the peptides, organics, nucleic acids and other macromolecules. A monomeric unit refers to one of the constituents from which the resulting compound is built. Thus, monomeric units include, nucleotides, amino acids, and pharmacophores from which small organic molecules are synthesized.

20 As used herein, a biomolecule is any molecule that occurs in nature, or derivatives thereof. Biomolecules include biopolymers and macromolecules and all molecules that can be isolated from living organisms and viruses, including, but are not limited to, cells, tissues, prions, animals, plants, viruses, bacteria, prions and other organisms.

25 Biomolecules also include, but are not limited to oligonucleotides, oligonucleosides, proteins, peptides, amino acids, lipids, steroids, peptide nucleic acids (PNAs), oligosaccharides and monosaccharides, organic molecules, such as enzyme cofactors, metal complexes, such as heme, iron sulfur clusters, porphyrins and metal complexes thereof, metals, such

30 as copper, molybdenum, zinc and others.

As used herein, macromolecule refers to any molecule having a molecular weight from the hundreds up to the millions. Macromolecules include, but are not limited to, peptides, proteins, nucleotides, nucleic acids, carbohydrates, and other such molecules that are generally synthesized by biological organisms, but can be prepared synthetically or using recombinant molecular biology methods.

As used herein, biopolymer refers to biomolecules, including macromolecules, composed of two or more monomeric subunits, or derivatives thereof, which are linked by a bond or a macromolecule. A biopolymer can be, for example, a polynucleotide, a polypeptide, a carbohydrate, or a lipid, or derivatives or combinations thereof, for example, a nucleic acid molecule containing a peptide nucleic acid portion or a glycoprotein.

As used herein "nucleic acid" refers to polynucleotides such as deoxyribonucleic acid (DNA) and ribonucleic acid (RNA). The term should also be understood to include, as equivalents, derivatives, variants and analogs of either RNA or DNA made from nucleotide analogs, single (sense or antisense) and double-stranded polynucleotides.

Deoxyribonucleotides include deoxyadenosine, deoxycytidine, deoxyguanosine and deoxythymidine. For RNA, the uracil base is uridine.

Reference to a nucleic acid as a "polynucleotide" is used in its broadest sense to mean two or more nucleotides or nucleotide analogs linked by a covalent bond, including single stranded or double stranded molecules. The term "oligonucleotide" also is used herein to mean two or more nucleotides or nucleotide analogs linked by a covalent bond, although those in the art will recognize that oligonucleotides such as PCR primers generally are less than about fifty to one hundred nucleotides in length. The term "amplifying," when used in reference to a nucleic acid, means the repeated copying of a DNA sequence or an RNA sequence, through the use of specific or non-specific means, resulting in an increase

in the amount of the specific DNA or RNA sequences intended to be copied.

As used herein, "nucleotides" include, but are not limited to, the naturally occurring nucleoside mono-, di-, and triphosphates:

- 5 deoxyadenosine mono-, di- and triphosphate; deoxyguanosine mono-, di- and triphosphate; deoxythymidine mono-, di- and triphosphate; and deoxycytidine mono-, di- and triphosphate (referred to herein as dA, dG, dT and dC or A, G, T and C, respectively). Nucleotides also include, but are not limited to, modified nucleotides and nucleotide analogs such as
- 10 deazapurine nucleotides, *e.g.*, 7-deaza-deoxyguanosine (7-deaza-dG) and 7-deaza-deoxyadenosine (7-deaza-dA) mono-, di- and triphosphates, deuterio-deoxythymidine (deuterio-dT) mono-, di- and triphosphates, methylated nucleotides *e.g.*, 5-methyldeoxycytidine triphosphate, <sup>13</sup>C/<sup>15</sup>N labelled nucleotides and deoxyinosine mono-, di- and triphosphate. For
- 15 those skilled in the art, it will be clear that modified nucleotides, isotopically enriched, depleted or tagged nucleotides and nucleotide analogs can be obtained using a variety of combinations of functionality and attachment positions.

- As used herein, the phrase "chain-elongating nucleotides" is used
- 20 in accordance with its art recognized meaning. For example, for DNA, chain-elongating nucleotides include 2'-deoxyribonucleotides (*e.g.*, dATP, dCTP, dGTP and dTTP) and chain-terminating nucleotides include 2', 3'-dideoxyribonucleotides (*e.g.*, ddATP, ddCTP, ddGTP, ddTTP). For RNA, chain-elongating nucleotides include ribonucleotides (*e.g.*, ATP, CTP, GTP
- 25 and UTP) and chain-terminating nucleotides include 3'-deoxyribonucleotides (*e.g.*, 3'dA, 3'dC, 3'dG and 3'dU) and 2', 3'-dideoxyribonucleotides (*e.g.*, ddATP, ddCTP, ddGTP, ddTTP). A complete set of chain elongating nucleotides refers to dATP, dCTP, dGTP and dTTP for DNA, or ATP, CTP, GTP and UTP for RNA. The term "nucleotide" is
- 30 also well known in the art.

As used herein, the term "nucleotide terminator" or "chain terminating nucleotide" refers to a nucleotide analog that terminates nucleic acid polymer (chain) extension during procedures wherein a DNA or RNA template is being sequenced or replicated. The standard chain  
5 terminating nucleotides, *i.e.*, nucleotide terminators include 2',3'-dideoxynucleotides (ddATP, ddGTP, ddCTP and ddTTP, also referred to herein as dideoxynucleotide terminators). As used herein, dideoxynucleotide terminators also include analogs of the standard dideoxynucleotide terminators, *e.g.*, 5-bromo-dideoxyuridine, 5-methyl-  
10 dideoxycytidine and dideoxyinosine are analogs of ddTTP, ddCTP and ddGTP, respectively.

The term "polypeptide," as used herein, means at least two amino acids, or amino acid derivatives, including mass modified amino acids, that are linked by a peptide bond, which can be a modified peptide bond.  
15 A polypeptide can be translated from a nucleotide sequence that is at least a portion of a coding sequence, or from a nucleotide sequence that is not naturally translated due, for example, to its being in a reading frame other than the coding frame or to its being an intron sequence, a 3' or 5' untranslated sequence, or a regulatory sequence such as a promoter. A  
20 polypeptide also can be chemically synthesized and can be modified by chemical or enzymatic methods following translation or chemical synthesis. The terms "protein," "polypeptide" and "peptide" are used interchangeably herein when referring to a translated nucleic acid, for example, a gene product.

25 As used herein, a fragment of biomolecule, such as biopolymer, into smaller portions than the whole. Fragments can contain from one constituent up to less than all. Typically when cleaving, the fragments will be of a plurality of different sizes such that most will contain more than two constituents, such as a constituent monomer.

As used herein, the term "fragments of a target nucleic acid" refers to cleavage fragments produced by specific physical, chemical or enzymatic cleavage of the target nucleic acid. As used herein, fragments obtained by specific cleavage refers to fragments that are cleaved at a

5 specific position in a target nucleic acid sequence based on the base/sequence specificity of the cleaving reagent (*e.g.*, A, G, C, T or U, or the recognition of modified bases or nucleotides); or the structure of the target nucleic acid; or physical processes, such as ionization by collision-induced dissociation during mass spectrometry; or a combination

10 thereof. Fragments can contain from one up to less than all of the constituent nucleotides of the target nucleic acid molecule. The collection of fragments from such cleavage contains a variety of different size oligonucleotides and nucleotides. Fragments can vary in size, and suitable nucleic acid fragments are typically less than about 2000

15 nucleotides. Suitable nucleic acid fragments can fall within several ranges of sizes including but not limited to: less than about 1000 bases, between about 100 to about 500 bases, or from about 25 to about 200 bases. In some aspects, fragments of about one nucleotide may be present in the set of fragments obtained by specific cleavage.

20 As used herein, a target nucleic acid refers to any nucleic acid of interest in a sample. It can contain one or more nucleotides. A target nucleotide sequence refers to a particular sequence of nucleotides in a target nucleic acid molecule. Detection or identification of such sequence results in detection of the target and can indicate the presence or absence

25 of a particular mutation, sequence variation, or polymorphism. Similarly, a target polypeptide as used herein refers to any polypeptide of interest whose mass is analyzed, for example, by using mass spectrometry to determine the amino acid sequence of at least a portion of the polypeptide, or to determine the pattern of peptide fragments of the

30 target polypeptide produced, for example, by treatment of the polypeptide

with one or more endopeptidases. The term "target polypeptide" refers to any polypeptide of interest that is subjected to mass spectrometry for the purposes disclosed herein, for example, for identifying the presence of a polymorphism or a mutation. A target polypeptide contains at least 2  
5 amino acids, generally at least 3 or 4 amino acids, and particularly at least 5 amino acids. A target polypeptide can be encoded by a nucleotide sequence encoding a protein, which can be associated with a specific disease or condition, or a portion of a protein. A target polypeptide also can be encoded by a nucleotide sequence that normally does not encode  
10 a translated polypeptide. A target polypeptide can be encoded, for example, from a sequence of dinucleotide repeats or trinucleotide repeats or the like, which can be present in chromosomal nucleic acid, for example, a coding or a non-coding region of a gene, for example, in the telomeric region of a chromosome. The phrase "target sequence" as used  
15 herein refers to either a target nucleic acid sequence or a target polypeptide or protein sequence.

A process as disclosed herein also provides a means to identify a target polypeptide by mass spectrometric analysis of peptide fragments of the target polypeptide. As used herein, the term "peptide fragments of a  
20 target polypeptide" refers to cleavage fragments produced by specific chemical or enzymatic degradation of the polypeptide. The production of such peptide fragments of a target polypeptide is defined by the primary amino acid sequence of the polypeptide, since chemical and enzymatic cleavage occurs in a sequence specific manner. Peptide fragments of a  
25 target polypeptide can be produced, for example, by contacting the polypeptide, which can be immobilized to a solid support, with a chemical agent such as cyanogen bromide, which cleaves a polypeptide at methionine residues, or hydroxylamine at high pH, which can cleave an Asp-Gly peptide bond; or with an endopeptidase such as trypsin, which  
30 cleaves a polypeptide at Lys or Arg residues.



The identity of a target polypeptide can be determined by comparison of the molecular mass or sequence with that of a reference or known polypeptide. For example, the mass spectra of the target and known polypeptides can be compared.

5           As used herein, the term "corresponding or known polypeptide or nucleic acid" is a known polypeptide or nucleic acid generally used as a control to determine, for example, whether a target polypeptide or nucleic acid is an allelic variant of the corresponding known polypeptide or nucleic acid. It should be recognized that a corresponding known protein  
10 or nucleic acid can have substantially the same amino acid or base sequence as the target polypeptide, or can be substantially different. For example, where a target polypeptide is an allelic variant that differs from a corresponding known protein by a single amino acid difference, the amino acid sequences of the polypeptides will be the same except for the  
15 single amino acid difference. Where a mutation in a nucleic acid encoding the target polypeptide changes, for example, the reading frame of the encoding nucleic acid or introduces or deletes a STOP codon, the sequence of the target polypeptide can be substantially different from that of the corresponding known polypeptide.

20           As used herein, a reference biomolecule refers to a biomolecule, which is generally, although not necessarily, to which a target biomolecule is compared. Thus, for example, a reference nucleic acid is a nucleic acid to which the target nucleic acid is compared in order to identify potential or actual sequence variations in the target nucleic acid  
25 relative to the reference nucleic acid. Reference nucleic acids typically are of known sequence or of a sequence that can be determined.

          As used herein, a reference polypeptide is a polypeptide to which the target polypeptide is compared in order to identify the polypeptide in methods that do not involve sequencing the polypeptide. Reference  
30 polypeptides typically are known polypeptides. Reference sequence, as

used herein, refers to a reference nucleic acid or a reference polypeptide or protein sequence..

As used herein, transcription-based processes include "*in vitro* transcription system", which refers to a cell-free system containing an  
5 RNA polymerase and other factors and reagents necessary for transcription of a DNA molecule operably linked to a promoter that specifically binds an RNA polymerase. An *in vitro* transcription system can be a cell extract, for example, a eukaryotic cell extract. The term "transcription," as used herein, generally means the process by which the  
10 production of RNA molecules is initiated, elongated and terminated based on a DNA template. In addition, the process of "reverse transcription," which is well known in the art, is considered as encompassed within the meaning of the term "transcription" as used herein: Transcription is a polymerization reaction that is catalyzed by DNA-dependent or  
15 RNA-dependent RNA polymerases. Examples of RNA polymerases include the bacterial RNA polymerases, SP6 RNA polymerase, T3 RNA polymerase, T3 RNA polymerase, and T7 RNA polymerase.

As used herein, the term "translation" describes the process by which the production of a polypeptide is initiated, elongated and  
20 terminated based on an RNA template. For a polypeptide to be produced from DNA, the DNA must be transcribed into RNA, then the RNA is translated due to the interaction of various cellular components into the polypeptide. In prokaryotic cells, transcription and translation are "coupled", meaning that RNA is translated into a polypeptide during the  
25 time that it is being transcribed from the DNA. In eukaryotic cells, including plant and animal cells, DNA is transcribed into RNA in the cell nucleus, then the RNA is processed into mRNA, which is transported to the cytoplasm, where it is translated into a polypeptide.

The term "isolated" as used herein with respect to a nucleic acid,  
30 including DNA and RNA, refers to nucleic acid molecules that are

substantially separated from other macromolecules normally associated with the nucleic acid in its natural state. An isolated nucleic acid molecule is substantially separated from the cellular material normally associated with it in a cell or, as relevant, can be substantially separated  
5 from bacterial or viral material; or from culture medium when produced by recombinant DNA techniques; or from chemical precursors or other chemicals when the nucleic acid is chemically synthesized. In general, an isolated nucleic acid molecule is at least about 50% enriched with respect to its natural state, and generally is about 70% to about 80% enriched,  
10 particularly about 90% or 95% or more. Preferably, an isolated nucleic acid constitutes at least about 50% of a sample containing the nucleic acid, and can be at least about 70% or 80% of the material in a sample, particularly at least about 90% to 95% or greater of the sample. An isolated nucleic acid can be a nucleic acid molecule that does not occur in  
15 nature and, therefore, is not found in a natural state.

The term "isolated" also is used herein to refer to polypeptides that are substantially separated from other macromolecules normally associated with the polypeptide in its natural state. An isolated polypeptide can be identified based on its being enriched with respect to  
20 materials it naturally is associated with or its constituting a fraction of a sample containing the polypeptide to the same degree as defined above for an "isolated" nucleic acid, i.e., enriched at least about 50% with respect to its natural state or constituting at least about 50% of a sample containing the polypeptide. An isolated polypeptide, for example, can be  
25 purified from a cell that normally expresses the polypeptide or can be produced using recombinant DNA methodology.

As used herein, "structure" of the nucleic acid includes but is not limited to secondary structures due to non-Watson-Crick base pairing (see, e.g., Seela, F. and A. Kehne (1987) *Biochemistry*, 26, 2232-2238.)  
30 and structures, such as hairpins, loops and bubbles, formed by a

combination of base-paired and non base-paired or mis-matched bases in a nucleic acid.

As used herein, epigenetic changes refer to variations in a target sequence relative to a reference sequence (*e.g.*, a mutant sequence  
 5 relative to the wild-type sequence) that are not dependent on changes in the identity of the natural bases (A, G, C, T/U) or the twenty natural amino acids. Such variations include, but are not limited to, *e.g.*, differences in the presence of modified bases or methylated bases between a target nucleic acid sequence and a reference nucleic acid  
 10 sequence. Epigenetic changes refer to mitotically and/or meiotically heritable changes in gene function or changes in higher order nucleic acid structure that cannot be explained by changes in nucleic acid sequence. Examples of systems that are subject to epigenetic variation or change include, but are not limited to, DNA methylation patterns in animals,  
 15 histone modification and the Polycomb-trithorax group (Pc-G/tx) protein complexes. Epigenetic changes usually, although not necessarily, lead to changes in gene expression that are usually, although not necessarily, inheritable.

As used herein, a "primer" refers to an oligonucleotide that is  
 20 suitable for hybridizing, chain extension, amplification and sequencing. Similarly, a probe is a primer used for hybridization. The primer refers to a nucleic acid that is of low enough mass, typically about between about 5 and 200 nucleotides, generally about 70 nucleotides or less than 70, and of sufficient size to be conveniently used in the methods of  
 25 amplification and methods of detection and sequencing provided herein. These primers include, but are not limited to, primers for detection and sequencing of nucleic acids, which require a sufficient number nucleotides to form a stable duplex, typically about 6-30 nucleotides, about 10-25 nucleotides and/or about 12-20 nucleotides. Thus, for purposes herein, a  
 30 primer is a sequence of nucleotides contains of any suitable length,

typically containing about 6-70 nucleotides, 12-70 nucleotides or greater than about 14 to an upper limit of about 70 nucleotides, depending upon sequence and application of the primer.

As used herein, reference to mass spectrometry encompasses any  
5 suitable mass spectrometric format known to those of skill in the art. Such formats include, but are not limited to, Matrix-Assisted Laser Desorption/Ionization, Time-of-Flight (MALDI-TOF), Electrospray (ES), IR-MALDI (see, *e.g.*, published International PCT application No.99/57318 and U.S. Patent No. 5,118,937), Ion Cyclotron Resonance (ICR), Fourier  
10 Transform and combinations thereof. MALDI, particular UV and IR, are among the preferred formats.

As used herein, mass spectrum refers to the presentation of data obtained from analyzing a biopolymer or fragment thereof by mass spectrometry either graphically or encoded numerically.

15 As used herein, pattern or fragmentation pattern or fragmentation spectrum with reference to a mass spectrum or mass spectrometric analyses, refers to a characteristic distribution and number of signals (such as peaks or digital representations thereof). In general, a fragmentation pattern as used herein refers to a set of fragments that are  
20 generated by specific cleavage of a biomolecule such as, but not limited to, nucleic acids and proteins.

As used herein, signal, mass signal or output signal in the context of a mass spectrum or any other method that measures mass and analysis thereof refers to the output data, which is the number or relative  
25 number of molecules having a particular mass. Signals include "peaks" and digital representations thereof.

As used herein, the term "peaks" refers to prominent upward projections from a baseline signal of a mass spectrometer spectrum ("mass spectrum") which corresponds to the mass and intensity of a

fragment. Peaks can be extracted from a mass spectrum by a manual or automated "peak finding" procedure.

As used herein, the mass of a peak in a mass spectrum refers to the mass computed by the "peak finding" procedure.

5 As used herein, the intensity of a peak in a mass spectrum refers to the intensity computed by the "peak finding" procedure that is dependent on parameters including, but not limited to, the height of the peak in the mass spectrum and its signal-to-noise ratio.

As used herein, "analysis" refers to the determination of certain  
10 properties of a single oligonucleotide or polypeptide, or of mixtures of oligonucleotides or polypeptides. These properties include, but are not limited to, the nucleotide or amino acid composition and complete sequence, the existence of single nucleotide polymorphisms and other mutations or sequence variations between more than one oligonucleotide  
15 or polypeptide, the masses and the lengths of oligonucleotides or polypeptides and the presence of a molecule or sequence within a molecule in a sample.

As used herein, "multiplexing" refers to the simultaneous determination of more than one oligonucleotide or polypeptide molecule,  
20 or the simultaneous analysis of more than one oligonucleotide or oligopeptide, in a single mass spectrometric or other mass measurement, *i.e.*, a single mass spectrum or other method of reading sequence.

As used herein, amplifying refers to means for increasing the amount of a biopolymer, especially nucleic acids. Based on the 5' and 3'  
25 primers that are chosen, amplification also serves to restrict and define the region of the genome which is subject to analysis. Amplification can be by any means known to those skilled in the art, including use of the polymerase chain reaction (PCR), *etc.* Amplification, *e.g.*, PCR must be done quantitatively when the frequency of polymorphism is required to be  
30 determined.

As used herein, "polymorphism" refers to the coexistence of more than one form of a gene or portion thereof. A portion of a gene of which there are at least two different forms, *i.e.*, two different nucleotide sequences, is referred to as a "polymorphic region of a gene". A

5 polymorphic region can be a single nucleotide, the identity of which differs in different alleles. A polymorphic region can also be several nucleotides in length. Thus, a polymorphism, e.g. genetic variation, refers to a variation in the sequence of a gene in the genome amongst a population, such as allelic variations and other variations that arise or are

10 observed. Thus, a polymorphism refers to the occurrence of two or more genetically determined alternative sequences or alleles in a population. These differences can occur in coding and non-coding portions of the genome, and can be manifested or detected as differences in nucleic acid sequences, gene expression, including, for example transcription,

15 processing, translation, transport, protein processing, trafficking, DNA synthesis, expressed proteins, other gene products or products of biochemical pathways or in post-translational modifications and any other differences manifested amongst members of a population. A single nucleotide polymorphism (SNP) refers to a polymorphism that arises as

20 the result of a single base change, such as an insertion, deletion or change (substitution) in a base.

A polymorphic marker or site is the locus at which divergence occurs. Such site can be as small as one base pair (an SNP). Polymorphic markers include, but are not limited to, restriction fragment

25 length polymorphisms, variable number of tandem repeats (VNTR's), hypervariable regions, minisatellites, dinucleotide repeats, trinucleotide repeats, tetranucleotide repeats and other repeating patterns, simple sequence repeats and insertional elements, such as Alu. Polymorphic forms also are manifested as different mendelian alleles for a gene.

30 Polymorphisms can be observed by differences in proteins, protein

modifications, RNA expression modification, DNA and RNA methylation, regulatory factors that alter gene expression and DNA replication, and any other manifestation of alterations in genomic nucleic acid or organelle nucleic acids.

5           As used herein, "polymorphic gene" refers to a gene having at least one polymorphic region.

          As used herein, "allele", which is used interchangeably herein with "allelic variant," refers to alternative forms of a gene or portions thereof. Alleles occupy the same locus or position on homologous chromosomes.

10          When a subject has two identical alleles of a gene, the subject is said to be homozygous for the gene or allele. When a subject has at least two different alleles of a gene, the subject is said to be heterozygous for the gene. Alleles of a specific gene can differ from each other in a single nucleotide, or several nucleotides, and can include substitutions,

15          deletions, and insertions of nucleotides. An allele of a gene can also be a form of a gene containing a mutation.

          As used herein, "predominant allele" refers to an allele that is represented in the greatest frequency for a given population. The allele or alleles that are present in lesser frequency are referred to as allelic

20          variants.

          As used herein, changes in a nucleic acid sequence known as mutations can result in proteins with altered or in some cases even lost biochemical activities; this in turn can cause genetic disease. Mutations include nucleotide deletions, insertions or alterations/substitutions (*i.e.*

25          point mutations). Point mutations can be either "missense", resulting in a change in the amino acid sequence of a protein or "nonsense" coding for a stop codon and thereby leading to a truncated protein.

          As used herein, a sequence variation contains one or more nucleotides or amino acids that are different in a target nucleic acid or  
30          protein sequence when compared to a reference nucleic acid or protein



sequence. The sequence variation can include, but is not limited to, a mutation, a polymorphism, or sequence differences between a target sequence and a reference sequence that belong to different organisms. A sequence variation will in general, although not always, contain a subset  
5 of the complete set of nucleotide, amino acid, or other biopolymer monomeric unit differences between the target sequence and the reference sequence.

As used herein, additional or missing peaks or signals are peaks or signals corresponding to fragments of a target sequence that are either  
10 present or absent, respectively, relative to fragments obtained by actual or simulated cleavage of a reference sequence, under the same cleavage reaction conditions. Besides missing or additional signals, differences between target fragments and reference fragments can be manifested as other differences including, but not limited to, differences in peak  
15 intensities (height, area, signal-to-noise or combinations thereof) of the signals.

As used herein, different fragments are fragments of a target sequence that are different relative to fragments obtained by actual or simulated cleavage of a reference sequence, under the same cleavage  
20 reaction conditions. Different fragments can be fragments that are missing in the target fragment pattern relative to a reference fragment pattern, or are additionally present in the target fragmentation pattern relative to the reference fragmentation pattern. Besides missing or additional fragments, different fragments can also be differences between  
25 the target fragmentation pattern and the reference fragmentation pattern that are qualitative including, but not limited to, differences that lead to differences in peak intensities (height, area, signal-to-noise or combinations thereof) of the signals corresponding to the different fragments.

As used herein, the term "compomer" refers to the composition of a sequence fragment in terms of its monomeric component units. For nucleic acids, compomer refers to the base composition of the fragment with the monomeric units being bases; the number of each type of base can be denoted by  $B_n$  (ie:  $A_aC_cG_gT_t$ , with  $A_0C_0G_0T_0$  representing an "empty" compomer or a compomer containing no bases). A natural compomer is a compomer for which all component monomeric units (*e.g.*, bases for nucleic acids and amino acids for proteins) are greater than or equal to zero. For purposes of comparing sequences to determine sequence variations, however, in the methods provided herein, "unnatural" compomers containing negative numbers of monomeric units may be generated by the algorithm. For polypeptides, a compomer refers to the amino acid composition of a polypeptide fragment, with the number of each type of amino acid similarly denoted. A compomer corresponds to a sequence if the number and type of bases in the sequence can be added to obtain the composition of the compomer. For example, the compomer  $A_2G_3$  corresponds to the sequence AGGAG. In general, there is a unique compomer corresponding to a sequence, but more than one sequence can correspond to the same compomer. For example, the sequences AGGAG, AAGGG, GGAGA, *etc.* all correspond to the same compomer  $A_2G_3$ , but for each of these sequences, the corresponding compomer is unique, *i.e.*,  $A_2G_3$ .

As used herein, witness compomers or compomer witnesses refer to all possible compomers whose masses differ by a value that is less than or equal to a sufficiently small mass difference from the actual mass of each different fragment generated in the target cleavage reaction relative to the same reference cleavage reaction. A sufficiently small mass difference can be determined empirically, if needed, and is generally the resolution of the mass measurement. For example, for mass spectrometry measurements, the value of the sufficiently small mass

difference is a function of parameters including, but not limited to, the mass of the different fragment (as measured by its signal) corresponding to a witness compomer, peak separation between fragments whose masses differ by a single nucleotide in type or length, and the absolute  
5 resolution of the mass spectrometer. Cleavage reactions specific for one or more of the four nucleic acid bases (A, G, C, T or U for RNA, or modifications thereof) or of the twenty amino acids or modifications thereof, can be used to generate data sets containing the possible witness compomers for each different fragment such that the masses of  
10 the possible witness compomers near or equal the actual measured mass of each different fragment by a value that is less than or equal to a sufficiently small mass difference.

As used herein, two or more sequence variations of a target sequence relative to a reference sequence are said to interact with each  
15 other if the differences between the fragmentation pattern of the target sequence and the reference sequence for a specific cleavage reaction are not a simple sum of the differences representing each sequence variation in the target sequence. For sequence variations in the target sequence that do not interact with each other, the separation (distance) between  
20 sequence variations along the target sequence is sufficient for each sequence variation to generate a distinct different fragment (of the target sequence relative to the reference sequence) in a specific cleavage reaction, the differences in the fragmentation pattern of the target sequence relative to the reference sequence represents the sum of all  
25 sequence variations in the target sequence relative to the reference sequence.

As used herein, a sufficiently small mass difference is the maximum mass difference between the measured mass of an identified different fragment and the mass of a compomer, such that the compomer can be  
30 considered as a witness compomer for the identified different fragment.

A sufficiently small mass difference can be determined empirically, if needed, and is generally the resolution of the mass measurement. For example, for mass spectrometry measurements, the value of the sufficiently small mass difference is a function of parameters including, but not limited to, the mass of the different fragment (as measured by its signal) corresponding to a witness compomer, the peak separation between fragments whose masses differ by a single nucleotide in type or length, and the absolute resolution of the mass spectrometer.

As used herein, a substring or subsequence  $s[i,j]$  denotes a cleavage fragment of the string  $s$ , which denotes the full length nucleic acid or protein sequence. As used herein,  $i$  and  $j$  are integers that denote the start and end positions of the substring. For example, for a nucleic acid substring,  $i$  and  $j$  can denote the base positions in the nucleic acid sequence where the substring begins and ends, respectively. As used herein,  $c[i,j]$  refers to a compomer corresponding to  $s[i,j]$ .

As used herein, sequence variation order  $k$  refers to the sequence variation candidates of the target sequence constructed by the techniques provided herein, where the sequence variation candidates contain at most  $k$  mutations, polymorphisms, or other sequence variations, including, but not limited to, sequence variations between organisms, insertions, deletions and substitutions, in the target sequence relative to a reference sequence. The value of  $k$  is dependent on a number of parameters including, but not limited to, the expected type and number of sequence variations between a reference sequence and the target sequence, *e.g.*, whether the sequence variation is a single base or multiple bases, whether sequence variations are present at one location or at more than one location on the target sequence relative to the reference sequence, or whether the sequence variations interact or do not interact with each in the target sequence. For example, for the detection of SNPs, the value of  $k$  is usually, although not necessarily, 1 or 2. As another example, for

the detection of mutations and in resequencing, the value of  $k$  is usually, although not necessarily, 3 or higher.

- As used herein, given a specific cleavage reaction of a base, amino acid, or other feature  $X$  recognized by the cleavage reagent in a string  $s$ ,  
 5 then the boundary  $b[i,j]$  of the substring  $s[i,j]$  or the corresponding compomer  $c[i,j]$  refers to a set of markers indicating whether cleavage of string  $s$  does not take place immediately outside the substring  $s[i,j]$ . Possible markers are L, indicating whether “ $s$  is not cleaved directly before  $i$ ”, and R, indicating whether “ $s$  is not cleaved directly after  $j$ ”.  
 10 Thus,  $b[i,j]$  is a subset of the set  $\{L,R\}$  that contains L if and only if  $X$  is present at position  $i-1$  of the string  $s$ , and contains R if and only if  $X$  is present at position  $j+1$  of the string  $s$ .  $\#b$  denotes the number of elements in the set  $b$ , which can be 0, 1, or 2, depending on whether the substring  $s[i,j]$  is specifically cleaved at both immediately flanking  
 15 positions (*i.e.*, at positions  $i-1$  and  $j+1$ ), at one immediately flanking position (*i.e.*, at either position  $i-1$  or  $j+1$ ) or at no immediately flanking position (*i.e.*, at neither position  $i-1$  nor  $j+1$ ).

- As used herein, a compomer boundary or boundary  $b$  is a subset of the set  $\{L,R\}$  as defined above for  $b[i,j]$ . Possible values for  $b$  are the  
 20 empty set  $\{\}$ , *i.e.*, the number of elements in  $b$  ( $\#b$ ) is 0;  $\{L\}$ ,  $\{R\}$ , *i.e.*,  $\#b$  is 1; and  $\{L,R\}$ , *i.e.*,  $\#b$  is 2.

- As used herein, bounded compomers refers to the set of all compomers  $c$  that correspond to the set of subsequences of a reference sequence, with a boundary that indicates whether or not cleavage sites  
 25 are present at the two ends of each subsequence. The set of bounded compomers can be compared against possible compomer witnesses to construct all possible sequence variations of a target sequence relative to a reference sequence. For example,  $(c,b)$  refers to a ‘bounded compomer’ that contains a compomer  $c$  and a boundary  $b$ .

As used herein,  $C$  refers to the set of all bounded compomers within the string  $s$ ; *i.e.*, for all possible substrings  $s[i,j]$ , find the bounded compomers  $(c[i,j], b[i,j])$  and these will belong to the set  $C$ .  $C$  can be represented as  $C := \{(c[i,j], b[i,j]): 1 \leq i \leq j \leq \text{length of } s\}$

- 5 As used herein,  $\text{ord}[i,j]$  refers to the number of times substring  $s[i,j]$  will be cleaved in a particular cleavage reaction.

- As used herein, given compomers  $c, c'$  corresponding to fragments  $f, f'$ ,  $d(c, c')$  is a function that determines the minimum number of sequence variations, polymorphisms or mutations (insertions, deletions, substitutions) that are needed to convert  $c$  to  $c'$ , taken over all potential fragments  $f, f'$  corresponding to compomers  $c, c'$ , where  $c$  is a compomer of a fragment  $s$  of the reference biomolecule and  $c'$  is the compomer of a fragment  $s'$  of the target biomolecule resulting from a sequence variation of the  $s$  fragment. As used herein,  $d(c, c')$  is equivalent to  $d(c', c)$ .

- 15 For a bounded compomer  $(c, b)$  constructed from the set  $C$ , The function  $D(c', c, b)$  measures the minimum number of sequence variations relative to a reference sequence that is needed to generate the compomer witness  $c'$ .  $D(c', c, b)$  can be represented as  $D(c', c, b) := d(c', c) + \#b$ . As used herein,  $D(c', c, b)$  is equivalent to  $D(c, c', b)$

- 20 As used herein,  $C_k$  is a subset of  $C$  such that compomers for substrings containing more than  $k$  number of sequence variations of the cut string will be excluded from the set  $C$ . Thus, if there is a sequence variation containing at most  $k$  insertions, deletions, and substitutions, and if  $c'$  is a compomer corresponding to a peak witness of this sequence variation, then there exists a bounded compomer  $(c, b)$  in  $C_k$  such that
- 25  $D(c', c, b) \leq k$ .  $C_k$  can be represented as  $C_k := \{(c[i,j], b[i,j]): 1 \leq i \leq j \leq \text{length of } s, \text{ and } \text{ord}[i,j] + \#b[i,j] \leq k\}$  The algorithm provided herein is based on this reduced set of compomers corresponding to possible sequence variations.

As used herein,  $L_{\Delta}$  or  $L_{-\Delta}$  denotes a list of peaks or signals corresponding to fragments that are different in a target cleavage reaction relative to the same reference cleavage reaction. The differences include, but are not limited to, signals that are present or absent in the target  
 5 fragment signals relative to the reference fragment signals, and signals that differ in intensity between the target fragment signals and the reference fragment signals.

As used herein, sequence variation candidate refers to a potential sequence of the target sequence containing one or more sequence  
 10 variations. The probability of a sequence variation candidate being the actual sequence of the target biomolecule containing one or more sequence variations is measured by a score.

As used herein, a reduced set of sequence variation candidates refers to a subset of all possible sequence variations in the target  
 15 sequence that would generate a given set of fragments upon specific cleavage of the target sequence. A reduced set of sequence variation candidates can be obtained by creating, from the set of all possible sequence variations of a target sequence that can generate a particular fragmentation pattern (as detected by measuring the masses of the  
 20 fragments) in a particular specific cleavage reaction, a subset containing only those sequence variations that generate fragments of the target sequence that are different from the fragments generated by actual or simulated cleavage of a reference sequence in the same specific cleavage reaction.

25 As used herein, fragments that are consistent with a particular sequence variation in a target molecule refer to those different fragments that are obtained by cleavage of a target molecule in more than one reaction using more than one cleavage reagent whose characteristics, including, but not limited to, mass, intensity or signal-to-noise ratio, when

analyzed according to the methods provided herein, indicate the presence of the same sequence variation in the target molecule.

As used herein, scoring or a score refers to a calculation of the probability that a particular sequence variation candidate is actually  
5 present in the target nucleic acid or protein sequence. The value of a score is used to determine the sequence variation candidate that corresponds to the actual target sequence. Usually, in a set of samples of target sequences, the highest score represents the most likely sequence variation in the target molecule, but other rules for selection can  
10 also be used, such as detecting a positive score, when a single target sequence is present.

As used herein, simulation (or simulating) refers to the calculation of a fragmentation pattern based on the sequence of a nucleic acid or protein and the predicted cleavage sites in the nucleic acid or protein  
15 sequence for a particular specific cleavage reagent. The fragmentation pattern can be simulated as a table of numbers (for example, as a list of peaks corresponding to the mass signals of fragments of a reference biomolecule), as a mass spectrum, as a pattern of bands on a gel, or as a representation of any technique that measures mass distribution.  
20 Simulations can be performed in most instances by a computer program.

As used herein, simulating cleavage refers to an *in silico* process in which a target molecule or a reference molecule is virtually cleaved.

As used herein, *in silico* refers to research and experiments performed using a computer. In silico methods include, but are not  
25 limited to, molecular modelling studies, biomolecular docking experiments, and virtual representations of molecular structures and/or processes, such as molecular interactions.

As used herein, a subject includes, but is not limited to, animals, plants, bacteria, viruses, parasites and any other organism or entity that  
30 has nucleic acid. Among subjects are mammals, preferably, although not



necessarily, humans. A patient refers to a subject afflicted with a disease or disorder.

As used herein, a phenotype refers to a set of parameters that includes any distinguishable trait of an organism. A phenotype can be physical traits and can be, in instances in which the subject is an animal, a mental trait, such as emotional traits.

As used herein, "assignment" refers to a determination that the position of a nucleic acid or protein fragment indicates a particular molecular weight and a particular terminal nucleotide or amino acid.

As used herein, "a" refers to one or more.

As used herein, "plurality" refers to two or more polynucleotides or polypeptides, each of which has a different sequence. Such a difference can be due to a naturally occurring variation among the sequences, for example, to an allelic variation in a nucleotide or an encoded amino acid, or can be due to the introduction of particular modifications into various sequences, for example, the differential incorporation of mass modified nucleotides into each nucleic acid or protein in a plurality.

As used herein, an array refers to a pattern produced by three or more items, such as three or more loci on a solid support.

As used herein, "unambiguous" refers to the unique assignment of peaks or signals corresponding to a particular sequence variation, such as a mutation, in a target molecule and, in the event that a number of molecules or mutations are multiplexed, that the peaks representing a particular sequence variation can be uniquely assigned to each mutation or each molecule.

As used herein, a data processing routine refers to a process, that can be embodied in software, that determines the biological significance of acquired data (*i.e.*, the ultimate results of the assay). For example, the data processing routine can make a genotype determination based upon the data collected. In the systems and methods herein, the data

processing routine also controls the instrument and/or the data collection routine based upon the results determined. The data processing routine and the data collection routines are integrated and provide feedback to operate the data acquisition by the instrument, and hence provide the  
5 assay-based judging methods provided herein.

As used herein, a plurality of genes includes at least two, five, 10, 25, 50, 100, 250, 500, 1000, 2,500, 5,000, 10,000, 100,000, 1,000,000 or more genes. A plurality of genes can include complete or partial genomes of an organism or even a plurality thereof. Selecting the  
10 organism type determines the genome from among which the gene regulatory regions are selected. Exemplary organisms for gene screening include animals, such as mammals, including human and rodent, such as mouse, insects, yeast, bacteria, parasites, and plants.

As used herein, "specifically hybridizes" refers to hybridization of a  
15 probe or primer only to a target sequence preferentially to a non-target sequence. Those of skill in the art are familiar with parameters that affect hybridization; such as temperature, probe or primer length and composition, buffer composition and salt concentration and can readily adjust these parameters to achieve specific hybridization of a nucleic acid  
20 to a target sequence.

As used herein, "sample" refers to a composition containing a material to be detected. In a preferred embodiment, the sample is a "biological sample." The term "biological sample" refers to any material obtained from a living source, for example, an animal such as a human or  
25 other mammal, a plant, a bacterium, a fungus, a protist or a virus. The biological sample can be in any form, including a solid material such as a tissue, cells, a cell pellet, a cell extract, or a biopsy, or a biological fluid such as urine, blood, saliva, amniotic fluid, exudate from a region of infection or inflammation, or a mouth wash containing buccal cells, urine,  
30 cerebral spinal fluid and synovial fluid and organs. Preferably solid

materials are mixed with a fluid. In particular, herein, the sample refers to a mixture of matrix used for mass spectrometric analyses and biological material such as nucleic acids. Derived from means that the sample can be processed, such as by purification or isolation and/or amplification of

5 nucleic acid molecules.

As used herein, a composition refers to any mixture. It can be a solution, a suspension, liquid, powder, a paste, aqueous, non-aqueous or any combination thereof.

As used herein, a combination refers to any association between

10 two or among more items.

As used herein, the term "1 1/4-cutter" refers to a restriction enzyme that recognizes and cleaves a 2 base stretch in the nucleic acid, in which the identity of one base position is fixed and the identity of the other base position is any three of the four naturally occurring bases.

15 As used herein, the term "1 1/2-cutter" refers to a restriction enzyme that recognizes and cleaves a 2 base stretch in the nucleic acid, in which the identity of one base position is fixed and the identity of the other base position is any two out of the four naturally occurring bases.

As used herein, the term "2 cutter" refers to a restriction enzyme

20 that recognizes and cleaves a specific nucleic acid site that is 2 bases long.

As used herein, the term "AFLP" refers to amplified fragment length polymorphism, and the term "RFLP" refers to restriction fragment length polymorphism.

25 As used herein, the term "amplicon" refers to a region of DNA that can be replicated.

As used herein, the term "complete cleavage" or "total cleavage" refers to a cleavage reaction in which all the cleavage sites recognized by a particular cleavage reagent are cut to completion.

As used herein, the term "false positives" refers to mass signals that are from background noise and not generated by specific actual or simulated cleavage of a nucleic acid or protein.

As used herein, the term "false negatives" refers to actual mass  
5 signals that are missing from an actual fragmentation spectrum but can be detected in the corresponding simulated spectrum.

As used herein, the term "partial cleavage" refers to a reaction in which only a fraction of the cleavage sites of a particular cleavage reagent are actually cut by the cleavage reagent.

10 As used herein, cleave means any manner in which a nucleic acid or protein molecule is cut into smaller pieces. The cleavage recognition sites can be one, two or more bases long. The cleavage means include physical cleavage, enzymatic cleavage, chemical cleavage and any other way smaller pieces of a nucleic acid are produced.

15 As used herein, cleavage conditions or cleavage reaction conditions refers to the set of one or more cleavage reagents that are used to perform actual or simulated cleavage reactions, and other parameters of the reactions including, but not limited to, time, temperature, pH, or choice of buffer.

20 As used herein, uncleaved cleavage sites means cleavage sites that are known recognition sites for a cleavage reagent but that are not cut by the cleavage reagent under the conditions of the reaction, *e.g.*, time, temperature, or modifications of the bases at the cleavage recognition sites to prevent cleavage by the reagent.

25 As used herein, complementary cleavage reactions refers to cleavage reactions that are carried out or simulated on the same target or reference nucleic acid or protein using different cleavage reagents or by altering the cleavage specificity of the same cleavage reagent such that alternate cleavage patterns of the same target or reference nucleic acid or  
30 protein are generated.

As used herein, a combination refers to any association between two or among more items or elements.

As used herein, a composition refers to a any mixture. It can be a solution, a suspension, liquid, powder, a paste, aqueous, non-aqueous or  
5 any combination thereof.

As used herein, fluid refers to any composition that can flow. Fluids thus encompass compositions that are in the form of semi-solids, pastes, solutions, aqueous mixtures, gels, lotions, creams and other such compositions.

10 As used herein, a cellular extract refers to a preparation or fraction which is made from a lysed or disrupted cell.

As used herein, a kit is combination in which components are packaged optionally with instructions for use and/or reagents and apparatus for use with the combination.

15 As used herein, a system refers to the combination of elements with software and any other elements for controlling and directing methods provided herein.

As used herein, software refers to computer readable program instructions that, when executed by a computer, performs computer  
20 operations. Typically, software is provided on a program product containing program instructions recorded on a computer readable medium, such as but not limited to, magnetic media including floppy disks, hard disks, and magnetic tape; and optical media including CD-ROM discs, DVD discs, magneto-optical discs, and other such media on which the  
25 program instructions can be recorded.

For clarity of disclosure, and not by any way of limitation, the detailed description is divided into the subsections below.

## B. Methods of Generating Fragments

### Nucleic Acid Fragmentation

Fragmentation of nucleic acids is known in the art and can be achieved in many ways. For example, polynucleotides composed of DNA, RNA, analogs of DNA and RNA or combinations thereof, can be fragmented physically, chemically, or enzymatically, as long as the fragmentation is obtained by cleavage at a specific site in the target nucleic acid. Fragments can be cleaved at a specific position in a target nucleic acid sequence based on (i) the base specificity of the cleaving reagent (*e.g.*, A, G, C, T or U, or the recognition of modified bases or nucleotides); or (ii) the structure of the target nucleic acid; or (iii) a combination of both, are generated from the target nucleic acid. Fragments can vary in size, and suitable fragments are typically less than about 2000 nucleic acids. Suitable fragments can fall within several ranges of sizes including but not limited to: less than about 1000 bases, between about 100 to about 500 bases, or from about 25 to about 200 bases. In some aspects, fragments of about one nucleic acid are desirable.

Polynucleotides can be fragmented by chemical reactions including for example, hydrolysis reactions including base and acid hydrolysis. Alkaline conditions can be used to fragment polynucleotides comprising RNA because RNA is unstable under alkaline conditions. *See, e.g., Nordhoff et al. (1993) Ion stability of nucleic acids in infrared matrix-assisted laser desorption/ionization mass spectrometry, Nucl. Acids Res., 21(15):3347-57.* DNA can be hydrolyzed in the presence of acids, typically strong acids such as 6M HCl. The temperature can be elevated above room temperature to facilitate the hydrolysis. Depending on the conditions and length of reaction time, the polynucleotides can be fragmented into various sizes including single base fragments. Hydrolysis can, under rigorous conditions, break both of the phosphate ester bonds

and also the N-glycosidic bond between the deoxyribose and the purines and pyrimidine bases.

An exemplary acid/base hydrolysis protocol for producing polynucleotide fragments is described in Sargent *et al.* (1988) *Methods Enzymol.*, 152:432. Briefly, 1 g of DNA is dissolved in 50 mL 0.1 N NaOH. 1.5 mL concentrated HCl is added, and the solution is mixed quickly. DNA will precipitate immediately, and should not be stirred for more than a few seconds to prevent formation of a large aggregate. The sample is incubated at room temperature for 20 minutes to partially depurinate the DNA. Subsequently, 2 mL 10 N NaOH (OH<sup>-</sup> concentration to 0.1 N) is added, and the sample is stirred till DNA redissolves completely. The sample is then incubated at 65°C for 30 minutes to hydrolyze the DNA. Typical sizes range from about 250-1000 nucleotides but can vary lower or higher depending on the conditions of hydrolysis.

Another process whereby nucleic acid molecules are chemically cleaved in a base-specific manner is provided by A.M. Maxam and W. Gilbert, *Proc. Natl. Acad. Sci. USA* 74:560-64, 1977, and incorporated by reference herein. Individual reactions were devised to cleave preferentially at guanine, at adenine, at cytosine and thymine, and at cytosine alone.

Polynucleotides can also be cleaved *via* alkylation, particularly phosphorothioate-modified polynucleotides. K.A. Browne (2002) Metal ion-catalyzed nucleic Acid alkylation and fragmentation. J. Am. Chem. Soc. 124(27):7950-62. Alkylation at the phosphorothioate modification renders the polynucleotide susceptible to cleavage at the modification site. I.G. Gut and S. Beck describe methods of alkylating DNA for detection in mass spectrometry. I.G. Gut and S. Beck (1995) A procedure for selective DNA alkylation and detection by mass spectrometry. Nucleic Acids Res. 23(8):1367-73. Another approach uses the acid lability of P3'-N5'-phosphoroamidate-containing DNA (Shchepinov *et al.*, "Matrix-

induced fragmentation of P3'-N5'-phosphoroamidate-containing DNA: high-throughput MALDI-TOF analysis of genomic sequence polymorphisms," *Nucleic Acids Res.* 25: 3864-3872 (2001). Either dCTP or dTTP are replaced by their analog P-N modified nucleoside

- 5 triphosphates and are introduced into the target sequence by primer extension reaction subsequent to PCR. Subsequent acidic reaction conditions produce base-specific cleavage fragments. In order to minimize depurination of adenine and guanine residues under the acidic cleavage conditions required, 7-deaza analogs of dA and dG can be used.
- 10 Single nucleotide mismatches in DNA heteroduplexes can be cleaved by the use of osmium tetroxide and piperidine, providing an alternative strategy to detect single base substitutions, generically named the "Mismatch Chemical Cleavage" (MCC) (Gogos *et al.*, *Nucl. Acids Res.*, 18: 6807-6817 [1990]).
- 15 Polynucleotide fragmentation can also be achieved by irradiating the polynucleotides. Typically, radiation such as gamma or x-ray radiation will be sufficient to fragment the polynucleotides. The size of the fragments can be adjusted by adjusting the intensity and duration of exposure to the radiation. Ultraviolet radiation can also be used. The
- 20 intensity and duration of exposure can also be adjusted to minimize undesirable effects of radiation on the polynucleotides. Boiling polynucleotides can also produce fragments. Typically a solution of polynucleotides is boiled for a couple hours under constant agitation. Fragments of about 500 bp can be achieved. The size of the fragments
- 25 can vary with the duration of boiling.

- Polynucleotide fragments can result from enzymatic cleavage of single or multi-stranded polynucleotides. Multistranded polynucleotides include polynucleotide complexes comprising more than one strand of polynucleotides, including for example, double and triple stranded
- 30 polynucleotides. Depending on the enzyme used, the polynucleotides are



cut nonspecifically or at specific nucleotides sequences. Any enzyme capable of cleaving a polynucleotide can be used including but not limited to endonucleases, exonucleases, ribozymes, and DNAzymes. Enzymes useful for fragmenting polynucleotides are known in the art and are commercially available. See for example Sambrook, J., Russell, D.W., *Molecular Cloning: A Laboratory Manual*, the third edition, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, New York, 2001, which is incorporated herein by reference. Enzymes can also be used to degrade large polynucleotides into smaller fragments.

- 10           Endonucleases are an exemplary class of enzymes useful for fragmenting polynucleotides. Endonucleases have the capability to cleave the bonds within a polynucleotide strand. Endonucleases can be specific for either double-stranded or single stranded polynucleotides. Cleavage can occur randomly within the polynucleotide or can cleave at specific
- 15 sequences. Endonucleases which randomly cleave double strand polynucleotides often make interactions with the backbone of the polynucleotide. Specific fragmentation of polynucleotides can be accomplished using one or more enzymes in sequential reactions or contemporaneously. Homogenous or heterogenous polynucleotides can
- 20 be cleaved. Cleavage can be achieved by treatment with nuclease enzymes provided from a variety of sources including the Cleavase™ enzyme, Taq DNA polymerase, *E. coli* DNA polymerase I and eukaryotic structure-specific endonucleases, murine FEN-1 endonucleases [Harrington and Liener, (1994) *Genes and Develop.* 8:1344] and calf
- 25 thymus 5' to 3' exonuclease [Murante, R. S., et al. (1994) *J. Biol. Chem.* 269:1191]). In addition, enzymes having 3' nuclease activity such as members of the family of DNA repair endonucleases (e.g., the RrpI enzyme from *Drosophila melanogaster*, the yeast RAD1/RAD10 complex and *E. coli* Exo III), can also be used for enzymatic cleavage.

Restriction endonucleases are a subclass of endonucleases which recognize specific sequences within double-strand polynucleotides and typically cleave both strands either within or close to the recognition sequence. One commonly used enzyme in DNA analysis is HaeIII, which

5 cuts DNA at the sequence 5'-GGCC-3'. Other exemplary restriction endonucleases include Acc I, Afl III, Alu I, Alw44 I, Apa I, Asn I, Ava I, Ava II, BamH I, Ban II, Bcl I, Bgl I, Bgl II, Bln I, Bsm I, BssH II, BstE II, Cfo I, Cla I, Dde I, Dpn I, Dra I, EclX I, EcoR I, EcoR I, EcoR II, EcoR V, Hae II, Hae III, Hind II, Hind III, Hpa I, Hpa II, Kpn I, Ksp I, Mlu I, MluN I, Msp

10 I, Nci I, Nco I, Nde I, Nde II, Nhe I, Not I, Nru I, Nsi I, Pst I, Pvu I, Pvu II, Rsa I, Sac I, Sal I, Sau3A I, Sca I, ScrF I, Sfi I, Sma I, Spe I, Sph I, Ssp I, Stu I, Sty I, Swa I, Taq I, Xba I, Xho I. The cleavage sites for these enzymes are known in the art.

Restriction enzymes are divided in types I, II, and III. Type I and

15 type II enzymes carry modification and ATP-dependent cleavage in the same protein. Type III enzymes cut DNA at a recognition site and then dissociate from the DNA. Type I enzymes cleave a random sites within the DNA. Any class of restriction endonucleases can be used to fragment polynucleotides. Depending on the enzyme used, the cut in the

20 polynucleotide can result in one strand overhanging the other also known as "sticky" ends. BamHI generates cohesive 5' overhanging ends. KpnI generates cohesive 3' overhanging ends. Alternatively, the cut can result in "blunt" ends that do not have an overhanging end. DraI cleavage generates blunt ends. Cleavage recognition sites can be masked, for

25 example by methylation, if needed. Many of the known restriction endonucleases have 4 to 6 base-pair recognition sequences (Eckstein and Lilley (eds.), *Nucleic Acids and Molecular Biology*, vol. 2, Springer-Verlag, Heidelberg [1988]).

A small number of rare-cutting restriction enzymes with 8 base-pair

30 specificities have been isolated and these are widely used in genetic

mapping, but these enzymes are few in number, are limited to the recognition of G + C-rich sequences, and cleave at sites that tend to be highly clustered (Barlow and Lehrach, Trends Genet., 3:167 [1987]).

Recently, endonucleases encoded by group I introns have been  
 5 discovered that might have greater than 12 base-pair specificity (Perlman and Butow, Science 246:1106 [1989]).

Restriction endonucleases can be used to generate a variety of polynucleotide fragment sizes. For example, CviJ1 is a restriction endonuclease that recognizes between a two and three base DNA  
 10 sequence. Complete digestion with CviJ1 can result in DNA fragments averaging from 16 to 64 nucleotides in length. Partial digestion with CviJ1 can therefore fragment DNA in a "quasi" random fashion similar to shearing or sonication. CviJ1 normally cleaves RGCY sites between the G and C leaving readily cloneable blunt ends, wherein R is any purine and Y  
 15 is any pyrimidine. In the presence of 1 mM ATP and 20% dimethyl sulfoxide the specificity of cleavage is relaxed and CviJ1 also cleaves RGCN and YGCY sites. Under these "star" conditions, CviJ1 cleavage generates quasi-random digests. Digested or sheared DNA can be size selected at this point.

20 Methods for using restriction endonucleases to fragment polynucleotides are widely known in the art. In one exemplary protocol a reaction mixture of 20-50 $\mu$ l is prepared containing: DNA 1-3 $\mu$ g; restriction enzyme buffer 1X; and a restriction endonuclease 2 units for 1 $\mu$ g of DNA. Suitable buffers are also known in the art and include suitable ionic  
 25 strength, cofactors, and optionally, pH buffers to provide optimal conditions for enzymatic activity. Specific enzymes can require specific buffers which are generally available from commercial suppliers of the enzyme. An exemplary buffer is potassium glutamate buffer (KGB). Hannish, J. and M. McClelland. (1988). Activity of DNA modification and  
 30 restriction enzymes in KGB, a potassium glutamate buffer. Gene Anal.

Tech. 5:105; McClelland, M. *et al.* (1988) A single buffer for all restriction endonucleases. Nucleic Acid Res. 16:364. The reaction mixture is incubated at 37°C for 1 hour or for any time period needed to produce fragments of a desired size or range of sizes. The reaction can be stopped  
 5 by heating the mixture at 65°C or 80°C as needed. Alternatively, the reaction can be stopped by chelating divalent cations such as  $Mg^{2+}$  with for example, EDTA.

More than one enzyme can be used to fragment the polynucleotide. Multiple enzymes can be used in sequential reactions or in the same  
 10 reaction provided the enzymes are active under similar conditions such as ionic strength, temperature, or pH. Typically, multiple enzymes are used with a standard buffer such as KGB. The polynucleotides can be partially or completely digested. Partially digested means only a subset of the restriction sites are cleaved. Complete digestion means all of the  
 15 restriction sites are cleaved.

Endonucleases can be specific for certain types of polynucleotides. For example, endonuclease can be specific for DNA or RNA. Ribonuclease H is an endoribonuclease that specifically degrades the RNA strand in an RNA-DNA hybrid. Ribonuclease A is an endoribonuclease  
 20 that specifically attacks single-stranded RNA at C and U residues. Ribonuclease A catalyzes cleavage of the phosphodiester bond between the 5'-ribose of a nucleotide and the phosphate group attached to the 3'-ribose of an adjacent pyrimidine nucleotide. The resulting 2',3'-cyclic phosphate can be hydrolyzed to the corresponding 3'-nucleoside  
 25 phosphate. RNase T1 digests RNA at only G ribonucleotides and RNase U<sub>2</sub> digests RNA at only A ribonucleotides. The use of mono-specific RNases such as RNase T<sub>1</sub> (G specific) and RNase U<sub>2</sub> (A specific) has become routine (Donis-Keller *et al.*, Nucleic Acids Res. 4: 2527-2537 (1977); Gupta and Randerath, Nucleic Acids Res. 4: 1957-1978 (1977);  
 30 Kuchino and Nishimura, Methods Enzymol. 180: 154-163 (1989); and

Hahner et al., Nucl. Acids Res. 25(10): 1957-1964 (1997)). Another enzyme, chicken liver ribonuclease (RNase CL3) has been reported to cleave preferentially at cytidine, but the enzyme's proclivity for this base has been reported to be affected by the reaction conditions (Boguski *et al.*, J. Biol. Chem. 255: 2160-2163 (1980)). Recent reports also claim cytidine specificity for another ribonuclease, cusativin, isolated from dry seeds of *Cucumis sativus L* (Rojo *et al.*, Planta 194: 328-338 (1994)). Alternatively, the identification of pyrimidine residues by use of RNase PhyM (A and U specific) (Donis-Keller, H. Nucleic Acids Res. 8: 3133-3142 (1980)) and RNase A (C and U specific) (Simoncsits *et al.*, Nature 269: 833-836 (1977); Gupta and Randerath, Nucleic Acids Res. 4: 1957-1978 (1977)) has been demonstrated. In order to reduce ambiguities in sequence determination, additional limited alkaline hydrolysis can be performed. Since every phosphodiester bond is potentially cleaved under these conditions, information about omitted and/or unspecific cleavages can be obtained this way ((Donis-Keller *et al.*, Nucleic Acids Res. 4: 2527-2537 (1977)). Benzonase™, nuclease P1, and phosphodiesterase I are nonspecific endonucleases that are suitable for generating polynucleotide fragments ranging from 200 base pairs or less.

20 Benzonase™ is a genetically engineered endonuclease which degrades both DNA and RNA strands in many forms and is described in US Patent No. 5,173,418 which is incorporated by reference herein.

DNA glycosylases specifically remove a certain type of nucleobase from a given DNA fragment. These enzymes can thereby produce abasic sites, which can be recognized either by another cleavage enzyme, cleaving the exposed phosphate backbone specifically at the abasic site and producing a set of nucleobase specific fragments indicative of the sequence, or by chemical means, such as alkaline solutions and or heat. The use of one combination of a DNA glycosylase and its targeted

nucleotide would be sufficient to generate a base specific signature pattern of any given target region.

Numerous DNA glycosylases are known. For example, a DNA glycosylase can be uracil-DNA glycosylase (UDG), 3-methyladenine DNA glycosylase, 3-methyladenine DNA glycosylase II, pyrimidine hydrate-DNA glycosylase, FaPy-DNA glycosylase, thymine mismatch-DNA glycosylase, hypoxanthine-DNA glycosylase, 5-Hydroxymethyluracil DNA glycosylase (HmUDG), 5-Hydroxymethylcytosine DNA glycosylase, or 1,N6-etheno-adenine DNA glycosylase (see, *e.g.*, U.S. Patent Nos. 5,536,649; 5,888, 795; 5,952,176; 6,099,553; and 6,190,865 B1; International PCT application Nos. WO 97/03210, WO 99/54501; see, also, Eftedal *et al.* (1993) *Nucleic Acids Res* 21:2095-2101, Bjelland and Seeberg (1987) *Nucleic Acids Res.* 15:2787-2801, Saparbaev *et al.* (1995) *Nucleic Acids Res.* 23:3750-3755, Bessho (1999) *Nucleic Acids Res.* 27:979-983) corresponding to the enzyme's modified nucleotide or nucleotide analog target.

Uracil, for example, can be incorporated into an amplified DNA molecule by amplifying the DNA in the presence of normal DNA precursor nucleotides (*e.g.* dCTP, dATP, and dGTP) and dUTP. When the amplified product is treated with UDG, uracil residues are cleaved. Subsequent chemical treatment of the products from the UDG reaction results in the cleavage of the phosphate backbone and the generation of nucleobase specific fragments. Moreover, the separation of the complementary strands of the amplified product prior to glycosylase treatment allows complementary patterns of fragmentation to be generated. Thus, the use of dUTP and Uracil DNA glycosylase allows the generation of T specific fragments for the complementary strands, thus providing information on the T as well as the A positions within a given sequence. A C-specific reaction on both (complementary) strands (*i.e.*, with a C-specific glycosylase) yields information on C as well as G positions within a given

sequence if the fragmentation patterns of both amplification strands are analyzed separately. With the glycosylase method and mass spectrometry, a full series of A, C, G and T specific fragmentation patterns can be analyzed.

5           Several methods exist where treatment of DNA with specific chemicals modifies existing bases so that they are recognized by specific DNA glycosylases. For example, treatment of DNA with alkylating agents such as methylnitrosourea generates several alkylated bases including N3-methyladenine and N3-methylguanine which are recognized and cleaved  
10 by alkyl purine DNA-glycosylase. Treatment of DNA with sodium bisulfite causes deamination of cytosine residues in DNA to form uracil residues in the DNA which can be cleaved by uracil N-glycosylase (also known as uracil DNA-glycosylase). Chemical reagents can also convert guanine to its oxidized form, 8-hydroxyguanine, which can be cleaved by  
15 formamidopyrimidine DNA N-glycosylase (FPG protein) (Chung *et al.*, "An endonuclease activity of *Escherichia coli* that specifically removes 8-hydroxyguanine residues from DNA," Mutation Research 254: 1-12 (1991)). The use of mismatched nucleotide glycosylases have been reported for cleaving polynucleotides at mismatched nucleotide sites for  
20 the detection of point mutations (Lu, A-L and Hsu, I-C, Genomics (1992) 14, 249-255 and Hsu, I-C., et al, Carcinogenesis (1994) 14, 1657-1662). The glycosylases used include the *E. coli* Mut Y gene product which releases the mispaired adenines of A/G mismatches efficiently, and releases A/C mismatches albeit less efficiently, and human thymidine  
25 DNA glycosylase which cleaves at Gfr mismatches. Fragments are produced by glycosylase treatment and subsequent cleavage of the abasic site.

Fragmentation of nucleic acids for the methods as provided herein can also be accomplished by dinucleotide ("2 cutter") or relaxed  
30 dinucleotide ("1 and 1/2 cutter", *e.g.*) cleavage specificity. Dinucleotide-

specific cleavage reagents are known to those of skill in the art and are incorporated by reference herein (*see, e.g.*, WO 94/21663; Cannistraro *et al.*, *Eur. J. Biochem.*, 181:363-370, 1989; Stevens *et al.*, *J. Bacteriol.*, 164:57-62, 1985; Marotta *et al.*, *Biochemistry*, 12:2901-2904, 1973).

- 5 Stringent or relaxed dinucleotide-specific cleavage can also be engineered through the enzymatic and chemical modification of the target nucleic acid. For example, transcripts of the target nucleic acid of interest can be synthesized with a mixture of regular and  $\alpha$ -thio-substrates and the phosphorothioate internucleoside linkages can subsequently be modified
- 10 by alkylation using reagents such as an alkyl halide (*e.g.*, iodoacetamide, iodoethanol) or 2,3-epoxy-1-propanol. The phosphotriester bonds formed by such modification are not expected to be substrates for RNAses. Using this procedure, a mono-specific RNase, such as RNase-T1, can be made to cleave any three, two or one out of the four possible GpN bonds
- 15 depending on which substrates are used in the  $\alpha$ -thio form for target preparation. The repertoire of useful dinucleotide-specific cleavage reagents can be further expanded by using additional RNAses, such as RNase-U2 and RNase-A. In the case of RNase A, for example, the cleavage specificity can be restricted to CpN or UpN dinucleotides
- 20 through enzymatic incorporation of the 2'-modified form of appropriate nucleotides, depending on the desired cleavage specificity. Thus, to make RNase A specific for CpG nucleotides, a transcript (target molecule) is prepared by incorporating  $\alpha$ S-dUTP,  $\alpha$ S-ATP,  $\alpha$ S-CTP and GTP nucleotides. These selective modification strategies can also be used to
- 25 prevent cleavage at every base of a homopolymer tract by selectively modifying some of the nucleotides within the homopolymer tract to render the modified nucleotides less resistant or more resistant to cleavage.

DNases can also be used to generate polynucleotide fragments.

- 30 Anderson, S. (1981) Shotgun DNA sequencing using cloned DNase I-



generated fragments. Nucleic Acids Res. 9:3015-3027. DNase I (Deoxyribonuclease I) is an endonuclease that digests double- and single-stranded DNA into poly- and mono-nucleotides. The enzyme is able to act upon single as well as double-stranded DNA and on chromatin.

- 5 Deoxyribonuclease type II is used for many applications in nucleic acid research including DNA sequencing and digestion at an acidic pH. Deoxyribonuclease II from porcine spleen has a molecular weight of 38,000 daltons. The enzyme is a glycoprotein endonuclease with dimeric structure. Optimum pH range is 4.5 - 5.0 at ionic strength 0.15 M.
- 10 Deoxyribonuclease II hydrolyzes deoxyribonucleotide linkages in native and denatured DNA yielding products with 3'-phosphates. It also acts on p-nitrophenylphosphodiesterases at pH 5.6 - 5.9. Ehrlich, S.D. et al. (1971) Studies on acid deoxyribonuclease. IX. 5'-Hydroxy-terminal and penultimate nucleotides of oligonucleotides obtained from calf thymus
- 15 deoxyribonucleic acid. Biochemistry. 10(11):2000-9.

Large single stranded polynucleotides can be fragmented into small polynucleotides using nuclease that remove various lengths of bases from the end of a polynucleotide. Exemplary nucleases for removing the ends of single stranded polynucleotides include but are not limited to S1, Bal

- 20 31, and mung bean nucleases. For example, mung bean nuclease degrades single stranded DNA to mono or polynucleotides with phosphate groups at their 5' termini. Double stranded nucleic acids can be digested completely if exposed to very large amounts of this enzyme.

Exonucleases are proteins that also cleave nucleotides from the

- 25 ends of a polynucleotide, for example a DNA molecule. There are 5' exonucleases (cleave the DNA from the 5'-end of the DNA chain) and 3' exonucleases (cleave the DNA from the 3'-end of the chain). Different exonucleases can hydrolyse single-strand or double strand DNA. For example, Exonuclease III is a 3' to 5' exonuclease, releasing 5'-
- 30 mononucleotides from the 3'-ends of DNA strands; it is a DNA 3'-

phosphatase, hydrolyzing 3'-terminal phosphomonoesters; and it is an AP endonuclease, cleaving phosphodiester bonds at apurinic or apyrimidinic sites to produce 5'-termini that are base-free deoxyribose 5'-phosphate residues. In addition, the enzyme has an RNase H activity; it will

- 5 preferentially degrade the RNA strand in a DNA-RNA hybrid duplex, presumably exonucleolytically. In mammalian cells, the major DNA 3'-exonuclease is DNase III (also called TREX-1). Thus, fragments can be formed by using exonucleases to degrade the ends of polynucleotides.

- Catalytic DNA and RNA are known in the art and can be used to  
 10 cleave polynucleotides to produce polynucleotide fragments. Santoro, S. W. and Joyce, G. F. (1997) A general purpose RNA-cleaving DNA enzyme. Proc. Natl. Acad. Sci. USA 94: 4262-4266. DNA as a single-stranded molecule can fold into three dimensional structures similar to RNA, and the 2'-hydroxy group is dispensable for catalytic action. As  
 15 ribozymes, DNAzymes can also be made, by selection, to depend on a cofactor. This has been demonstrated for a histidine-dependent DNAzyme for RNA hydrolysis. US Patent Nos. 6,326,174 and 6,194,180 disclose deoxyribonucleic acid enzymes--catalytic or enzymatic DNA molecules--capable of cleaving nucleic acid sequences or molecules, particularly  
 20 RNA. US Patent Nos. 6,265,167; 6,096,715; 5,646,020 disclose ribozyme compositions and methods and are incorporated herein by reference.

- A DNA nickase, or DNase, can be used to recognize and cleave one strand of a DNA duplex. Numerous nickases are known. Among these,  
 25 for example, are nickase NY2A nickase and NYS1 nickase (Megabase) with the following cleavage sites:

NY2A: 5'...R AG...3'

3'...Y TC...5' where R = A or G and Y = C or T

NYS1: 5'... CC[A/G/T]...3'

- 30 3'... GG[T/C/A]...5'.

Subsequent chemical treatment of the products from the nickase reaction results in the cleavage of the phosphate backbone and the generation of fragments.

The Fen-1 fragmentation method involves the enzymes Fen-1 enzyme, which is a site-specific nuclease known as a "flap" endonuclease (US 5,843,669, 5,874,283, and 6,090,606). This enzyme recognizes and cleaves DNA "flaps" created by the overlap of two oligonucleotides hybridized to a target DNA strand. This cleavage is highly specific and can recognize single base pair mutations, permitting detection of a single  
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cleaves polynucleotides randomly can be used in combination with an enzyme that cleaves polynucleotides specifically. Used in combination means performing one or more methods after another or contemporaneously on a polynucleotide.

## 5 Peptide Fragmentation

As interest in proteomics has increased as a field of study, a number of techniques have been developed for protein fragmentation for use in protein sequencing. Among these are chemical and enzymatic hydrolysis, and fragmentation by ionization energy.

- 10 Sequential cleavage of the N-terminus of proteins is well known in the art, and can be accomplished using Edman degradation. In this process, the N-terminal amino acid is reacted with phenylisothiocyanate to a PTC-protein with an intermediate anilinothiazolinone forming when contacted with trifluoroacetic acid. The intermediate is cleaved and
- 15 converted to the phenylthiohydantoin form and subsequently separated, and identified by comparison to a standard. To facilitate protein cleavage, proteins can be reduced and alkylated with vinylpyridine or iodoacetamide.

- Chemical cleavage of proteins using cyanogen bromide is well
- 20 known in the art (Nikodem and Fresco, *Anal. Biochem.* 97: 382-386 (1979); Jahnen et al., *Biochem. Biophys. Res. Commun.* 166: 139-145 (1990)). Cyanogen bromide (CNBr) is one of the best methods for initial cleavage of proteins. CNBr cleaves proteins at the C-terminus of methionyl residues. Because the number of methionyl residues in proteins
- 25 is usually low, CNBr usually generates a few large fragments. The reaction is usually performed in a 70% formic acid or 50% trifluoroacetic acid with a 50- to 100-fold molar excess of cyanogen bromide to methionine. Cleavage is usually quantitative in 10-12 hours, although the reaction is usually allowed to proceed for 24 hours. Some Met-Thr bonds

are not cleaved, and cleavage can be prevented by oxidation of methionines.

Proteins can also be cleaved using partial acid hydrolysis methods to remove single terminal amino acids (Vanfleteren *et al.*, BioTechniques 5 12: 550-557 (1992). Peptide bonds containing aspartate residues are particularly susceptible to acid cleavage on either side of the aspartate residue, although usually quite harsh conditions are needed. Hydrolysis is usually performed in concentrated or constant boiling hydrochloric acid in sealed tubes at elevated temperatures for various time intervals from 2 to 10 18 hours. Asp-Pro bonds can be cleaved by 88% formic acid at 37°. Asp-Pro bonds have been found to be susceptible under conditions where other Asp-containing bonds are quite stable. Suitable conditions are the incubation of protein (at about 5 mg/ml) in 10% acetic acid, adjusted to pH 2.5 with pyridine, for 2 to 5 days at 40°C.

15 Brominating reagents in acidic media have been used to cleave polypeptide chains. Reagents such as N-bromosuccinimide will cleave polypeptides at a variety of sites, including tryptophan, tyrosine, and histidine, but often give side reactions which lead to insoluble products. BNPS-skatole [2-(2-nitrophenylsulfenyl)-3-methylindole] is a mild oxidant 20 and brominating reagent that leads to polypeptide cleavage on the C-terminal side of tryptophan residues.

Although reaction with tyrosine and histidine can occur, these side reactions can be considerably reduced by including tyrosine in the reaction mix. Typically, protein at about 10 mg/ml is dissolved in 75% 25 acetic acid and a mixture of BNPS-skatole and tyrosine (to give 100-fold excess over tryptophan and protein tyrosine, respectively) is added and incubated for 18 hours. The peptide-containing supernatant is obtained by centrifugation.

Apart from the problem of mild acid cleavage of Asp-Pro bonds, 30 which is also encountered under the conditions of BNPS-skatole

treatment, the only other potential problem is the fact that any methionine residues are converted to methioninesulfoxide, which cannot then be cleaved by cyanogen bromide. If CNBr cleavage of peptides obtained from BNPS-skatole cleavage is necessary, the methionine residues can be  
 5 regenerated by incubation with 15% mercaptoethanol at 30°C for 72 hours.

Treating proteins with o-iodosobenzoic acid cleaves tryptophan-X bonds under quite mild conditions. Protein, in 80% acetic acid containing 4 M guanidine hydrochloride, is incubated with iodobenzoic acid  
 10 (approximately 2 mg/ml of protein) that has been preincubated with p-cresol for 24 hours in the dark at room temperature. The reaction can be terminated by the addition of dithioerythritol. Care must be taken to use purified o-iodosobenzoic acid since a contaminant, o-iodoxybenzoic acid, will cause cleavage at tyrosine-X bonds and possibly histidine-X bonds.  
 15 The function of p-cresol in the reaction mix is to act as a scavenging agent for residual o-iodoxybenzoic acid and to improve the selectivity of cleavage.

Two reagents are available that produce cleavage of peptides containing cysteine residues. These reagents are (2-methyl) *N*-1--  
 20 benzenesulfonyl-*N*-4-(bromoacetyl)quinone diimide (otherwise known as Cyssor, for "cysteine-specific scission by organic reagent") and 2-nitro-5-thiocyanobenzoic acid (NTCB). In both cases cleavage occurs on the amino-terminal side of the cysteine.

Incubation of proteins with hydroxylamine results in the  
 25 fragmentation of the polypeptide backbone (Saris et al., Anal. Biochem. 132: 54-67 (1983). Hydroxylaminolysis leads to cleavage of any asparaginyl-glycine bonds. The reaction occurs by incubating protein, at a concentration of about 4 to 5 mg/ml, in 6 M guanidine hydrochloride, 20 mM sodium acetate + 1% mercaptoethanol at pH 5.4, and adding an  
 30 equal volume of 2 M hydroxylamine in 6 M guanidine hydrochloride at pH

9.0. The pH of the resultant reaction mixture is kept at 9.0 by the addition of 0.1 N NaOH and the reaction allowed to proceed at 45°C for various time intervals; it can be terminated by the addition of 0.1 volume of acetic acid. In the absence of hydroxylamine, a base-catalyzed  
5 rearrangement of the cyclic imide intermediate can take place, giving a mixture of  $\alpha$ -aspartylglycine and  $\beta$ -aspartylglycine without peptide cleavage.

There are many methods known in the art for hydrolysing protein by use of a proteolytic enzymes (Cleveland *et al.*, J. Biol. Chem. 252:  
10 1102-1106 (1977). All peptidases or proteases are hydrolases which act on protein or its partial hydrolysate to decompose the peptide bond. Native proteins are poor substrates for proteases and are usually denatured by treatment with urea prior to enzymatic cleavage. The prior art discloses a large number of enzymes exhibiting peptidase,  
15 aminopeptidase and other enzyme activities, and the enzymes can be derived from a number of organisms, including vertebrates, bacteria, fungi, plants, retroviruses and some plant viruses. Proteases have been useful, for example, in the isolation of recombinant proteins. See, for example, U.S. Pat. Nos. 5,387,518, 5,391,490 and 5,427,927, which  
20 describe various proteases and their use in the isolation of desired components from fusion proteins.

The proteases can be divided into two categories. Exopeptidases, which include carboxypeptidases and aminopeptidases, remove one or more amino terminal residues from polypeptides. Endopeptidases, which  
25 cleave within the polypeptide sequence, cleave between specific residues in the protein sequence. The various enzymes exhibit differing requirements for optimum activity, including ionic strength, temperature, time and pH. There are neutral endoproteases (such as Neutrase™) and alkline endoproteases (such as Alcalase™ and Esperase™), as well as  
30 acid-resistant carboxypeptidases (such as carboxypeptidase-P).

There has been extensive investigation of proteases to improve their activity and to extend their substrate specificity (for example, see U.S. Pat. Nos. 5,427,927; 5,252,478; and 6,331,427 B1). One method for extending the targets of the proteases has been to insert into the

5 target protein the cleavage sequence that is required by the protease. Recently, a method has been disclosed for making and selecting site-specific proteases ("designer proteases") able to cleave a user-defined recognition sequence in a protein (see U.S. Pat. No. 6,383,775).

The different endopeptidase enzymes cleave proteins at a diverse

10 selection of cleavage sites. For example, the endopeptidase renin cleaves between the leucine residues in the following sequence: Pro-Phe-His-Leu-Leu-Val-Tyr (SEQ ID NO:1) (Haffey, M. L. et al., DNA 6:565 (1987). Factor Xa protease cleaves after the Arg in the following sequences: Ile-Glu-Gly-Arg-X; Ile-Asp-Gly-Arg-X; and Ala-Glu-Gly-Arg-X, where X is any

15 amino acid except proline or arginine, (SEQ ID NOS:2-4, respectively) (Nagai, K. and Thogersen, H. C., Nature 309:810 (1984); Smith, D. B. and Johnson, K. S. Gene 67:31 (1988)). Collagenase cleaves following the X and Y residues in following sequence: -Pro-X-Gly-Pro-Y- (where X and Y are any amino acid) (SEQ ID NO:5) (Germino J. and Bastis, D.,

20 Proc. Natl. Acad. Sci. USA 81:4692 (1984)). Glutamic acid endopeptidase from *S. aureus* V8 is a serine protease specific for the cleavage of peptide bonds at the carboxy side of aspartic acid under acid conditions or glutamic acid alkaline conditions.

Trypsin specifically cleaves on the carboxy side of arginine, lysine,

25 and S-aminoethyl-cysteine residues, but there is little or no cleavage at arginyl-proline or lysyl-proline bonds. Pepsin cleaves preferentially C-terminal to phenylalanine, leucine, and glutamic acid, but it does not cleave at valine, alanine, or glycine. Chymotrypsin cleaves on the C-terminal side of phenylalanine, tyrosine, tryptophan, and leucine.

30 Aminopeptidase P is the enzyme responsible for the release of any N-



terminal amino acid adjacent to a proline residue. Proline dipeptidase (prolidase) splits dipeptides with a prolyl residue in the carboxyl terminal position.

#### Ionization Fragmentation Cleavage of Peptides or Nucleic Acids

- 5 Ionization fragmentation of proteins or nucleic acids is accomplished during mass spectrometric analysis either by using higher voltages in the ionization zone of the mass spectrometer (MS) to fragment by tandem MS using collision-induced dissociation in the ion trap. (*see, e.g.,* Bieman, *Methods in Enzymology*, 193:455-479 (1990)). The amino  
10 acid or base sequence is deduced from the molecular weight differences observed in the resulting MS fragmentation pattern of the peptide or nucleic acid using the published masses associated with individual amino acid residues or nucleotide residues in the MS.

- Complete sequencing of a protein is accomplished by cleavage of  
15 the peptide at almost every residue along the peptide backbone. When a basic residue is located at the N-terminus and/or C-terminus, most of the ions produced in the collision induced dissociation (CID) spectrum will contain that residue (*see, Zaia, J., in: Protein and Peptide Analysis by Mass Spectrometry, J. R. Chapman, ed., pp. 29-41, Humana Press, Totowa, N.J., 1996; and Johnson, R. S., et al., Mass Spectrom. Ion Processes, 86:137-154 (1988)*) since positive charge is generally  
20 localized at the basic site. The presence of a basic residue typically simplifies the resulting spectrum, since a basic site directs the fragmentation into a limited series of specific daughter ions. Peptides  
25 that lack basic residues tend to fragment into a more complex mixture of fragment ions that makes sequence determination more difficult. This can be overcome by attaching a hard positive charge to the N-terminus. *See, Johnson, R. S., et al., Mass Spectrom. Ion Processes, 86:137-154 (1988); Vath, J. E., et al., Fresenius Z Anal. Chem., 331:248-252 (1988);*  
30 *Stults, J. T., et al., Anal. Chem., 65:1703-1708 (1993); Zaia, J., et al., J*

Am. Soc. Mass Spectrom., 6:423-436 (1995); Wagner, D. S., *et al.*, Biol. Mass Spectrom., 20:419-425 (1991); and Huang, Z. -H., *et al.*, Anal. Biochem., 268:305-317 (1999). The proteins can also be chemically modified to include a label which modifies its molecular weight, thereby  
 5 allowing differentiation of the mass fragments produced by ionization fragmentation. The labeling of proteins with various agents is known in the art and a wide range of labeling reagents and techniques useful in practicing the methods herein are readily available to those of skill in the art. See, for example, Means *et al.*, Chemical Modification of Proteins,  
 10 Holden-Day, San Francisco, 1971; Feeney *et al.*, Modification of Proteins: Food, Nutritional and Pharmacological Aspects, Advances in Chemistry Series, Vol. 198, American Chemical Society, Washington, D.C., 1982).

The methods described herein can be used to analyze target nucleic acid or peptide fragments obtained by specific cleavage as provided  
 15 above for various purposes including, but not limited to, polymorphism detection, SNP scanning, bacteria and viral typing, pathogen detection, antibiotic profiling, organism identification, identification of disease markers, methylation analysis, microsatellite analysis, haplotyping, genotyping, determination of allelic frequency, multiplexing, and  
 20 nucleotide sequencing and re-sequencing.

### **C. Techniques for Polymorphism, Mutation and Sequence Variation Discovery**

Provided herein are techniques that increase the speed with which mutations, polymorphisms or other sequence variations can be detected  
 25 in a target sequence, relative to a reference sequence. Previous methods of discovering known or unknown sequence variations in a target sequence relative to a reference sequence involved simulating, for every possible target sequence variation of the reference sequence (including substitutions, insertions, deletions, polymorphisms and species-dependent  
 30 variations), a specific fragmentation spectrum that would be generated by

a given cleavage reagent or set of cleavage reagents for that particular target sequence. In such previous methods, each of the simulations generated by all possible sequence variations in the target sequence relative to the reference sequence were then compared against the actual fragmentation spectrum obtained for the target sequence, to determine the actual sequence variation that is present in the target sequence. The problem with such an approach is that the time and resources expended to generate simulations of all possible sequence variation candidates can be prohibitive.

One way to address this problem is to reduce the number of possible sequence variations of a given target sequence whose fragmentation patterns are simulated and compared against the actual fragmentation pattern generated by cleavage of the target sequence. In the methods provided herein, an algorithm is used to output only those sequence variation candidates that are most likely to have generated the actual fragmentation spectrum of the target sequence. A second algorithm then simulates only this subset of sequence variation candidates for comparison against the actual target sequence fragmentation spectrum. Thus, the number of sequence variations for simulation analyses is drastically reduced.

In the methods provided herein, in a first step, the fragments corresponding to difference in signals between a target sequence and a reference sequence that are absolute (presence or absence of a signal in the target spectrum relative to a reference spectrum) or quantitative (differences in signal intensities or signal to noise ratios) differences obtained by actual cleavage of the target sequence relative to actual or simulated cleavage of the reference sequence under the same conditions are identified, and the masses of these "different" target nucleic acid fragments are determined. Once the masses of the different fragments are determined, one or more nucleic acid base compositions (compomers)

are identified whose masses differ from the actual measured mass of each different fragment by a value that is less than or equal to a sufficiently small mass difference. These compomers are called witness compomers. The value of the sufficiently small mass difference is determined by

5 parameters such as the peak separation between fragments whose masses differ by a single nucleotide equivalent in type or length, and the absolute resolution of the mass spectrometer. Cleavage reactions specific for one or more of the four nucleic acid bases (A, G, C, T or U for RNA, or modifications thereof, or amino acids or modifications thereof for

10 proteins) can be used to generate data sets comprising the possible witness compomers for each specifically cleaved fragment that nears or equals the measured mass of each different fragment by a value that is less than or equal to a sufficiently small mass difference.

The techniques provided herein can reconstruct the target

15 sequence variations from possible witness compomers corresponding to differences between the fragments of the target nucleic acid relative to the reference nucleic acid.

#### **Algorithm 1: FindSequenceVariationCandidates**

This is the basic technique that is used to analyze the results from

20 one or more specific cleavage reactions of a target nucleic acid sequence. The first step identifies all possible compomers whose masses differ by a value that is less than or equal to a sufficiently small mass difference from the actual mass of each different fragment generated in the target nucleic acid cleavage reaction relative to the same reference nucleic acid

25 cleavage reaction. These compomers are the 'compomer witnesses'. For example, suppose a different fragment peak is detected at 2501.3 Da. The only natural compomer having a mass within, *e.g.*, a +/- 2 Da interval of the peak mass is A<sub>1</sub>C<sub>4</sub>G<sub>2</sub>T<sub>1</sub> at 2502.6 Da. In the case of cleavage reactions that do not remove the recognized base (herein, T) at

30 the cleavage site, (for example, UDG will remove the cleaved base, but

RNAse A will not) the recognition base is subtracted, resulting in the compomer  $A_1C_4G_2$ . Every compomer detected in this fashion is called a *compomer witness*.

The basic technique then determines all compomers that can be transformed into each compomer witness  $c'$  with at most  $k$  mutations, polymorphisms, or other sequence variations including, but not limited to, sequence variations between organisms. The value of  $k$ , the sequence variation order, is predefined by the user and is dependent on a number of parameters including, but not limited to, the expected type and number of sequence variations between a reference sequence and the target sequence, *e.g.*, whether the sequence variation is a single base or multiple bases, whether sequence variations are present at one location or at more than one location on the target sequence relative to the reference sequence, or whether the sequence variations interact or do not interact with each in the target sequence. For example, for the detection of SNPs, the value of  $k$  is usually, although not necessarily, 1 or 2. As another example, for the detection of mutations and in resequencing, the value of  $k$  is usually, although not necessarily, 3 or higher.

A set of bounded compomers are constructed, which refers to the set of all compomers  $c$  that correspond to the set of subsequences of a reference sequence, with a boundary  $b$  that indicates whether or not cleavage sites are present at the two ends of each subsequence. The set of bounded compomers can be compared against possible compomer witnesses to construct all possible sequence variations of a target sequence relative to a reference sequence. Using the constructed pairs of compomer witnesses and bounded compomers, the algorithm then constructs all sequence variation candidates that would lead to the obtained differences in the fragmentation pattern of a target sequence relative to a reference sequence under the same cleavage conditions.

The determination of sequence variation candidates significantly reduces the sample set of sequence variations that are analyzed to determine the actual sequence variations in the target sequence, relative to the previous approach of simulating the fragmentation pattern of every possible sequence that is a variation of a reference sequence, and  
 5 comparing the simulated patterns with the actual fragmentation pattern of the target nucleic acid sequence.

Two functions  $d_+$ ,  $d_-$  are defined as:

$$d_+(c) := \sum_{b \in \{A,C,G,T\}} c(b) \text{ for those } b \text{ with } c(b) > 0$$

10 
$$d_-(c) := \sum_{b \in \{A,C,G,T\}} c(b) \text{ for those } b \text{ with } c(b) < 0$$

and a function  $d(c)$  is defined as  $d(c) := \max \{d_+(c), d_-(c)\}$  and  $d(c, c') := d(c - c')$ . This is a metric function that provides a lower bound for the number of insertions, deletions, substitutions and other sequence variations that are needed to mutate one fragment, *e.g.*, a reference  
 15 fragment into another, *e.g.*, a target fragment. If  $f, f'$  are fragments and  $c, c'$  are the corresponding compomers, then we need at least  $d(c, c')$  sequence variations to transform  $f$  into  $f'$ .

A substring (fragment) of the string  $s$  (full length sequence) is denoted  $s[i, j]$ , where  $i, j$  are the start and end positions of the substring  
 20 satisfying  $1 \leq i \leq j \leq \text{length of } s$ .

A compomer boundary or boundary is a subset of the set  $\{L, R\}$ . Possible values for  $b$  are  $\{\}$  (the empty set),  $\{L\}$ ,  $\{R\}$ ,  $\{L, R\}$ . For a boundary  $b$ ,  $\#b$  denotes the number of elements in  $b$ , that is, 0, 1, or 2. A bounded compomer  $(c, b)$  contains a compomer  $c$  and a boundary  $b$ .  
 25 Bounded compomers refers to the set of all compomers  $c$  that correspond to the set of subsequences of a reference sequence, with a boundary that indicates whether or not cleavage sites are present at the two ends of each subsequence. The set of bounded compomers can be

compared against possible compomer witnesses to construct all possible sequence variations of a target sequence relative to a reference sequence.

The distance between a compomer  $c'$  and a bounded compomer  $(c,b)$  is defined as:

$$5 \quad D(c',c,b) := d(c',c) + \#b$$

The function  $D(c',c,b)$  measures the minimum number of sequence variations relative to a reference sequence that is needed to generate the compomer witness  $c'$ .

Given a specific cleavage reaction of a base, amino acid, or other  
 10 feature  $X$  recognized by the cleavage reagent in a string  $s$ , then the  
 boundary  $b[i,j]$  of the substring  $s[i,j]$  or the corresponding compomer  $c[i,j]$   
 refers to a set of markers indicating whether cleavage of string  $s$  does not  
 take place immediately outside the substring  $s[i,j]$ . Possible markers are  
 L, indicating whether " $s$  is not cleaved directly before  $i$ ", and R, indicating  
 15 whether " $s$  is not cleaved directly after  $j$ ". Thus,  $b[i,j]$  is a subset of the  
 set  $\{L,R\}$  that contains L if and only if  $X$  is present at position  $i-1$  of the  
 string  $s$ , and contains R if and only if  $X$  is present at position  $j+1$  of the  
 string  $s$ .  $\#b$  denotes the number of elements in the set  $b$ , which can be  
 0, 1, or 2, depending on whether the substring  $s[i,j]$  is specifically cleaved  
 20 at both immediately flanking positions (*i.e.*, at positions  $i-1$  and  $j+1$ ), at  
 one immediately flanking position (*i.e.*, at either position  $i-1$  or  $j+1$ ) or at  
 no immediately flanking position (*i.e.*, at neither position  $i-1$  nor  $j+1$ ).  
 $b[i,j]$  is a subset of the set  $\{L,R\}$  and denotes the boundary of  $s[i,j]$  as  
 defined by the following:

- $b[i,j] := \{L,R\}$  if  $s$  is neither cleaved directly before  $i$  nor after  $j$
- $b[i,j] := \{R\}$  if  $s$  is cleaved directly before  $i$ , but not after  $j$
- $b[i,j] := \{L\}$  if  $s$  is cleaved directly after  $j$ , but not before  $i$
- $b[i,j] := \{\}$  if  $s$  is cleaved directly before  $i$  and after  $j$

5 #  $b[i,j]$  denotes the number of elements of the set  $b[i,j]$ .

The set of all bounded compomers of  $s$  is defined as:

$C := \{c[i,j], b[i,j] : 1 \leq i \leq j \leq \text{length of } s\}$ , where the compomer corresponding to the substring  $s[i,j]$  of  $s$  is denoted  $c[i,j]$ .

If there is a sequence variation of a target sequence containing at  
 10 most  $k$  mutations, polymorphisms, or other sequence variations,  
 including, but not limited to, sequence variations between organisms,  
 insertions, deletions and substitutions (usually, for a nucleic acid,  $k$  would  
 represent the number of single base variations in a sequence variation),  
 and if  $c'$  is a compomer witness of this sequence variation, then there  
 15 exists a bounded compomer  $(c,b)$  in  $C$  such that  $D(c',c,b) \leq k$ . In other  
 words, of every sequence variation of a target sequence containing at  
 most  $k$  mutations, polymorphisms, or other sequence variations,  
 including, but not limited to, sequence variations between organisms,  
 insertions, deletions and substitutions (usually, for a nucleic acid,  $k$  would  
 20 represent the number of single base variations in a sequence variation)  
 that leads to a different fragment corresponding to a signal that is  
 different in the target sequence relative to the reference sequence and  
 that corresponds to a compomer witness  $c'$ , there is a bounded  
 compomer  $(c,b)$  in  $C$  with the property  $D(c',c,b) \leq k$ . Thus, the number  
 25 of fragments under consideration can be reduced to just those which  
 contain at most  $k$  cleavage points:



$C_k := \{(c[i,j], b[i,j]): 1 \leq i \leq j \leq \text{length of } s, \text{ and } \text{ord}[i,j] + \#b[i,j] \leq k\}$ ,  
 where  $\text{ord}[i,j]$  is the number of times the fragment  $s[i,j]$  will be cleaved.

**Algorithm 1: FINDSEQUENCEVARIATIONCANDIDATES**

- INPUT: Reference sequence  $s$  (or more than one reference sequence),
- 5 description of cleavage reaction, whether modified nucleotides or amino acids are incorporated into all or part of the sequence, list of peaks corresponding to different fragments (either missing signals or additional signals or qualitative differences in the target sequence relative to the reference sequence(s)), maximal sequence variation order  $k$ .
- 10 OUTPUT: List of sequence variations that contain at most  $k$  insertions, deletions, and substitutions, and that have a different peak as a witness.
- Given the reference sequence  $s$  and the specific cleavage reaction, compute all bounded compomers  $(c[i,j], b[i,j])$  in  $C_k$ , and store them
- 15 together with the indices  $i,j$ . This is usually independent of the samples containing target sequences being analyzed, and is usually done once.
- For every different peak, find all compomers with mass close to the peak mass by a sufficiently small mass difference, and store them as *compomer witnesses*.
- 20 • For every compomer witness  $c'$ , find all bounded compomers  $(c,b)$  in  $C_k$  such that  $D(c',c,b) \leq k$ .
- For every such bounded compomer  $(c,b)$  with indices  $i,j$  compute all sequence variations of  $s$  to a new reference sequence  $s'$  using at most  $k$  insertions, deletions, and substitutions such that:
- 25 if  $L$  in  $b$ , then we insert/substitute to a cleaved base or amino acid directly before position  $i$ ;

if R in  $b$ , then we insert/substitute to a cleaved base or amino acid directly after position  $j$ ;

- Use at most  $k - \#b$  insertions, deletions, and insertions that transform the fragment  $f = s[i,j]$  with corresponding compomer  $c$  into
- 5 some fragment  $f'$  of  $s'$  with corresponding compomer  $c'$ .
- Output every such sequence variation.

Figure 1 is a flow diagram that illustrates operations performed with a computer system that is engaged in data analysis to determine those sequence variation candidates that satisfy the criteria described

10 above. In the first operation, indicated by box **102**, the target molecule is cleaved into fragments using one or more cleavage reagents, using techniques that are well-known to those of skill in the art and described herein. In the next operation, represented by box **104**, the reference molecule is actually or virtually (by simulation) cleaved into fragments

15 using the same one or more cleavage reagents. From the fragments produced by the cleavage reactions, data, such as mass spectra for the target and reference sequences, are produced. The produced data can be used to extract a list of peaks of the sequence data corresponding to fragments that represent differences between the target sequence and the

20 reference sequence.

The next operation is to determine a reduced set of sequence variation candidates based on the identified different fragments. This operation is depicted by box **106**. The sequence variation candidates are then scored (box **108**), and the sequence variation candidates

25 corresponding to the actual sequence variations in the target sequence are identified based on the value of the score. Usually, in a set of samples of target sequences, the highest score represents the most likely sequence variation in the target molecule, but other rules for selection can

also be used, such as detecting a positive score, when a single target sequence is present.

In an exemplary embodiment described herein, the data produced from cleavage reactions comprises the output of conventional laboratory equipment for the analysis of molecular information. Such output is readily available in a variety of digital data formats, such as plain text or according to word processing formats or according to proprietary computer data representations.

As described above, the process of determining a reduced set of sequence variation candidates based on the identified different fragments is preferably carried out with a programmed computer. Figure 2 is a flow diagram that illustrates the operations executed by a computer system to determine the reduced set of sequence variation candidates.

In the first operation, represented by box 202, the reaction data described above is processed to compute all bounded compomers  $(c[i,j], b[i,j])$  in  $C_k$ , and stored together with the indices  $i,j$ , in accordance with the reference sequence  $s$  and the specific cleavage reaction data described above. The next operation, indicated by box 204, is to find, for every different peak, all compomers with mass that differs from the peak mass by a sufficiently small mass difference that is reasonably close to the peak mass. The value of the sufficiently small mass difference is determined by parameters that include, but are not limited to, the peak separation between fragments whose masses differ by a single nucleotide in type or length, and the absolute resolution of the mass spectrometer. These compomers are stored as *compomer witnesses*. After the compomer witnesses are identified, the next operation is to find, for every compomer witness  $c'$  identified from box 204, all bounded compomers  $(c,b)$  in  $C_k$  such that  $D(c', c, b) \leq k$ . The bounded compomer operation is represented by box 206. Box 208 represents the operation that involves

the computation of all sequence variations of  $s$  to a new reference sequence  $s'$  using at most  $k$  insertions, deletions, and substitutions such that:

•if  $L$  in  $b$ , then we insert/substitute to a cleaved base or amino acid  
 5 directly before position  $i$ ;

•if  $R$  in  $b$ , then we insert/substitute to a cleaved base or amino acid directly after position  $j$ ;

•Use at most  $k - \#b$  insertions, deletions, and insertions that transform the fragment  $f = s[i,j]$  with corresponding compomer  $c$  into  
 10 some fragment  $f'$  of  $s'$  with corresponding compomer  $c'$ .

The last operation, indicated by box **210**, is to produce every such sequence variation computed from box **208** as the system output.

Here,  $d(c,c')$  is the function as defined herein that determines the minimum number of sequence variations, polymorphisms or mutations  
 15 (insertions, deletions, substitutions) that are needed to convert  $c$  to  $c'$ , where  $c$  is a compomer of a fragment of the reference molecule and  $c'$  is the compomer of the target molecule resulting from mutation of the  $c$  fragment.

A substring (fragment) of the string  $s$  (full length sequence) is  
 20 denoted  $s[i,j]$ , where  $i,j$  are the start and end positions of the substring.

Given a specific cleavage reaction of a base, amino acid, or other feature  $X$  recognized by the cleavage reagent in a string  $s$ , then the boundary  $b[i,j]$  of the substring  $s[i,j]$  or the corresponding compomer  $c[i,j]$  refers to a set of markers indicating whether cleavage of string  $s$  does not take  
 25 place immediately outside the substring  $s[i,j]$ . Possible markers are L, indicating whether " $s$  is not cleaved directly before  $i$ ", and R, indicating whether " $s$  is not cleaved directly after  $j$ ". Thus,  $b[i,j]$  is a subset of the

set  $\{L,R\}$  that contains L if and only if  $X$  is present at position  $i-1$  of the string  $s$ , and contains R if and only if  $X$  is present at position  $j+1$  of the string  $s$ .  $\#b$  denotes the number of elements in the set  $b$ , which can be 0, 1, or 2, depending on whether the substring  $s[i,j]$  is specifically cleaved

5 at both immediately flanking positions (*i.e.*, at positions  $i-1$  and  $j+1$ ), at one immediately flanking position (*i.e.*, at either position  $i-1$  or  $j+1$ ) or at no immediately flanking position (*i.e.*, at neither position  $i-1$  nor  $j+1$ ).  $b[i,j]$  is a subset of the set  $\{L,R\}$  and denotes the boundary of  $s[i,j]$  as defined by the following:

- 10
- $b[i,j] := \{L,R\}$  if  $s$  is neither cleaved directly before  $i$  nor after  $j$
  - $b[i,j] := \{R\}$  if  $s$  is cleaved directly before  $i$ , but not after  $j$
  - $b[i,j] := \{L\}$  if  $s$  is cleaved directly after  $j$ , but not before  $i$
  - $b[i,j] := \{\}$  if  $s$  is cleaved directly before  $i$  and after  $j$

$\#b[i,j]$  denotes the number of elements of the set  $b[i,j]$ .

- 15  $\text{ord}[i,j]$  refers to the number of times  $s[i,j]$  will be cleaved in a particular cleavage reaction; *i.e.*, the number of cut strings present in  $s[i,j]$ .

- $D(c',c,b) := d(c,c') + \#b$  refers to the distance between compomer  $c'$  and bounded compomer  $(c,b)$ ; *i.e.*, the total minimum
- 20 number of changes needed to create the fragment with compomer  $c'$  from the fragment with compomer  $c$ , including sequence variations of the boundaries of substring  $s[i,j]$  into cut strings, if necessary.

$C := \{(c[i,j],b[i,j]): 1 \leq i \leq j \leq \text{length of } s\}$  refers to the set of all bounded compomers within the string  $s$ ; *i.e.*, for all possible substrings

$s[i,j]$ , find the bounded compomer  $(c[i,j], b[i,j])$  and these will belong to the set  $C$ .

- $C_k := \{(c[i,j], b[i,j]): 1 \leq i \leq j \leq \text{length of } s, \text{ and } \text{ord}[i,j] + \#b[i,j] \leq k\}$  is the same as  $C$  above, except that compomers for substrings
- 5 containing more than  $k$  number of sequence variations of the cut string will be excluded from the set, *i.e.*,  $C_k$  is a subset of  $C$ . It can be shown that if there is a sequence variation containing at most  $k$  insertions, deletions, and substitutions, and if  $c'$  is a compomer corresponding to a peak witness of this sequence variation, then there exists  $(c,b)$  in  $C_k$  such
- 10 that  $D(c', c, b) \leq k$ . The algorithm is based on this reduced set of possible sequence variations corresponding to compomer witnesses.

- Every sequence variation constructed in this fashion will lead to the creation of at least one different peak out of the list of input different peaks. Further, every sequence variation that contains at most  $k$
- 15 insertions, deletions, and insertions that was not constructed by the algorithm is either the superset of the union of one or more sequence variations that were constructed, or does not lead to the creation of any different peaks out of the list of different peaks that served as input for the algorithm.

- 20 Algorithm 1 can be repeated for more than one specific cleavage reagent generating more than one target fragmentation pattern relative to a reference fragmentation pattern, and more than one list of compomer witnesses. In one embodiment, the final output contains the set of sequence variation candidates that is the union of the sets of sequence
- 25 variation candidates for each cleavage reaction.

#### Algorithm 2

A second algorithm is used to generate a simulated spectrum for each computed output sequence variation candidate. The simulated

spectrum for each sequence variation candidate is scored, using a third (scoring) algorithm, described below, against the actual target spectrum, applying the reference spectrum for the reference sequence. The value of the scores (the higher the score, the better the match, with the highest score usually being the sequence variation that is most likely to be present) can then be used to determine the sequence variation candidate that is actually present in the target nucleic acid sequence.

Provided below is an exemplary algorithm where the sequence variations to be detected are SNPs. Algorithms for detecting other types of sequence variations, including homozygous or heterozygous allelic variations, can be implemented in a similar fashion.

- a) For each cleavage reaction, a simulated spectrum is generated for a given sequence variation candidate from Algorithm 1.
- b) The simulated spectrum is scored against the actual target spectrum.
- 15 c) The scores from all cleavage reactions, preferably complementary cleavage reactions, for the given target sequence are added. The use of more than one specific cleavage reaction improves the accuracy with which a particular sequence variation can be identified.
- d) After all scores have been calculated for all sequence variations,
- 20 sequence variations are sorted according to their score.

#### **Algorithm 2: FINDSNPs**

INPUT: Reference sequence  $s$ , one or more cleavage reaction, for every cleavage reaction a simulated or actual reference fragmentation spectrum, for every cleavage reaction a list of peaks found in the corresponding sample spectrum, maximal sequence variation order  $k$ .

OUTPUT: List of all SNP candidates corresponding to sequence variations containing at most  $k$  insertions, deletions, and substitutions, and that

have a different peak as a witness; and for every such SNP candidate, a score.

- 5

  - For every cleavage reaction, extract the list of different peaks by comparing the sample spectrum with the simulated reference spectrum.
  - For every cleavage reaction, use `FINDSEQUENCEVARIATIONCANDIDATES` (Algorithm 1) with input  $s$ , the current cleavage reaction, the corresponding list of different peaks, and  $k$ .
- 10

  - Combine the lists of sequence variation candidates returned by `FINDSEQUENCEVARIATIONCANDIDATES` into a single list, removing duplicates.
- 15

  - For every sequence variation candidate:
  - Apply the sequence variation candidate, resulting in a sequence  $s'$ .
  - For every cleavage reaction, simulate the reference spectrum of  $s'$  under the given cleavage reaction.
- 20

  - Use `SCORESNP` (Algorithm 3) with the peak lists corresponding to the spectra of  $s, s'$  as well as the peak list for the measured sample spectrum as input, to calculate scores (heterozygous and homozygous) of this sequence variation (or SNP) candidate for the cleavage reaction.
- 25

  - Add up the scores of all cleavage reactions, keeping separate scores for heterozygous and homozygous variations.
  - Store a SNP candidate containing the sequence variation candidate plus its scores; the overall score of the SNP



candidate is the maximum of its heterozygous and homozygous scores.

- Sort the SNP candidates with respect to their scores.
- Output the SNP candidates together with their scores.

5 An exemplary implementation of a scoring algorithm, SCORESNP, is as follows:

### Algorithm 3: SCORESNP

INPUT: Peak lists corresponding to reference sequence  $s$  (denoted  $L$ ),  
 modified reference sequence  $s'$  (denoted  $L'$ ), and sample spectrum  
 10 (denoted  $L_s$ ).

OUTPUT: Heterozygous score, homozygous score.

- Set both scores to 0.
- Compute a list of intensity changes (denoted  $L_\Delta$ ) that includes  
 15 those peaks in the lists corresponding to  $s, s'$  that show differences:
- If a peak is present in  $L$  but not in  $L'$ , add this peak to  $L_\Delta$  and mark it as *wild-type*.
- If a peak is present in  $L'$  but not in  $L$ , add this peak to  $L_\Delta$  and mark it as *mutant-type*.
- If a peak has different expected intensities in  $L$  and  $L'$ , add this  
 20 peak to  $L_\Delta$  together with the expected intensity change from  $L$  to  $L'$ .
- For every peak in  $L_\Delta$  marked as mutant-type that is also found in  $L_s$ , add +1 to both scores.

- For every peak in  $L_{\Delta}$  marked as mutant-type that is not found in  $L_s$ , add -1 to both scores.
  - For every peak in  $L_{\Delta}$  marked as wild-type that is not found in  $L_s$ , add +1 to the homozygous score.
- 5      • For every peak in  $L_{\Delta}$  marked as wild-type that is also found in  $L_s$ , add -1 to the homozygous score.
- Output both scores.

Other implementations of the scoring function will be obvious to those of skill in the art. For example, one implementation would make use of

10 peaks that are not differentiated as either mutant or wild-type. Another implementation might, in addition or as a separate feature, take into account intensities in  $L$ ,  $L_{\Delta}$ , and  $L_s$ . Other exemplary parameters include using peaks designated as "wild-type" to modify the heterozygous score, or incorporation

15 (measured) target sequence fragmentation spectrum. A preferred implementation can use a logarithmic likelihood approach to calculate the scores.

In one embodiment, instead of using the scores of potential SNPs output by Algorithm 2 directly, scores from more than one target sequence

20 expected to contain or actually containing the same SNP can be joined. When more than one target sequence is analyzed simultaneously against the same reference sequence, instead of reporting the SNP score for each target sequence independently, the scores of all identical scored sequence variations for the different target sequences may be joined to calculate a

25 joined score for the SNP. The joined score can be calculated by applying a function to the set of scores, which function may include, but is not limited to, the maximum of scores, the sum of scores, or a combination thereof.

After all SNP or other sequence variation candidates with their scores have been calculated, a threshold score can be determined to report only those SNPs or sequence variations that have a score that is equal to or higher than the threshold score (and, therefore, a reasonable chance of being real, *i.e.*, of corresponding to the actual sequence variation in the target sequence). Generally, the sequence variation with the highest score will correspond to an actual sequence variation in the target sequence. Sequence variations that are accepted as being real can then be used to modify the initial reference peak list  $L$ . The modified peak list can then be used to re-evaluate (score) all other potential sequence variations or SNPs using the SCORESNP algorithm, or even search for new witnesses in the case of homozygous SNPs. This leads to an iterative process of SNP or other sequence variation detection. For example, in the iterative process of detecting more than one sequence variation in a target sequence, the sequence variation with the highest score is accepted as an actual sequence variation, and the signal or peak corresponding to this sequence variation is added to the reference fragment spectrum to generate an updated reference fragment spectrum. All remaining sequence variation candidates are then scored against this updated reference fragment spectrum to output the sequence variation candidate with the next highest score. This second sequence variation candidate can also represent a second actual sequence variation in the target sequence. Therefore, the peak corresponding to the second sequence variation can be added to the reference fragment spectrum to generate a second updated reference spectrum against which a third sequence variation can be detected according to its score. This process of iteration can be repeated until no more sequence variation candidates representing actual sequence variations in the target sequence are identified.

The presented approach can be applied to any type and number of cleavage reactions that are complete, including 2-, 1 ½-, or 1 ¼-base cutters.

In another embodiment, this approach can be applied to partial cleavage experiments.

This approach is not limited to SNP and mutation detection but can be applied to detect any type of sequence variation, including polymorphisms, mutations and sequencing errors.

Since the presented algorithms are capable of dealing with homogeneous samples, it will be apparent to one of skill in the art that their use can be extended to the analysis of sample mixtures. Such "sample mixtures" usually contain the sequence variation or mutation or polymorphism containing target nucleic acid at very low frequency, with a high excess of wildtype sequence. For example, in tumors, the tumor-causing mutation is usually present in less than 5-10% of the nucleic acid present in the tumor sample, which is a heterogeneous mixture of more than one tissue type or cell type. Similarly, in a population of individuals, most polymorphisms with functional consequences that are determinative of, *e.g.*, a disease state or predisposition to disease, occur at low allele frequencies of less than 5%. The methods provided herein can detect high frequency sequence variations or can be adapted to detect low frequency mutations, sequence variations, alleles or polymorphisms that are present in the range of less than about 5-10%.

#### **D. Applications**

##### **1. Detection of Polymorphisms**

An object herein is to provide improved methods for identifying the genomic basis of disease and markers thereof. The sequence variation candidates identified by the methods provided herein include sequences containing sequence variations that are polymorphisms. Polymorphisms

include both naturally occurring, somatic sequence variations and those arising from mutation. Polymorphisms include but are not limited to: sequence microvariants where one or more nucleotides in a localized region vary from individual to individual, insertions and deletions which can vary in size from one nucleotides to millions of bases, and microsatellite or nucleotide repeats which vary by numbers of repeats. Nucleotide repeats include homogeneous repeats such as dinucleotide, trinucleotide, tetranucleotide or larger repeats, where the same sequence is repeated multiple times, and also heteronucleotide repeats where sequence motifs are found to repeat. For a given locus the number of nucleotide repeats can vary depending on the individual.

A polymorphic marker or site is the locus at which divergence occurs. Such site can be as small as one base pair (an SNP). Polymorphic markers include, but are not limited to, restriction fragment length polymorphisms (RFLPs), variable number of tandem repeats (VNTR's), hypervariable regions, minisatellites, dinucleotide repeats, trinucleotide repeats, tetranucleotide repeats and other repeating patterns, simple sequence repeats and insertional elements, such as Alu. Polymorphic forms also are manifested as different mendelian alleles for a gene. Polymorphisms can be observed by differences in proteins, protein modifications, RNA expression modification, DNA and RNA methylation, regulatory factors that alter gene expression and DNA replication, and any other manifestation of alterations in genomic nucleic acid or organelle nucleic acids.

Furthermore, numerous genes have polymorphic regions. Since individuals have any one of several allelic variants of a polymorphic region, individuals can be identified based on the type of allelic variants of polymorphic regions of genes. This can be used, for example, for forensic purposes. In other situations, it is crucial to know the identity of allelic variants that an individual has. For example, allelic differences in certain

genes, for example, major histocompatibility complex (MHC) genes, are involved in graft rejection or graft versus host disease in bone marrow transportation. Accordingly, it is highly desirable to develop rapid, sensitive, and accurate methods for determining the identity of allelic variants of polymorphic regions of genes or genetic lesions. A method or a kit as provided herein can be used to genotype a subject by determining the identity of one or more allelic variants of one or more polymorphic regions in one or more genes or chromosomes of the subject. Genotyping a subject using a method as provided herein can be used for forensic or identity testing purposes and the polymorphic regions can be present in mitochondrial genes or can be short tandem repeats.

Single nucleotide polymorphisms (SNPs) are generally biallelic systems, that is, there are two alleles that an individual can have for any particular marker. This means that the information content per SNP marker is relatively low when compared to microsatellite markers, which can have upwards of 10 alleles. SNPs also tend to be very population-specific; a marker that is polymorphic in one population can not be very polymorphic in another. SNPs, found approximately every kilobase (*see* Wang et al. (1998) *Science* 280:1077-1082), offer the potential for generating very high density genetic maps, which will be extremely useful for developing haplotyping systems for genes or regions of interest, and because of the nature of SNPs, they can in fact be the polymorphisms associated with the disease phenotypes under study. The low mutation rate of SNPs also makes them excellent markers for studying complex genetic traits.

Much of the focus of genomics has been on the identification of SNPs, which are important for a variety of reasons. They allow indirect testing (association of haplotypes) and direct testing (functional variants). They are the most abundant and stable genetic markers. Common diseases are best explained by common genetic alterations, and the natural variation

in the human population aids in understanding disease, therapy and environmental interactions.

## **2. Pathogen Typing**

Provided herein is a process or method for identifying strains of  
5 microorganisms. The microorganism(s) are selected from a variety of organisms including, but not limited to, bacteria, fungi, protozoa, ciliates, and viruses. The microorganisms are not limited to a particular genus, species, strain, or serotype. The microorganisms can be identified by  
10 determining sequence variations in a target microorganism sequence relative to one or more reference sequences. The reference sequence(s) can be obtained from, for example, other microorganisms from the same or different genus, species strain or serotype, or from a host prokaryotic or eukaryotic organism.

Identification and typing of bacterial pathogens is critical in the clinical  
15 management of infectious diseases. Precise identity of a microbe is used not only to differentiate a disease state from a healthy state, but is also fundamental to determining whether and which antibiotics or other antimicrobial therapies are most suitable for treatment. Traditional methods of pathogen typing have used a variety of phenotypic features, including  
20 growth characteristics, color, cell or colony morphology, antibiotic susceptibility, staining, smell and reactivity with specific antibodies to identify bacteria. All of these methods require culture of the suspected pathogen, which suffers from a number of serious shortcomings, including high material and labor costs, danger of worker exposure, false positives due  
25 to mishandling and false negatives due to low numbers of viable cells or due to the fastidious culture requirements of many pathogens. In addition, culture methods require a relatively long time to achieve diagnosis, and because of the potentially life-threatening nature of such infections, antimicrobial therapy is often started before the results can be obtained.

In many cases, the pathogens are very similar to the organisms that make up the normal flora, and can be indistinguishable from the innocuous strains by the methods cited above. In these cases, determination of the presence of the pathogenic strain can require the higher resolution afforded by the molecular typing methods provided herein. For example, PCR amplification of a target nucleic acid sequence followed by fragmentation by specific cleavage (*e.g.*, base-specific), followed by matrix-assisted laser desorption/ionization time-of-flight mass spectrometry, followed by screening for sequence variations as provided herein, allows reliable discrimination of sequences differing by only one nucleotide and combines the discriminatory power of the sequence information generated with the speed of MALDI-TOF MS.

### **3. Detecting the presence of viral or bacterial nucleic acid sequences indicative of an infection**

The methods provided herein can be used to determine the presence of viral or bacterial nucleic acid sequences indicative of an infection by identifying sequence variations that are present in the viral or bacterial nucleic acid sequences relative to one or more reference sequences. The reference sequence(s) can include, but are not limited to, sequences obtained from related non-infectious organisms, or sequences from host organisms.

Viruses, bacteria, fungi and other infectious organisms contain distinct nucleic acid sequences, including polymorphisms, which are different from the sequences contained in the host cell. A target DNA sequence can be part of a foreign genetic sequence such as the genome of an invading microorganism, including, for example, bacteria and their phages, viruses, fungi, protozoa, and the like. The processes provided herein are particularly applicable for distinguishing between different variants or strains of a microorganism in order, for example, to choose an appropriate therapeutic



intervention. Examples of disease-causing viruses that infect humans and animals and that can be detected by a disclosed process include but are not limited to *Retroviridae* (e.g., human immunodeficiency viruses such as HIV-1 (also referred to as HTLV-III, LAV or HTLV-III/LAV; Ratner *et al.*, *Nature*, 5 313:227-284 (1985); Wain Hobson *et al.*, *Cell*, 40:9-17 (1985), HIV-2 (Guyader *et al.*, *Nature*, 328:662-669 (1987); European Patent Publication No. 0 269 520; Chakrabarti *et al.*, *Nature*, 328:543-547 (1987); European Patent Application No. 0 655 501), and other isolates such as HIV-LP (International Publication No. WO 94/00562); *Picornaviridae* (e.g., 10 polioviruses, hepatitis A virus, (Gust *et al.*, *Intervirology*, 20:1-7 (1983)); enteroviruses, human coxsackie viruses, rhinoviruses, echoviruses); *Calciviridae* (e.g. strains that cause gastroenteritis); *Togaviridae* (e.g., equine encephalitis viruses, rubella viruses); *Flaviridae* (e.g., dengue viruses, encephalitis viruses, yellow fever viruses); *Coronaviridae* (e.g., 15 coronaviruses); *Rhabdoviridae* (e.g., vesicular stomatitis viruses, rabies viruses); *Filoviridae* (e.g., ebola viruses); *Paramyxoviridae* (e.g., parainfluenza viruses, mumps virus, measles virus, respiratory syncytial virus); *Orthomyxoviridae* (e.g., influenza viruses); *Bunyaviridae* (e.g., Hantaan viruses, bunya viruses, phleboviruses and Nairo viruses); *Arenaviridae* 20 (hemorrhagic fever viruses); *Reoviridae* (e.g., reoviruses, orbiviruses and rotaviruses); *Birnaviridae*; *Hepadnaviridae* (Hepatitis B virus); *Parvoviridae* (parvoviruses); *Papovaviridae*; *Hepadnaviridae* (Hepatitis B virus); *Parvoviridae* (most adenoviruses); *Papovaviridae* (papilloma viruses, polyoma viruses); *Adenoviridae* (most adenoviruses); *Herpesviridae* (herpes simplex 25 virus type 1 (HSV-1) and HSV-2, varicella zoster virus, cytomegalovirus, herpes viruses); *Poxviridae* (variola viruses, vaccinia viruses, pox viruses); *Iridoviridae* (e.g., African swine fever virus); and unclassified viruses (e.g., the etiological agents of Spongiform encephalopathies, the agent of delta hepatitis (thought to be a defective satellite of hepatitis B virus), the agents 30 of non-A, non-B hepatitis (class 1 = internally transmitted; class 2 =

parenterally transmitted, i.e., Hepatitis C); Norwalk and related viruses, and astroviruses.

Examples of infectious bacteria include but are not limited to *Helicobacter pylori*, *Borelia burgdorferi*, *Legionella pneumophila*,  
 5 *Mycobacteria* sp. (e.g. *M. tuberculosis*, *M. avium*, *M. intracellulare*, *M. kansaii*, *M. gordonae*), *Staphylococcus aureus*, *Neisseria gonorrhoeae*, *Neisseria meningitidis*, *Listeria monocytogenes*, *Streptococcus pyogenes* (Group A Streptococcus), *Streptococcus agalactiae* (Group B Streptococcus), *Streptococcus* sp. (*viridans* group), *Streptococcus faecalis*, *Streptococcus*  
 10 *bovis*, *Streptococcus* sp. (anaerobic species), *Streptococcus pneumoniae*, pathogenic *Campylobacter* sp., *Enterococcus* sp., *Haemophilus influenzae*, *Bacillus anthracis*, *Corynebacterium diphtheriae*, *Corynebacterium* sp., *Erysipelothrix rhusiopathiae*, *Clostridium perfringens*, *Clostridium tetani*, *Enterobacter aerogenes*, *Klebsiella pneumoniae*, *Pasturella multocida*,  
 15 *Bacteroides* sp., *Fusobacterium nucleatum*, *Streptobacillus moniliformis*, *Treponema pallidum*, *Treponema pertenue*, *Leptospira*, and *Actinomyces israeli*.

Examples of infectious fungi include but are not limited to *Cryptococcus neoformans*, *Histoplasma capsulatum*, *Coccidioides immitis*,  
 20 *Blastomyces dermatitidis*, *Chlamydia trachomatis*, *Candida albicans*. Other infectious organisms include protists such as *Plasmodium falciparum* and *Toxoplasma gondii*.

#### 4. Antibiotic Profiling

The analysis of specific cleavage fragmentation patterns as provided  
 25 herein improves the speed and accuracy of detection of nucleotide changes involved in drug resistance, including antibiotic resistance. Genetic loci involved in resistance to isoniazid, rifampin, streptomycin, fluoroquinolones, and ethionamide have been identified [Heym *et al.*, Lancet 344:293 (1994)]

and Morris *et al.*, J. Infect. Dis. 171:954 (1995)]. A combination of isoniazid (inh) and rifampin (rif) along with pyrazinamide and ethambutol or streptomycin, is routinely used as the first line of attack against confirmed cases of *M. tuberculosis* [Banerjee *et al.*, Science 263:227 (1994)]. The

5 increasing incidence of such resistant strains necessitates the development of rapid assays to detect them and thereby reduce the expense and community health hazards of pursuing ineffective, and possibly detrimental, treatments. The identification of some of the genetic loci involved in drug resistance has facilitated the adoption of mutation detection technologies for

10 rapid screening of nucleotide changes that result in drug resistance.

## 5. Identifying disease markers

Provided herein are methods for the rapid and accurate identification of sequence variations that are genetic markers of disease, which can be used to diagnose or determine the prognosis of a disease. Diseases

15 characterized by genetic markers can include, but are not limited to, atherosclerosis, obesity, diabetes, autoimmune disorders, and cancer. Diseases in all organisms have a genetic component, whether inherited or resulting from the body's response to environmental stresses, such as viruses and toxins. The ultimate goal of ongoing genomic research is to use

20 this information to develop new ways to identify, treat and potentially cure these diseases. The first step has been to screen disease tissue and identify genomic changes at the level of individual samples. The identification of these "disease" markers is dependent on the ability to detect changes in genomic markers in order to identify errant genes or polymorphisms.

25 Genomic markers (all genetic loci including single nucleotide polymorphisms (SNPs), microsatellites and other noncoding genomic regions, tandem repeats, introns and exons) can be used for the identification of all organisms, including humans. These markers provide a way to not only identify populations but also allow stratification of populations according to

their response to disease, drug treatment, resistance to environmental agents, and other factors.

## **6. Haplotyping**

The methods provided herein can be used to detect haplotypes. In any diploid cell, there are two haplotypes at any gene or other chromosomal segment that contain at least one distinguishing variance. In many well-studied genetic systems, haplotypes are more powerfully correlated with phenotypes than single nucleotide variations. Thus, the determination of haplotypes is valuable for understanding the genetic basis of a variety of phenotypes including disease predisposition or susceptibility, response to therapeutic interventions, and other phenotypes of interest in medicine, animal husbandry, and agriculture.

Haplotyping procedures as provided herein permit the selection of a portion of sequence from one of an individual's two homologous chromosomes and to genotype linked SNPs on that portion of sequence. The direct resolution of haplotypes can yield increased information content, improving the diagnosis of any linked disease genes or identifying linkages associated with those diseases.

## **7. Microsatellites**

The fragmentation-based methods provided herein allow for rapid, unambiguous detection of sequence variations that are microsatellites. Microsatellites (sometimes referred to as variable number of tandem repeats or VNTRs) are short tandemly repeated nucleotide units of one to seven or more bases, the most prominent among them being di-, tri-, and tetranucleotide repeats. Microsatellites are present every 100,000 bp in genomic DNA (J. L. Weber and P. E. Can, *Am. J. Hum. Genet.* 44, 388 (1989); J. Weissenbach *et al.*, *Nature* 359, 794 (1992)). CA dinucleotide repeats, for example, make up about 0.5% of the human extra-mitochondrial

genome; CT and AG repeats together make up about 0.2%. CG repeats are rare, most probably due to the regulatory function of CpG islands. Microsatellites are highly polymorphic with respect to length and widely distributed over the whole genome with a main abundance in non-coding sequences, and their function within the genome is unknown.

Microsatellites are important in forensic applications, as a population will maintain a variety of microsatellites characteristic for that population and distinct from other populations which do not interbreed.

Many changes within microsatellites can be silent, but some can lead to significant alterations in gene products or expression levels. For example, trinucleotide repeats found in the coding regions of genes are affected in some tumors (C. T. Caskey *et al.*, *Science* 256, 784 (1992) and alteration of the microsatellites can result in a genetic instability that results in a predisposition to cancer (P. J. McKinnen, *Hum. Genet.* 175, 197 (1987); J. German *et al.*, *Clin. Genet.* 35, 57 (1989)).

## 8. Short Tandem Repeats

The methods provided herein can be used to identify short tandem repeat (STR) regions in some target sequences of the human genome relative to, for example, reference sequences in the human genome that do not contain STR regions. STR regions are polymorphic regions that are not related to any disease or condition. Many loci in the human genome contain a polymorphic short tandem repeat (STR) region. STR loci contain short, repetitive sequence elements of 3 to 7 base pairs in length. It is estimated that there are 200,000 expected trimeric and tetrameric STRs, which are present as frequently as once every 15 kb in the human genome (see, e.g., International PCT application No. WO 9213969 A1, Edwards *et al.*, Nucl. Acids Res. 19:4791 (1991); Beckmann *et al.* (1992) Genomics 12:627-631). Nearly half of these STR loci are polymorphic, providing a rich source of

genetic markers. Variation in the number of repeat units at a particular locus is responsible for the observed polymorphism reminiscent of variable nucleotide tandem repeat (VNTR) loci (Nakamura *et al.* (1987) *Science* 235:1616-1622); and minisatellite loci (Jeffreys *et al.* (1985) *Nature* 314:67-73), which contain longer repeat units, and microsatellite or dinucleotide repeat loci (Luty *et al.* (1991) *Nucleic Acids Res.* 19:4308; Litt *et al.* (1990) *Nucleic Acids Res.* 18:4301; Litt *et al.* (1990) *Nucleic Acids Res.* 18:5921; Luty *et al.* (1990) *Am. J. Hum. Genet.* 46:776-783; Tautz (1989) *Nucl. Acids Res.* 17:6463-6471; Weber *et al.* (1989) *Am. J. Hum. Genet.* 44:388-396; Beckmann *et al.* (1992) *Genomics* 12:627-631).

Examples of STR loci include, but are not limited to, pentanucleotide repeats in the human CD4 locus (Edwards *et al.*, *Nucl. Acids Res.* 19:4791 (1991)); tetranucleotide repeats in the human aromatase cytochrome P-450 gene (CYP19; Polymeropoulos *et al.*, *Nucl. Acids Res.* 19:195 (1991)); tetranucleotide repeats in the human coagulation factor XIII A subunit gene (F13A1; Polymeropoulos *et al.*, *Nucl. Acids Res.* 19:4306 (1991)); tetranucleotide repeats in the F13B locus (Nishimura *et al.*, *Nucl. Acids Res.* 20:1167 (1992)); tetranucleotide repeats in the human c-les/fps, proto-oncogene (FES; Polymeropoulos *et al.*, *Nucl. Acids Res.* 19:4018 (1991)); tetranucleotide repeats in the LFL gene (Zuliani *et al.*, *Nucl. Acids Res.* 18:4958 (1990)); trinucleotide repeats polymorphism at the human pancreatic phospholipase A-2 gene (PLA2; Polymeropoulos *et al.*, *Nucl. Acids Res.* 18:7468 (1990)); tetranucleotide repeats polymorphism in the VWF gene (Ploos *et al.*, *Nucl. Acids Res.* 18:4957 (1990)); and tetranucleotide repeats in the human thyroid peroxidase (hTPO) locus (Anker *et al.*, *Hum. Mol. Genet.* 1:137 (1992)).

## 9. Organism Identification

Polymorphic STR loci and other polymorphic regions of genes are sequence variations that are extremely useful markers for human

identification, paternity and maternity testing, genetic mapping, immigration and inheritance disputes, zygoty testing in twins, tests for inbreeding in humans, quality control of human cultured cells, identification of human remains, and testing of semen samples, blood stains and other material in forensic medicine. Such loci also are useful markers in commercial animal breeding and pedigree analysis and in commercial plant breeding. Traits of economic importance in plant crops and animals can be identified through linkage analysis using polymorphic DNA markers. Efficient and accurate methods for determining the identity of such loci are provided herein.

## 10. Detecting Allelic Variation

The methods provided herein allow for high-throughput, fast and accurate detection of allelic variants. Studies of allelic variation involve not only detection of a specific sequence in a complex background, but also the discrimination between sequences with few, or single, nucleotide differences. One method for the detection of allele-specific variants by PCR is based upon the fact that it is difficult for Taq polymerase to synthesize a DNA strand when there is a mismatch between the template strand and the 3' end of the primer. An allele-specific variant can be detected by the use of a primer that is perfectly matched with only one of the possible alleles; the mismatch to the other allele acts to prevent the extension of the primer, thereby preventing the amplification of that sequence. This method has a substantial limitation in that the base composition of the mismatch influences the ability to prevent extension across the mismatch, and certain mismatches do not prevent extension or have only a minimal effect (Kwok *et al.*, *Nucl. Acids Res.*, 18:999 [1990]).) The fragmentation-based methods provided herein overcome the limitations of the primer extension method.

## 11. Determining Allelic Frequency

The methods herein described are valuable for identifying one or more genetic markers whose frequency changes within the population as a function of age, ethnic group, sex or some other criteria. For example, the age-dependent distribution of ApoE genotypes is known in the art (see, Schächter *et al.* (1994) *Nature Genetics* 6:29-32). The frequencies of polymorphisms known to be associated at some level with disease can also be used to detect or monitor progression of a disease state. For example, the N291S polymorphism (N291S) of the Lipoprotein Lipase gene, which results in a substitution of a serine for an asparagine at amino acid codon 291, leads to reduced levels of high density lipoprotein cholesterol (HDL-C) that is associated with an increased risk of males for arteriosclerosis and in particular myocardial infarction (see, Reymer *et al.* (1995) *Nature Genetics* 10:28-34). In addition, determining changes in allelic frequency can allow the identification of previously unknown polymorphisms and ultimately a gene or pathway involved in the onset and progression of disease.

## 12. Epigenetics

The methods provided herein can be used to study variations in a target nucleic acid or protein relative to a reference nucleic acid or protein that are not based on sequence, *e.g.*, the identity of bases or amino acids that are the naturally occurring monomeric units of the nucleic acid or protein. For example, the specific cleavage reagents employed in the methods provided herein may recognize differences in sequence-independent features such as methylation patterns, the presence of modified bases or amino acids, or differences in higher order structure between the target molecule and the reference molecule, to generate fragments that are cleaved at sequence-independent sites. Epigenetics is the study of the inheritance of information based on differences in gene expression rather than differences in gene sequence. Epigenetic changes refer to mitotically and/or



meiotically heritable changes in gene function or changes in higher order nucleic acid structure that cannot be explained by changes in nucleic acid sequence. Examples of features that are subject to epigenetic variation or change include, but are not limited to, DNA methylation patterns in animals, histone modification and the Polycomb-trithorax group (Pc-G/trx) protein complexes (see, e.g., Bird, A., *Genes Dev.*, 16:6-21 (2002)).

Epigenetic changes usually, although not necessarily, lead to changes in gene expression that are usually, although not necessarily, inheritable. For example, as discussed further below, changes in methylation patterns is an early event in cancer and other disease development and progression. In many cancers, certain genes are inappropriately switched off or switched on due to aberrant methylation. The ability of methylation patterns to repress or activate transcription can be inherited. The Pc-G/trx protein complexes, like methylation, can repress transcription in a heritable fashion. The Pc-G/trx multiprotein assembly is targeted to specific regions of the genome where it effectively freezes the embryonic gene expression status of a gene, whether the gene is active or inactive, and propagates that state stably through development. The ability of the Pc-G/trx group of proteins to target and bind to a genome affects only the level of expression of the genes contained in the genome, and not the properties of the gene products. The methods provided herein can be used with specific cleavage reagents that identify variations in a target sequence relative to a reference sequence that are based on sequence-independent changes, such as epigenetic changes.

### **13. Methylation Patterns**

The methods provided herein can be used to detect sequence variations that are epigenetic changes in the target sequence, such as a change in methylation patterns in the target sequence. Analysis of cellular methylation is an emerging research discipline. The covalent addition of methyl groups to cytosine is primarily present at CpG dinucleotides

(microsatellites). Although the function of CpG islands not located in promoter regions remains to be explored, CpG islands in promoter regions are of special interest because their methylation status regulates the transcription and expression of the associated gene. Methylation of  
 5 promoter regions leads to silencing of gene expression. This silencing is permanent and continues through the process of mitosis. Due to its significant role in gene expression, DNA methylation has an impact on developmental processes, imprinting and X-chromosome inactivation as well as tumor genesis, aging, and also suppression of parasitic DNA. Methylation  
 10 is thought to be involved in the cancerogenesis of many widespread tumors, such as lung, breast, and colon cancer, and in leukemia. There is also a relation between methylation and protein dysfunctions (long Q-T syndrome) or metabolic diseases (transient neonatal diabetes, type 2 diabetes).

Bisulfite treatment of genomic DNA can be utilized to analyze  
 15 positions of methylated cytosine residues within the DNA. Treating nucleic acids with bisulfite deaminates cytosine residues to uracil residues, while methylated cytosine remains unmodified. Thus, by comparing the sequence of a target nucleic acid that is not treated with bisulfite with the sequence of the nucleic acid that is treated with bisulfite in the methods provided  
 20 herein, the degree of methylation in a nucleic acid as well as the positions where cytosine is methylated can be deduced.

Methylation analysis *via* restriction endonuclease reaction is made possible by using restriction enzymes which have methylation-specific recognition sites, such as HpaII and MspI. The basic principle is that certain  
 25 enzymes are blocked by methylated cytosine in the recognition sequence. Once this differentiation is accomplished, subsequent analysis of the resulting fragments can be performed using the methods as provided herein.

These methods can be used together in combined bisulfite restriction analysis (COBRA). Treatment with bisulfite causes a loss in BstUI

recognition site in amplified PCR product, which causes a new detectable fragment to appear on analysis compared to untreated sample. The fragmentation-based methods provided herein can be used in conjunction with specific cleavage of methylation sites to provide rapid, reliable  
5 information on the methylation patterns in a target nucleic acid sequence.

#### 14. Resequencing

The dramatically growing amount of available genomic sequence information from various organisms increases the need for technologies allowing large-scale comparative sequence analysis to correlate sequence  
10 information to function, phenotype, or identity. The application of such technologies for comparative sequence analysis can be widespread, including SNP discovery and sequence-specific identification of pathogens. Therefore, resequencing and high-throughput mutation screening technologies are critical to the identification of mutations underlying disease,  
15 as well as the genetic variability underlying differential drug response.

Several approaches have been developed in order to satisfy these needs. The current technology for high-throughput DNA sequencing includes DNA sequencers using electrophoresis and laser-induced fluorescence detection. Electrophoresis-based sequencing methods have  
20 inherent limitations for detecting heterozygotes and are compromised by GC compressions. Thus a DNA sequencing platform that produces digital data without using electrophoresis will overcome these problems. Matrix-assisted laser desorption/ionization time-of-flight mass spectrometry (MALDI-TOF MS) measures DNA fragments with digital data output. The methods of specific  
25 cleavage fragmentation analysis provided herein allow for high-throughput, high speed and high accuracy in the detection of sequence variations relative to a reference sequence. This approach makes it possible to routinely use MALDI-TOF MS sequencing for accurate mutation detection, such as

screening for founder mutations in BRCA1 and BRCA2, which are linked to the development of breast cancer.

### 15. Multiplexing

The methods provided herein allow for the high-throughput detection  
5 or discovery of sequence variations in a plurality of target sequences relative to one or a plurality of reference sequences. Multiplexing refers to the simultaneous detection of more than one polymorphism or sequence variation. Methods for performing multiplexed reactions, particularly in conjunction with mass spectrometry, are known (see, *e.g.*, U.S. Patent Nos.  
10 6,043,031, 5,547,835 and International PCT application No. WO 97/37041).

Multiplexing can be performed, for example, for the same target nucleic acid sequence using different complementary specific cleavage reactions as provided herein, or for different target nucleic acid sequences,  
15 and the fragmentation patterns can in turn be analyzed against a plurality of reference nucleic acid sequences. Several mutations or sequence variations can also be simultaneously detected on one target sequence by employing the methods provided herein where each sequence variation corresponds to a different cleavage fragment relative to the fragmentation pattern of the  
20 reference nucleic acid sequence. Multiplexing provides the advantage that a plurality of sequence variations can be identified in as few as a single mass spectrum, as compared to having to perform a separate mass spectrometry analysis for each individual sequence variation. The methods provided herein lend themselves to high-throughput, highly-automated processes for  
25 analyzing sequence variations with high speed and accuracy.

### E. System and Software Method

Also provided are systems that automate the methods for determining sequence variations in a target nucleic acid or protein or the detection

methods provided herein using a computer programmed for identifying the sequence variations based upon the methods provided herein. The methods herein can be implemented, for example, by use of the following computer systems and using the following calculations, systems and methods.

- 5           An exemplary automated testing system contains a nucleic acid workstation that includes an analytical instrument, such as a gel electrophoresis apparatus or a mass spectrometer or other instrument for determining the mass of a nucleic acid molecule in a sample, and a computer for fragmentation data analysis capable of communicating with the analytical
- 10 instrument (see, *e.g.*, copending U.S. application Serial Nos. 09/285,481, 09/663,968 and 09/836,629; see, also International PCT application No. WO 00/60361 for exemplary automated systems). In an exemplary embodiment, the computer is a desktop computer system, such as a computer that operates under control of the "Microsoft Windows" operation
- 15 system of Microsoft Corporation or the "Macintosh" operating system of Apple Computer, Inc., that communicates with the instrument using a known communication standard such as a parallel or serial interface.

- For example, systems for analysis of nucleic acid samples are provided. The systems include a processing station that performs a base-
- 20 specific or other specific cleavage reaction as described herein; a robotic system that transports the resulting cleavage fragments from the processing station to a mass measuring station, where the masses of the products of the reaction are determined; and a data analysis system, such as a computer programmed to identify sequence variations in the target nucleic acid
- 25 sequence using the fragmentation data, that processes the data from the mass measuring station to identify a nucleotide or plurality thereof in a sample or plurality thereof. The system can also include a control system that determines when processing at each station is complete and, in response, moves the sample to the next test station, and continuously

processes samples one after another until the control system receives a stop instruction.

Figure 3 is a block diagram of a system that performs sample processing and performs the operations illustrated in Figure 1 and Figure 2.

- 5 The system **300** includes a nucleic acid workstation **302** and an analysis computer **304**. At the nucleic work station, one or more molecular samples **305** are received and prepared for analysis at a processing station **306**, where the above-described cleavage reactions can take place. The samples are then moved to a mass measuring station **308**, such as a mass spectrometer, where further sample processing takes place. The samples are preferably moved from the sample processing station **306** to the mass measuring station **308** by a computer-controlled robotic device **310**.
- 10

- The robotic device can include subsystems that ensure movement between the two processing stations **306**, **308** that will preserve the integrity of the samples **305** and will ensure valid test results. The subsystems can include, for example, a mechanical lifting device or arm that can pick up a sample from the sample processing station **306**, move to the mass measuring station **308**, and then deposit the processed sample for a mass measurement operation. The robotic device **310** can then remove the measured sample and take appropriate action to move the next processed sample from the processing station **306**.
- 15
- 20

- The mass measurement station **308** produces data that identifies and quantifies the molecular components of the sample **305** being measured. Those skilled in the art will be familiar with molecular measurement systems, such as mass spectrometers, that can be used to produce the measurement data. The data is provided from the mass measuring station **308** to the analysis computer **304**, either by manual entry of measurement results into the analysis computer or by communication between the mass measuring station and the analysis computer. For example, the mass measuring station
- 25

**308** and the analysis computer **304** can be interconnected over a network **312** such that the data produced by the mass measuring station can be obtained by the analysis computer. The network **312** can comprise a local area network (LAN), or a wireless communication channel, or any other  
5 communications channel that is suitable for computer-to-computer data exchange.

The measurement processing function of the analysis computer **304** and the control function of the nucleic acid workstation **302** can be incorporated into a single computer device, if desired. In that configuration,  
10 for example, a single general purpose computer can be used to control the robotic device **310** and to perform the data processing of the data analysis computer **304**. Similarly, the processing operations of the mass measuring station and the sample processing operations of the sample processing station **306** can be performed under the control of a single computer.

15 Thus, the processing and analysis functions of the stations and computers **302**, **304**, **306**, **308**, **310** can be performed by variety of computing devices, if the computing devices have a suitable interface to any appropriate subsystems (such as a mechanical arm of the robotic device **310**) and have suitable processing power to control the systems and perform  
20 the data processing.

The data analysis computer **304** can be part of the analytical instrument or another system component or it can be at a remote location. The computer system can communicate with the instrument can communicate with the instrument, for example, through a wide area network  
25 or local area communication network or other suitable communication network. The system with the computer is programmed to automatically carry out steps of the methods herein and the requisite calculations. For embodiments that use predicted fragmentation patterns (of a reference or target sequence) based on the cleavage reagent(s) and modified bases or

amino acids employed, a user enters the masses of the predicted fragments. These data can be directly entered by the user from a keyboard or from other computers or computer systems linked by network connection, or on removable storage medium such as a data CD, minidisk (MD), DVD, floppy disk or other suitable storage medium. Next, the user initiates execution software that operates the system in which the fragment differences between the target nucleic acid sequence and the reference nucleic acid sequence, are identified. The sequence variation software performs the steps of Algorithm 1 and, in some embodiments, Algorithms 2 or 3 as described herein.

Figure 4 is a block diagram of a computer in the system **300** of Figure 3, illustrating the hardware components included in a computer that can provide the functionality of the stations and computers **302, 304, 306, 308**. Those skilled in the art will appreciate that the stations and computers illustrated in Figure 3 can all have a similar computer construction, or can have alternative constructions consistent with the capabilities and respective functions described herein. The Figure 4 construction is especially suited for the data analysis computer **304** illustrated in Figure 3.

Figure 4 shows an exemplary computer **400** such as might comprise a computer that controls the operation of any of the stations and analysis computers **302, 304, 306, 308**. Each computer **400** operates under control of a central processor unit (CPU) **402**, such as a "Pentium" microprocessor and associated integrated circuit chips, available from Intel Corporation of Santa Clara, California, USA. A computer user can input commands and data from a keyboard and computer mouse **404**, and can view inputs and computer output at a display **406**. The display is typically a video monitor or flat panel display. The computer **400** also includes a direct access storage device (DASD) **408**, such as a hard disk drive. The computer includes a memory **410** that typically comprises volatile semiconductor



random access memory (RAM). Each computer preferably includes a program product reader **412** that accepts a program product storage device **414**, from which the program product reader can read data (and to which it can optionally write data). The program product reader can comprise, for  
5 example, a disk drive, and the program product storage device can comprise removable storage media such as a magnetic floppy disk, a CD-R disc, a CD-RW disc, or DVD disc.

Each computer **400** can communicate with the other Figure 3 systems over a computer network **420** (such as, for example, the local network **312**  
10 or the Internet or an intranet) through a network interface **418** that enables communication over a connection **422** between the network **420** and the computer. The network interface **418** typically comprises, for example, a Network Interface Card (NIC) that permits communication over a variety of networks, along with associated network access subsystems, such as a  
15 modem.

The CPU **402** operates under control of programming instructions that are temporarily stored in the memory **410** of the computer **400**. When the programming instructions are executed, the computer performs its functions. Thus, the programming instructions implement the functionality of the  
20 respective workstation or processor. The programming instructions can be received from the DASD **408**, through the program product storage device **414**, or through the network connection **422**. The program product storage drive **412** can receive a program product **414**, read programming instructions recorded thereon, and transfer the programming instructions into the  
25 memory **410** for execution by the CPU **402**. As noted above, the program product storage device can comprise any one of multiple removable media having recorded computer-readable instructions, including magnetic floppy disks and CD-ROM storage discs. Other suitable program product storage devices can include magnetic tape and semiconductor memory chips. In this

way, the processing instructions necessary for operation in accordance with them methods and disclosure herein can be embodied on a program product.

Alternatively, the program instructions can be received into the operating memory **410** over the network **420**. In the network method, the  
5 computer **400** receives data including program instructions into the memory **410** through the network interface **418** after network communication has been established over the network connection **422** by well-known methods that will be understood by those skilled in the art without further explanation. The program instructions are then executed by the CPU **402**  
10 thereby comprising a computer process.

It should be understood that all of the stations and computers of the system 300 illustrated in Figure 3 can have a construction similar to that shown in Figure 4, so that details described with respect to the Figure 4 computer **400** will be understood to apply to all computers of the system  
15 **300**. It should be appreciated that any of the communicating stations and computers can have an alternative construction, so long as they can communicate with the other communicating stations and computers illustrated in Figure 3 and can support the functionality described herein. For example, if a workstation will not receive program instructions from a  
20 program product device, then it is not necessary for that workstation to include that capability, and that workstation will not have the elements depicted in Figure 4 that are associated with that capability.

The following Examples are included for illustrative purposes only and are not intended to limit the scope of the invention.

## 25 **EXAMPLE 1**

### **Base-Specific Cleavage of RNA**

Provided herein is a semi-automated protocol for a one tube reaction including RNA transcription and a G-specific endonucleolytic

cleavage reaction with the exemplary RNase, RNase T1, to analyze sequence variations of a target nucleic acid of interest. The fragments produced by the RNase cleavage method as provided herein can be analyzed according to the methods provided herein. The RNase T1  
 5 reaction is carried out to about 100% cleavage at the G nucleotide sites on the target nucleic acid. This cleavage produces a characteristic pattern of fragment masses, which is indicative of the sequence variations in a target sequence of interest.

## **MATERIALS AND METHODS**

10 Oligonucleotides were purchased from Metabion (Germany). 5-Methylcytidine 5'-triphosphate lithium salt (Me-CTP) and 5-Methyluridine 5'-triphosphate lithium salt (Me-UTP) were obtained from Trilink (USA).

### **PCR Amplification**

15 A 5  $\mu$ l PCR reaction contained 5 ng of genomic DNA, 0.1 units of HotStarTaq DNA Polymerase (Qiagen, Germany), 1 pmol each of forward and reverse primer, 0.2 mM of each dNTP and 1x HotStarTaq PCR buffer as supplied by the enzyme manufacturer (Qiagen, Germany; contains 1.5 mM  $MgCl_2$ , Tris-HCl, KCl and  $(NH_4)_2SO_4$  pH 8.7). Enzyme activation and  
 20 initial denaturation was performed at 94°C for 15 min, followed by 45 amplification cycles (94°C for 20 sec, 56°C for 30 sec and 72°C for 60 sec) and a final extension at 72°C for 3 min.

### **RNA Transcription and RNase T1 cleavage**

Following PCR amplification, 2.4  $\mu$ l of the PCR product was used in  
 25 a 6  $\mu$ l transcription reaction containing 10 units of T7 (or SP6) RNA polymerase (Epicentre) and 0.5 mM of each NTP in 1x transcription buffer (containing 6 mM  $MgCl_2$ , 10 mM DTT, 10 mM NaCl, 10 mM Spermidine and 40 mM Tris·Cl pH 7.9 at 20°C). When transcription was carried out

using Me-UTP or Me-CTP, UTP or CTP was completely replaced by modified methyl nucleotide. The transcription reactions were incubated at 37°C for 2 h. After the transcription reactions were performed, 20 units of RNase T1 was added and the reaction mixture was incubated for 30 min at 30°C. Incubation at 30°C was found to force the cleavage reaction towards the 3'-phosphate group and eliminated complexity generated by multiple mass signals for each given parent fragment in the mass spectrum.

An alternative approach is to use different RNA endonucleases to generate base-specific fragments. For example, the *in vitro* transcript can be completely digested with either RNase U2 at every A-position, RNase PhyM at every A and U position, or RNase A at every C and U position.

#### **Sample Conditioning and Mass Spectrometry.**

Following transcription and cleavage, each sample was diluted by adding 21  $\mu$ l H<sub>2</sub>O. Conditioning of the phosphate backbone was achieved with 6 mg SpectroCLEAN™ cation exchange resin (ion exchange resin loaded with ammonium ion; Sequenom, USA). Next, 16 nl of the resulting solution was robotically dispensed onto a silicon chip (SpectroCHIP™, Sequenom). All mass spectra were recorded with a Biflex III mass spectrometer (Bruker Daltonik, Germany). Positive ions were analyzed and ~50 single-shot spectra were accumulated. All samples were analyzed in linear time-of-flight mode using delayed ion extraction and a total acceleration voltage of 20 kV.

In an alternate method, instead of carrying out the amplification, transcription and digestion reactions in a single tube (homogeneous approach), the transcript can be isolated by hybridization onto an immobilized oligonucleotide that is complementary to the 3'-end of the transcript, *e.g.*, an immobilized oligonucleotide containing a T7 or SP6

promotor. The isolated transcripts can then be digested with RNase under MALDI-MS compatible conditions.

## RESULTS AND DISCUSSION

RNase T1 cleavage was driven to completion. Reaction conditions with a sufficient RNase concentration were optimized to avoid even low amounts of denaturing reagents, such as urea or formamide, which disturb analyte/matrix crystallization. One advantage of the presented homogeneous approach over a limited/incomplete digestion is that it can be extended to template regions of 500 nt or more, without signal loss in a higher mass range ( $> 12000$  Da). In complete digests, the highest mass fragment is sequence dependent, as determined by the largest distance between two G-positions, but the highest mass fragment is independent of the length of the RNA transcript.

Since homogenous assay formats do not apply any washing or removal of liquids, all of the above mentioned reagents and reagent components have an influence on the downstream MALDI analysis and its evaluation. Best performance was obtained with 5  $\mu$ l PCR set-ups. This provides enough volume for two transcription reactions analyzing the forward and reverse strands. Sufficient PCR product yield and quality is achieved with 5 ng genomic DNA and 1 pmol of each required primer. An increase of DNA concentration resulted in only slightly higher yields. Increased primer concentration led in some cases to a significant generation of primer dimers. These reaction conditions could be applied to a wide range of target regions. In addition, the subsequent RNA transcription compensates for any variations in PCR product yield. The total volume of each RNA transcription and cleavage reaction was minimized without loss in data quality of individual mass spectra, i.e. signal to noise ratio of the fragment signals and the mass accuracy of the fragment signals were not diminished. Reproducible *in vitro* transcript

yields were obtained by using 8 units of wt T7 RNA or SP6 RNA polymerase for a 6  $\mu$ l reaction independent of the sequence of the PCR-amplified target region. Reproducibility testing and high-throughput analysis in 384 MTP format can be carried out using automated liquid  
 5 handling devices.

RNase cleavage reactions at 37°C or higher temperatures almost always generated a 1:3 mixture of 3'-cyclic phosphates and 3'-phosphates, whereas incubation at 30°C was found to force the cleavage reaction towards 3'-phosphate groups. This eliminated  
 10 complications by multiple signals for each given fragment in the mass spectra. In addition to the cleavage conditions, the ribonucleoside triphosphate concentration, transcription buffer composition and the amount of RNA polymerase were found to result in a reproducible, homogeneous RNA-based cleavage assay.

15 Miniaturized MALDI sample preparation with nanodispensing devices, which transfer the sample onto a chip array, represents an improvement over the standard 3-HPA macro preparation. Non-homogeneous analyte distribution in the MALDI sample (hot spot formation), which is almost always observed in 3-HPA macro preparations  
 20 and hampers automated MALDI measurement, was largely suppressed by the miniaturized and homogeneous sample crystallization on the chip array. Also, sample portioning representing either only the low or the high mass window of the full spectrum of analyte masses was not observed. Further, the acquisition time for the automatic mass  
 25 spectrometry measurement could be reduced to 5 seconds for any single sample.

Good sample crystallization on the silicon chip (SpectroCHIP™) was achieved with a final dilution of the sample. Without dilution, buffer ingredients and detergent inhibited the crystallization process of the

MALDI sample, resulting in no fragment signals detected in the MALDI-TOF spectra. Sample dilution and addition of ion-exchange resin to the final solution proved sufficient to condition the phosphate backbone of nucleic acid fragments, permitting efficient combination of the

- 5 homogeneous fragmentation assay with chip array based MALDI-TOF MS analysis.

Representative fragmentation spectra demonstrated that all observed fragments possess 5'-OH and 3'-phosphate groups, and no fragments were observed that had 2',3'-cyclic phosphate groups, a  
10 stable intermediate under limited cleavage conditions. This permitted all major signals in the spectrum to be unambiguously assigned to expected fragments. Thus, following the described protocol, the method provides highly reproducible and accurate results.

A limitation of an RNA-based fragmentation approach is caused by  
15 the small mass difference between U and C (1 Da). In some cases, two RNA fragments with identical length and differing by only one or a few U or C residues can not be separable with the current resolution of the linear MALDI-TOF instrument. To avoid this instrument related limitation, an alternative method can be used where a pyrimidine residue of one  
20 nucleotide is completely replaced by a chemically modified base during the transcription reaction. Either UTP or CTP can be replaced by the respective 5-Me-modified ribonucleotide analogue without a loss in transcription yield, increasing the mass of the corresponding nucleotide by 14 Da.

25 Another advantage of the mass modification method derives from the fact, that without any previous sequence information, the A-C-U-composition of any RNase T1 fragment can be calculated. Three different RNase T1 cleavage reactions can be separately carried out on nucleic acids containing: (a) CTP, UTP (b) 5-Me CTP, UTP and (c) CTP, 5-

Me UTP. For any RNA-fragment, the mass difference between a given fragment of reaction (a) and (b) and the difference between reaction (a) and (c) can be used to calculate the number of U residues and C residues in the fragment. Since each fragment, except for the last fragment,  
 5 contains only one G, the number of A residues also can be derived.

For partial base-specific cleavage, a modified or non-natural nucleotide that is not cleaved by the base-specific RNase is added to the transcription reaction mix in a ratio that determines the number of cleavage sites that are cleaved. An exemplary protocol is provided  
 10 below:

#### **PCR primer and amplicon sequence**

Forward primer (SEQ ID NO. 6):

5'CAGTAATACGACTCACTATAGGGAGAAGGCTCCCCAGCAAGACGGAC  
 TT-3'

15 Reverse primer (SEQ ID NO. 7):

5'-AGGAAGAGAGCGCCTCGGCAAAGTACAC-3'

Amplicon (SEQ ID NO. 8):

5'-GGGAGAAGGC TCCCCAGCAA GACGGACTTC TTCAAAAACA  
 TCATGAACTT CATAGACATT GTGGCCATCA TTCCTTATTT  
 20 CATCACGCTG GGCACCGAGA TAGCTGAGCA GGAAGGAAAC  
 CAGAAGGGCG AGCAGGCCAC CTCCCTGGCC ATCCTCAGGG  
 TCATCCGCTT GGTAAGGGTT TTTAGAATCT TCAAGCTCTC  
 CCGCCACTCT AAGGGCCTCC AGATCCTGGG CCAGACCCTC  
 AAAGCTAGTA TGAGAGAGCT AGGGCTGCTC ATCTTTTTTCC  
 25 TCTTCATCGG GGTCATCCTG TTTTCTAGTG CAGTGTACTT  
 TGCCGAGGCG CTCTCTTCCT-3'



### **RNA Transcription and RNase Cleavage**

Each reaction requires 2  $\mu$ l of transcription mix and 2  $\mu$ l of the amplified DNA sample. For a T-specific cleavage, the transcription mix contains 40 mM Tris-acetate pH 8, 40 mM potassium acetate, 10 mM magnesium acetate, 8 mM spermidine, 1 mM each of ATP, GTP and UTP, 2.5 mM of dCTP, 5 mM of DTT and 20 units of T7 R&D polymerase (Epicentre). For T-specific partial cleavage, a 4:1 ratio of dTTP to UTP is used. Transcription reactions were performed at 37°C for 2 hours. Following transcription, 2  $\mu$ l of RNase A (0.5  $\mu$ g) was added to each transcription reaction. The RNase cleavage reactions were carried out at 37°C for 1 hour.

### **Sample Conditioning and MALDI-TOF MS Analysis**

Following RNase cleavage, each reaction mixture was diluted within a tube or 384-well plate by adding 20  $\mu$ l of ddH<sub>2</sub>O. Conditioning of the phosphate backbone was achieved by addition 6 mg of cation exchange resin (SpectroCLEAN™, Sequenom) to each well, rotation for 5 min and centrifugation for 5 min at 640 x *g* (2000 rpm, centrifuge IEC Centra CL3R, rotor CAT.244). Following centrifugation, 15 nl of sample was transferred to a SpectroCHIP™ using a piezoelectric pipette. Samples were analyzed on a Biflex linear TOF mass spectrometer (Bruker Daltonics, Bremen).

## **EXAMPLE 2**

### **Base-Specific Cleavage of DNA**

The following example describes a method for fragmenting a target nucleic acid according to the presence of a U residue in the nucleic acid, which is accomplished by digestion with the enzyme Uracil DNA glycosylase and phosphate backbone cleavage using NH<sub>3</sub>. The fragmentation method provided herein can be used to generate base-

specifically cleaved fragments of a target DNA, which can then be analyzed according to the methods provided herein to identify the sequence variations in the target DNA relative to a reference DNA.

The DNA region of interest was amplified using PCR in the presence of dUTP instead of dTTP. The target region was amplified using a 50  $\mu$ l PCR reaction containing 25 ng of genomic DNA, 1 unit of HotStarTaq DNA Polymerase (Qiagen), 0.2 mM each of dATP, dCTP and dGTP and 0.6 mM of dUTP in 1x HotStarTaq PCR buffer. PCR primers were used in asymmetric ratios of 5 pmol biotinylated primer and 15 pmol of non-biotinylated primer. The temperature profile program included 15 min of enzyme activation at 94°C, followed by 45 amplification cycles (95°C for 30 sec, 56°C for 30 sec and 72°C for 30 sec), followed by a final extension at 72°C for 5 min.

For microsatellite analysis, the temperature profile was changed to a touchdown program with a starting annealing temperature of 62°C and a 2°C decrease in annealing temperature every two cycles until reaching a final annealing temperature of 56°C. This temperature profile proved to be more generally applicable for amplification of microsatellite loci.

To the crude PCR product, 50  $\mu$ g of prewashed paramagnetic streptavidin beads (Dyna) in 45  $\mu$ l of 2x B/W buffer (10 mM Tris-HCl, pH 7.5, 1 mM EDTA, 2 M NaCl) were added and incubated at room temperature for 20 min. The streptavidin beads carrying the immobilized PCR product were then incubated with 0.1 M NaOH for 5 min at room temperature. After removal of the supernatant containing the non-biotinylated PCR strand, the beads were washed three times with 10 mM Tris-HCl pH 7.8.

The beads carrying single stranded biotinylated PCR product were redissolved in 12  $\mu$ l UDG buffer (60 mM Tris-HCl, pH 7.8, 1 mM EDTA), 2

- units of Uracil DNA Glycosylase (MBI Fermentas) was added, and the mixture was incubated for 45 min at 37°C. Following the cleavage reaction, the beads were washed twice with 10 mM Tris-HCl pH 7.8 and one time with ddH<sub>2</sub>O. The beads were then resuspended in 12  $\mu$ l
- 5 aqueous NH<sub>3</sub>, incubated at 60°C for 10 min, and cooled to 4°C. The supernatant containing the eluted strands was transferred to a new tube and then heated to 95°C for 10 min, followed by incubation at 80°C for 11 min with an open lid to evaporate the ammonia.

An exemplary protocol for partial cleavage is provided below:

**10 PCR primer and amplicon sequence**

Forward primer (SEQ ID NO. 9):

5'-Bio CCCAGTCACGACGTTGTAAAACG-3'

Reverse Primer (SEQ ID NO. 10):

5'-AGCGGATAACAATTTACACAGG-3'

**15 Amplicon (SEQ ID NO. 11):**

5'-CCCAGTCACG ACGTTGTAAA ACGTCCAGGG AGGACTCACC  
ATGGGCATTT GATTGCAGAG CAGCTCCGAG TCCATCCAGA  
GCTTCCTGCA GTCACCTGTG TGAAATTGTT ATCCGCT-3'

- To achieve partial cleavage, 75  $\mu$ g of Streptavidin Beads (Dyna, Oslo) were prewashed 2 times in 50  $\mu$ l of 1x B/W buffer and resuspended in 45  $\mu$ l of 2x B/W buffer (according to recommendation by manufacturer). Biotinylated PCR product was immobilized by adding the 50  $\mu$ l PCR reaction to the resuspended Streptavidin Beads and incubation at room temperature for 20 min. The streptavidin beads carrying the
- 25 immobilized PCR product were then incubated with 0.1 M NaOH for 5 min at room temperature to denature the double-stranded PCR product. After removal of the supernatant containing the non-biotinylated PCR strand,

the beads were washed three times with 10 mM Tris-HCl pH 7.8 to neutralize the pH.

The beads were resuspended in 10  $\mu$ l of UDG buffer (60mM Tris-HCl pH 7.8, 1mM EDTA pH 7.9), 2 units of Uracil DNA Glycosylase were added (MBI Fermentas) and the mixture was incubated at 37°C for 45 min. Following the reaction, the beads were washed twice with 25  $\mu$ l of 10 mM Tris-HCl pH 8, and once with 10  $\mu$ l ddH<sub>2</sub>O. The biotinylated strand was eluted by adding 12  $\mu$ l of 500 mM NH<sub>4</sub>OH and incubating at 60°C for 10 min. After the 10 min incubation, the supernatant was collected into a fresh microtiter plate or tube to cleave the phosphate at abasic sites, followed by incubation at 95°C for 10 minutes with a closed lid. To evaporate the ammonia, an incubation at 80°C for 11 minutes is performed with an open lid.

#### **Mass Spectrometric Analysis**

Following DNA cleavage, 15 nl of sample were transferred onto a SpectroCHIP™ (Sequenom) using a piezoelectric pipette. Analysis was performed on a Bruker Bilex mass spectrometer (Bruker Daltonics, Bremen).

### **EXAMPLE 3**

#### **20 A. SNP Discovery by Base-Specific Fragmentation of Amplified DNA**

Base-specifically cleaved fragments of target sequences containing SNPs can be analyzed by the methods provided herein to detect known SNPs or discover unknown SNPs. High-throughput base-specific fragmentation followed by mass spectrometric analysis may be performed according to Rodi *et al.*, *BioTechniques*, 32:S62-S69 (2002) (incorporated by reference herein), using systems such as the system denoted by the trademark MassARRAY™. MassARRAY™ relies on mass spectral analysis combined with the miniaturized array and MALDI-TOF (Matrix-Assisted

Laser Desorption Ionization-Time of Flight) mass spectrometry to deliver results rapidly. The fragment signals generated according to the methods provided herein and in Rodi *et al.*, *BioTechniques*, 32:S62-S69 (2002) can be analyzed according to the methods provided herein.

- 5 In base-specific fragmentation, a single-stranded copy of the target sequence is created and in four separate reactions fragmented to completion at positions corresponding to each of the four bases. This reduces the nucleic acid to a collection of sets of oligonucleotides, which are easily resolvable with the precision, accuracy, and resolution of the
- 10 MALDI-TOF MS. Using a reference sequence allows one to definitively identify each resulting peak. Changes in sequence have profound and easily discernible effects on the pattern of peaks produced. This is illustrated in the following sequence:

XXXACTGXXXC/AXXTGACXXX (SEQ ID NO. 12)

- 15 In this example an A/C transversion is shown. Suppose the known (reference) sequence were the A-containing sequence; then one would expect that an A-specific cleavage of the displayed sequence would produce the two fragments shown, a 7-mer and a 6-mer (ignoring the end fragments). Now consider the result if a sample contained a C at the
- 20 second A position. There would be only two A residues, and the cuts would produce the single large fragment shown, a 13-mer; the 7-mer and 6-mer would disappear (or in the case of a heterozygote, be diminished in intensity). The C-specific cleavage would, of course, produce the
- 25 converse result, of a 13-mer for the A allele and a 6-mer plus a 7-mer for the C allele. Even the T-specific and G-specific cleavages yield discernible changes, since the C-allele is 24 Da less massive than the A-allele, a peak shift that is easily detected in the low mass portion of the mass spectrum. Any one of these reactions would be sufficient to detect this polymorphism, but taken together the precise location can be determined,

since in most instances there is only one way to reconcile all four peak patterns.

The single-stranded nucleic acid is produced by transcription, a very reliable, economical, and process-friendly method. A T7 RNA  
 5 polymerase promoter can be attached to either end of an amplicon during DNA amplification using a three-primer system (see Rodi *et al.*, *BioTechniques*, 32:S62-S69 (2002)). Target-specific amplification primers are used, each with a slightly different sequence tag at the 5' end. By including a universal forward T7 primer in the reaction amplicons are  
 10 created that produce + transcripts; by substituting a universal reverse T7 primer into the reaction, amplicons are created that produce – transcripts. In high-throughput mode, it is recommended to simply run two + strand reactions and two – strand reactions rather than distribute transcripts after they are produced. The two + strands are fragmented using an  
 15 RNase reaction specific for C residues in one well and a second reaction specific for U residues in the other well. G-specific and A-specific cleavages are deduced by simply running the C-specific and U-specific reactions, respectively, on the – strands.

One of the great advantages of the fragmentation approach for  
 20 discovery of genetic variation is the clarity of the signal produced. This permits targeted discovery using amplicons (rather than clones) and fully automated interpretation of the results. An example of this is shown in the CETP gene (see Rodi *et al.*, *BioTechniques*, 32:S62-S69 (2002)). A 500 bp amplicon from intron 10 of the CETP gene (SEQ ID NO. 13) was  
 25 produced from each of 12 individuals, transcribed, and subjected to T-specific fragmentation. The partial spectrum corresponded precisely to the predicted peak pattern based on the Ensembl sequence; all expected peaks were present and no unexpected peaks were seen. Two of the twelve individuals showed different patterns, showing an unexpected

peak at 3159 Da; furthermore, the peak at 2830.7 Da had a significantly reduced signal intensity. Since no predicted peaks were absent, this is consistent with one of the homologues of this individual having a nucleotide substitution at a T residue, thereby rendering it resistant to cleavage and resulting in the new signal at the higher mass. The second individual had the same unexpected peak at 3159 Da, but its relative intensity was greater and the peak at 2830.7 Da was completely absent; this individual is therefore homozygous for the here-to-fore unknown SNP. The clarity, accuracy and rapidity with which the fragment signals are generated according to the aforementioned fragmentation method renders them among the preferred signals for analysis according to the methods provided herein.

#### **B. Evaluation of SNP Discovery by Base-Specific Fragmentation**

The methods provided herein for analysis of a reduced set of sequence variation candidates ("automated" method) were implemented in C++. Included in the implementation was the refined SNP scoring scheme and the iterative SNP selection process according to the methods provided herein. In some instances, as provided below, analyses according to the algorithms implemented in C++ were compared to manual assembly of the list of candidate SNPs. Manual assembly was performed by examining the consistency among the complementary cleavage reactions and/or the recurrence of an indicative fragment in the sample set, then simulating the variant mass spectrum or other indicator of mass, such as mobility in the case of gel electrophoresis, for every possible sequence change (rather than obtaining a reduced set of sequence variation candidates according to the methods provided herein) of a reference sequence that does not contain the sequence variation. In the manual approach, each simulated variant spectrum corresponding to a particular sequence variation or set of sequence variations is then

matched against the actual variant mass spectrum to determine the most likely sequence change or changes that resulted in the variant spectrum.

Two sets of samples, a first set of 10 amplicons (Amplicon 1 - Amplicon 10; SEQ ID NOS. 45-54) and a second set of 30 amplicons  
 5 (Amplicon 2.1 - 2.30; SEQ ID NOS. 55-84) of 500 bp average lengths derived from various regions of the human genome, were analyzed. For each amplicon, DNA samples from 12 Caucasian individuals (Dausset *et al.*, *Genomics*, 6(3):575-577 (1990)) were analyzed and compared  
 10 against a corresponding reference sequence for the presence of SNPs within the region spanned by the amplicon sequence.

### **Method**

Base-specific cleavage was performed employing RNA-transcription with T7 RNA polymerase followed by RNase cleavage as provided herein. All PCR primers were tagged with a T7 promoter at their 5' end. Two  
 15 sets of PCR primers, having sequences identical or complementary to 18-22 bases at the 5' and 3' ends of the 40 amplicons whose sequences are provided in the sequence listing as SEQ ID NOS. 45-84, were ordered for each amplicon to allow for transcription of either sense or anti-sense strand. RNase A was used to obtain T-specific and C-specific cleavage  
 20 using sense transcripts and the equivalent of A-specific and G-specific cleavage using antisense transcripts (the activity of RNase A toward C (T) residues was blocked by incorporation of dCTP (dTTP) into the transcripts, thus rendering the RNase A specific for either U or C residues). In this way, the equivalent of all four base-specific cleavages  
 25 was analyzed.

5  $\mu$ l PCR reactions in 384 well plates were set-up. Uniform PCR conditions were employed as provided herein. Following PCR, transcription mix was added into each well of the microtiter plate and



transcription was performed for 2 hours at 37°C. Subsequent to transcription, RNase A was added into each well and cleavage proceeded for 60 minutes at 37°C. Conditioning of RNA fragments for MALDI-TOF MS analysis was performed by adding 6 mg of SpectroCLEAN™ to each well.

For MALDI-TOF MS analysis, 10 nl of analyte was automatically dispensed onto a 384 array chip with a pintool device. All post-PCR pipetting steps were performed using a Beckman Multimek pipettor.

### **Results**

SNPs were identified by automated analysis generating a reduced set of sequence variation candidates, simulation of the reduced set and scoring according to the methods provided herein. Results were further verified by manual analysis of additional and missing signals reported in the software. All identified SNPs were validated by a subsequent chain terminating primer extension reaction. In cases where the base-specific reaction could not exactly locate the position of the SNP, the primer extension reaction was also used to locate the SNP.

#### **A. Set 1: 10 amplicons**

The following Table provides the SNPs (base change and position in the amplicon sequence) identified in the first set of 10 amplicons.

Amplicon	Identified SNP	SEQ ID NO.
1	C/T, @123	45
2	T/G, @179	46
	C/T, @317	
3	G/A, @285	47
4	A/G, @131	48

	Amplicon	Identified SNP	SEQ ID NO.
5	5	G/A, @50	49
		T/C, @111	
		C/T, @133 or 135	
		C/T, @185	
		T/G, @198	
		C/A, @253*	
		T/C, @359*	
	6	C/G, @131	50
	7	T/A, @236	51
	8	C/G, @84	52
5		T/C, @269	
	9	C/A, @136	53
		G/A, @383	
	10	G/C, @76	54

Of the above 19 SNPs that were identified by the automated method provided herein, only 2 (marked with \*) were determined to be false positives that were not detected by the confirmatory primer extension reactions. Moreover, the two false positives were reported with very low confidence by the software.

#### **B. Set 2: 30 amplicons**

The SNPs (base change and position in the amplicon sequence) were similarly identified in the second set of 30 amplicons. In addition, the SNPs identified by automation generating and analyzing a reduced set of sequence variation candidates according to the methods provided herein were compared to the SNPs that were identified by a manual examination and analysis (construction, simulation and scoring of all possible sequence variation candidates) of the cleavage patterns obtained by the four complementary base-specific cleavage reactions. All SNPs, whether detected by manual or automated analysis, were verified as being true positives or false positives by chain terminating primer extension reactions.

Thirty 'disjoint' amplicons (non-overlapping sub-regions of DNA amplified by PCR) of lengths 328 to 790 base pairs were amplified from various regions on Human Chromosome 22 (Dunham *et al.*, *Nature*, 402(6761):489-495 (1999)), the average length of an amplicon being  
 5 433 base pairs. In total, 11793 base pairs were analyzed. For the mass spectrometric analysis, four base-specific cleavage reactions were performed using RNase A and measured by mass spectrometry independently.

Analyzing the mass spectrometry data manually, 50 SNPs were  
 10 discovered and verified by chain terminating primer extension. For 6 of these 50 SNPs, the exact position could not be determined from the cleavage mass spectrometry data. Manual analysis of the mass spectrometry data was very time consuming, and it took several weeks to complete the analysis. In addition, one SNP was found using the  
 15 electrophoresis data that was missed in the manual analysis of the mass spectrometry data.

In total, 51 SNPs were discovered by manual analysis of mass spectrometry data or electrophoresis data (on average, one SNP every 231 base pairs). This indicates that a desirable threshold to be reached in  
 20 the case of SNP discovery applications is a sequence variation order  $k$  of usually, although not necessarily, 1 or 2, where the order 2 covers SNPs that are in closer vicinity with respect to each other. In cases of mutation discovery or resequencing, the value of  $k$  is usually, although not necessarily, 3 or 4 or higher because multiple base changes in close  
 25 proximity to each other are more likely to be observed.

The cleavage mass spectrometry data was then analyzed by implementing the automated methods provided herein. All of the 51 SNPs were included in the 22,447 potential reduced set of sequence variation candidates constructed using the algorithm implemented

according to the methods provided herein. The analysis was performed for every sample individually, so that 1871 sequence variations per sample were scored on average. Of the 53 SNPs identified by the automated method, 7 were verified as false positives and 46 were verified  
5 as true positives. Again, for 6 of the 46 true positive SNPs, the exact position could not be determined.

While the automated method identified 5 fewer SNPs than the manual method, it is noted that this level of sensitivity and specificity was achieved using the default scoring scheme and threshold of the analysis  
10 package, rather than tailoring the parameters of the package to the present example. Moreover, in contrast to the time required to complete the manual analysis, which was several weeks, the automated method, which constructed and scored a reduced set of 22,447 sequence variation candidates compared to manual simulation of a total set of  
15 1132128 sequence variation candidates, provided a significant reduction in the runtime required to process the data for analysis, which in turn reduced the total analysis time.

Runtime measurements corresponding to sequence variation order  $k = 1, 2$  or  $3$ , were performed on a single processor desktop computer  
20 using a 1.0 GHz Pentium III processor. For  $k = 1$ , the automated runtime was 1.5 s compared to a manual runtime of 62.6 s. As the sequence variation order increases, the difference in runtimes greatly increases. Thus, for  $k = 2$ , the automated runtime was 32.2 s, versus a manual runtime of 91.9 min. For  $k = 3$ , the automated runtime was 467 s,  
25 versus a manual runtime of 57 h. Thus, by using the algorithm implemented according to the methods provided herein, the sequence variation analysis for even higher order variations ( $k = 3$ ) can be performed in 0.33 seconds per analyzed mass spectrum and is therefore

well suited for real time analysis of mass spectrometry data.

#### EXAMPLE 4

##### Bacterial Typing by Base-Specific Fragmentation

This example provides a method for base-specific fragmentation of  
 5 bacterial strains. The fragments produced according to the fragmentation  
 methods provided herein and in von Wintzingerode *et al.* (*Proc. Natl.*  
*Acad. Sci. U.S.A.* 99(10):7039-7044 (2002)), incorporated by reference  
 herein, can be analyzed according to the methods provided herein to  
 identify target bacterial strains.

## 10 MATERIALS AND METHODS

### Bacterial Strains

Twelve reference strains ("type" strains) of *Mycobacterium*  
 species, provided by the German Collection of Microorganisms and Cell  
 Cultures (DSMZ, Braunschweig, Germany) and Institute for  
 15 Standardization and Documentation in Medical Laboratory reg. ass.  
 (Instand e.V., Düsseldorf, Germany), and twenty-four clinical isolates of  
 mycobacteria were used in this study. The mycobacteria were grown in  
 liquid medium (MGIT liquid medium; Becton Dickinson Europe, France)  
 with enrichment supplement (MGIT system oleic acid-albumin-dextrose-  
 20 citric acid) and antimicrobial supplement (MGIT system PANTA  
 (polymyxin B, nalidixic acid, trimethoprim, and azlocillin)). The  
 mycobacteria were cultured at 37°C, with the exception of  
*Mycobacterium marinum*, which was cultured at 30°C. When bacterial  
 growth was indicated, mycobacteria were concentrated in 0.5 ml broth  
 25 by centrifugation at 3300 x *g* for 20 min.

### DNA Extraction

DNA was extracted using a commercially available kit (Respiratory Specimen Preparation Kit, AMPLICOR: Roche Molecular Systems, Inc., Branchburg, N.J., USA). Briefly, 100  $\mu$ l of resuspended mycobacterial pellet was transferred into a 1.5 ml polypropylene tube, washed with washing solution (500  $\mu$ l) provided by the kit, and centrifuged (14,000 x g) for 10 min. The supernatant was discarded and the bacterial pellet was resuspended in lysis reagent (100  $\mu$ l). After incubation in a 60°C heating-block for 45 min, the lysate was neutralized with the provided neutralizing reagent (100  $\mu$ l) and the resulting DNA solution was stored at 4°C.

### Identification by PCR and Sequencing.

Full-length 16S rRNA genes from the twelve *Mycobacterium* reference strains (see SEQ ID NOs. 14-25) were analyzed as described (see von Wintzingerode *et al.*, *Appl. Environ. Microbiol.* 65:283-286 (1999)). Briefly, 16S rDNA was PCR amplified using eubacterial primers TPU1 (AGA GTT TGA TCM TGG CTC AG (SEQ ID NO. 39), corresponding to *E. coli* positions 8-27) and RTU8 (AAG GAG GTG ATC CAK CCR CA (SEQ ID NO. 40), corresponding to *E. coli* positions 1541-1522 (see SEQ ID NO. 29 for the 16S rRNA gene sequence from *E. coli*)). PCR-products were ligated with the vector pCR2.1 (TA cloning kit, Invitrogen, de Schelp, Netherlands) and transformed into *E. coli* according to the manufacturer's instructions. Recombinant plasmid DNA was purified using the GFX Plasmid Preparation Kit (Amersham Pharmacia, Freiburg, Germany), and used directly for cycle-sequencing with the Thermosequenase Fluorescent Labeled Primer cycle sequencing kit (Amersham Pharmacia, Freiburg, Germany). Sequencing reactions were analyzed on a LICOR 4000L automated DNA sequencer (MWG-Biotech, Ebersberg, Germany) and alignments were generated with ARB-software

(<http://www.arb-home.de/>). Full-length 16S rRNA gene sequences of the twelve reference strains were deposited in the EMBL nucleotide sequence database (see EMBL Accession Nos. AJ536031-AJ536042) and are provided in the sequence listing as SEQ ID NOs. 14-25.

- 5 Identification of mycobacteria from clinical sources was performed by PCR amplification of partial 16S rDNA and direct sequencing focusing on hypervariable regions A and B corresponding to *E. coli* 16S rDNA (SEQ ID NO. 29) positions 129 to 267 and 430 to 500, respectively, according to the protocol of Springer *et al.* (*J. Clin. Microbiol.* 34:296-303 (1996)).
- 10 The resulting sequences were compared with those of all 16S rRNA entries in the EMBL and GenBank databases by using the programs BLASTN and FASTA of the Husar program package (version 4.0; Heidelberg Unix Sequence Analysis Resources, DKFZ, Heidelberg, Germany). Clinical isolates were identified to the species level based
- 15 upon sequence identity in both hypervariable regions with a database entry, and a total sequence identity of >99%.

#### **Identification by PCR and MALDI-TOF.**

- PCR and MALDI-TOF analyses were done in triplicate for every mycobacterial strain. PCR amplification mixture contained PCR buffer
- 20 (Tris-HCl, KCl,  $(\text{NH}_4)_2\text{SO}_4$ ,  $\text{MgCl}_2$  (pH 8.7)) with a final  $\text{MgCl}_2$  concentration of 2.5 mM, 200  $\mu\text{M}$  (each) deoxynucleoside triphosphates, 1 U of HotStarTaq (QIAGEN GmbH, Hilden, Germany), 10 pmol of primer Myko109-T7 (5'-gtaatacgactcactataggg ACG GGT GAG TAA CAC GT-3' (SEQ ID NO. 41); corresponding to *E. coli* 16S rRNA from positions 105
  - 25 to 121), 10 pmol of primer R259-SP6 (5'-atttaggtgacactatagaa TTT CAC GAA CAA CGC GAC AA-3' (SEQ ID NO. 42); corresponding to *E. coli* 16S rRNA from positions 609 to 590) and 5  $\mu\text{l}$  DNA in a total volume of 50  $\mu\text{l}$ . PCR amplification was performed using a thermal cycler (Goldblock; Biometra, Göttingen, Germany) for 40 cycles of denaturation

(1 min, 95°C), annealing (1 min, 58°C), and extension (1 min 30 sec, 72°C), after an initial step of HotStarTaq activation (15 min, 95°C).

Amplification was verified by agarose gel electrophoresis.

### **RNA Transcription and RNase T1 Cleavage**

- 5 Forward strand RNA transcription was performed by incubation of 2.4  $\mu$ l PCR product, 10 U of T7 (or SP6) RNA polymerase (Epicentre), 0.5 mM each of ATP, GTP, UTP, and 5-Methyl ribo-CTP in 1x transcription buffer (6 mM MgCl<sub>2</sub>, 10 mM DTT, 10 mM NaCl, 10 mM Spermidine, 40 mM TrisCl (pH 7.9) at 20°C) for 2 h at 37°C. Ribo-CTP was replaced by
- 10 the chemically modified analog 5-Methyl ribo-CTP (Trilink, USA) to generate a mass difference between U and C. After transcription was performed, complete G-specific cleavage was achieved by adding 20 U of RNase T1 and 1 U shrimp alkaline phosphatase (SAP) and incubating at 30°C for 30 min.

### **15 Sample Conditioning and MALDI-TOF MS Analysis.**

- Each sample was diluted by adding 21  $\mu$ l of water. Conditioning of the phosphate backbone was achieved by adding 6 mg SpectroCLEAN™ resin (cation ion exchange resin loaded with ammonium ion; Sequenom, USA). After conditioning, 10 nl of sample was automatically transferred
- 20 onto a SpectroCHIP™ silicon chip (Sequenom, USA) preloaded with 3-HPA matrix using a pintool device. All mass spectra were recorded using a Biflex III mass spectrometer (Bruker Daltonik, Bremen, Germany). Exclusively positively charged ions were analyzed and approximately 50 single-shot spectra were accumulated per sample. All samples were
- 25 analyzed in linear time-of-flight mode using delayed ion extraction and a total acceleration voltage of 20 kV. Spectrum processing and peak assignment was performed using the software package XMASS 5.0, provided by the manufacturer (Bruker Daltonik) or in-house software for



baseline correction, peak identification and calibration to identify strains of clinical isolates by comparing their detected mass signal pattern with the reference sequence derived *in silico* pattern of the type strains and to *in silico* mass patterns of published 16S rDNA sequences.

## 5 RESULTS

### ***Mycobacterium* Isolates**

An approximately 500 bp region of the 16S rRNA gene corresponding to *E. coli* 16S rDNA positions 105-609 (SEQ ID NO. 29) was PCR-amplified from all type strains and clinical isolates. RNA  
10 transcription and base-specific cleavage resulted in unique MALDI-TOF mass spectra for all tested type strains.

A representative mass spectrum of *Mycobacterium tuberculosis* H37Rv (SEQ ID NO. 24) was assessed. The main cleavage products were assigned peak numbers of 1-27 and their nucleic acid composition  
15 and exact location within the uncleaved PCR amplicon was determined. Reference mass signals have been calculated from the reference sequence by *in silico* cleavage at all positions of guanine and correlated to mass signals detected by MALDI-TOF MS. Calculated fragments with a mass difference smaller than 4 Da could not be separated by the linear, axial  
20 MALDI-TOF MS. Corresponding detected cleavage products were assessed as one fragment only (peak nos. 2, 3, 4, 8, 9, 11, 12, 18).

Mass signals were classified either "MAIN" cleavage products (before the 3'-end of the amplicon) or "LAST" cleavage products (at the 3' end of the amplicon). Mass signals number 22, 24 and 25 were  
25 classified "LAST", because they represented cleavage products at the 3'-end of the transcript (all at position 510), differing by the addition of one 5-Methyl-CTP (3'fragment + 319.2 Da) or one ATP (3'fragment + 329.2 Da), respectively. Non-templated addition of a nucleotide to the 3'-end of

the RNA transcript reflected terminal transferase activity of T7-RNA polymerase, a feature well known for *Taq* DNA polymerases. The non-templated addition of nucleotides to the terminal fragments was included in the software-automated identification of fragments for all mycobacterial species to avoid misinterpretation.

Characteristic mass spectra of five representative mycobacterial type strains in a mass range between 1500 and 2600 Da were analyzed. *M. tuberculosis* (SEQ ID NO. 24), *M. avium* (SEQ ID NO. 15), *M. intracellulare* (SEQ ID NO. 19), *M. kansasii* (SEQ ID NO. 20) and *M. celatum* (SEQ ID NO. 16) were clearly differentiated by their unique mass spectra. *M. tuberculosis* was the only species lacking a fragment at 1828 Da. *M. celatum* showed a signal at 1884 Da not present within all other mass patterns. The spectrum of *M. kansasii* displayed no signal at 2180 Da. Mass spectra of *M. avium* and *M. intracellulare* differ from the other species by fragments at 2532 Da and 2157 Da, respectively.

*In silico*, discriminatory peak patterns of all mycobacterial species used in this study were compiled. The ranking was performed according to the number of missing and additional peaks as compared to the mass spectrum of *M. tuberculosis*. Only discriminatory peaks that were not present throughout all *Mycobacteria* species were included. *M. tuberculosis* could be clearly differentiated from other species on the basis of multiple additional or missing mass signals. *M. celatum* and *M. kansasii* were the closest species as compared to *M. tuberculosis* showing one missing and three additional peaks or two missing and two additional peaks, respectively. *M. marinum* (SEQ ID NO. 24) and *M. scrofulaceum* (SEQ ID NO. 22) differed by only two fragments (2453.5 Da, 2795.8 Da). All calculated mass patterns were confirmed experimentally. A comparison of all mass spectra resulted in unambiguous identification of all *Mycobacteria* species.

In the case of the *M. xenopi* type strain DSM 43995, comparison of experimental and calculated mass patterns revealed an additional mass peak at 4408.8 Da in MALDI TOF analysis. Cloning of the respective *M. xenopi* 16S rDNA amplicon (SEQ ID NO. 25) and repeated sequencing of  
 5 several plasmids resulted in the detection of three sequence variants differing in 1-2 base pairs at *E. coli* position 198 (T/C) and 434 (T/C). The sequence variation at *E. coli* position 198 is not detected in a G-specific cleavage reaction. The resulting dimeric fragments (50H-TG-3p and 50H-CG-3p) overlapped with cleavage products of the same  
 10 composition originating from different positions in the amplicon. Base-specific cleavage of an approximately 500 bp amplicon statistically results in all possible combinations of dimers, represented multiple times. In addition, the mass range below 1000 Da can be affected by background noise signals caused by matrix molecules, a feature specific to the use of  
 15 3-hydroxypicolinic acid matrices (3-HPA) in matrix-assisted laser desorption/ionization time-of-flight mass spectrometry.

Sequence variation at *E. coli* position 434 (T/C) affects a 14bp G-specific cleavage product. The nucleotide mass difference between a T (corresponding to U in cleaved RNA) and a C diminishes the mass of the  
 20 expected fragment by 13 Da. The detection of both mass signals at 4408.8 Da and 4421.8 Da indicates that the analyzed amplicon of the type strain contains of a mixture of both sequence variants.

After establishing a database including the twelve mycobacterial type strains, twenty-four clinical isolates were analyzed automatically  
 25 with MALDI-TOF mass spectrometry. G-specific cleavage of RNA-transcribed 16S rDNA amplification products and mass spectrometry led to unambiguous identification of twenty-one isolates. Of the twenty-one isolates, eight were identified as *M. tuberculosis* (SEQ ID NO. 24) and two isolates were identified from each of *M. avium* (SEQ ID NO. 15), *M.*

*gordonae* (SEQ ID NO. 18), *M. intracellulare* (SEQ ID NO. 19) and *M. xenopi* (SEQ ID NO. 25). The remaining five isolates were identified as *M. chelonae* (SEQ ID NO. 85), *M. fortuitum* (SEQ ID NO. 17), *M. kansasii* (SEQ ID NO. 20), *M. marinum* (SEQ ID NO. 21) and *M. smegmatis* (SEQ ID NO. 23).

All isolates representing species from the type strain database were identified correctly in repeated experiments. Three clinical isolates representing *M. aurum* (MT1 323), *M. paraffinicum* (MT1 423) and *M. interjectum* (MT1 223) could not be identified after MALDI-TOF analysis of their RNA cleavage products. The database lacked the corresponding *in silico* mass pattern of all three species. An extension of the database with the species specific mass signal pattern calculated from published 16S rDNA sequences of *M. paraffinicum* (SEQ ID NO. 26), *M. interjectum* (SEQ ID NO. 27) and *M. aurum* (SEQ ID NO. 28) led to correct identification in all corresponding experiments.

### ***Bordetella* Strains**

Three known *Bordetella* species, *Bordetella avium*, *Bordetella trematum*, and *Bordetella petrii* and six as yet uncultured bacteria of anaerobic, organochlorine-reducing microbial consortia (see von Wintzingerode *et al.* (*Proc. Natl. Acad. Sci. U.S.A.* 99(10):7039-7044 (2002)) also were analyzed by the methods described above by amplifying their variable 16S rRNA gene region (see SEQ ID NOs. 30-38) using eubacterial primers TPU1 (SEQ ID NO. 39) and RTU8 (SEQ ID NO. 40). As described, the mass difference of 1 Da between ribo-CTP and ribo-UTP nucleotides was increased by replacement of either pyrimidine base with its 5 Me-analog, without detectable loss of transcription yield. G-specific cleavage with RNase T1 produced a characteristic pattern of fragment masses, which was indicative of the individual 16S rRNA gene target sequences. All six as yet uncultured *Bordetella* strains were

identified unambiguously and the results were concordant with those obtained by standard fluorescent dideoxy sequencing.

## EXAMPLE 5

### Detection of Methylation Patterns by Base-Specific Fragmentation

5           The covalent addition of methyl groups to cytosine is primarily observed at CpG dinucleotides. These CpG islands are observed less frequently than other dinucleotides, and less frequently than would be expected for a random nucleic acid sequence. A high number of CpG dinucleotides is observed at the promoter region and at the 5' end of  
10 genes. Provided herein is an exemplary protocol for using fragmentation analysis to study methylation patterns in a target sequence. The fragments generated according to the exemplary protocol herein may be analyzed according to the methods provided herein for studying variations in the methylation pattern of a target sequence relative to a reference  
15 sequence.

Genomic DNA containing methylated cytosine can be treated with sodium bisulphite, where the non-methylated cytosine converts to uracil but methylated cytosine remains cytosine. After bisulphite treatment, the top and bottom strands are no longer complementary. This methylation  
20 dependent sequence variation can serve as a basis for analysing methylation patterns. Detection of methylation associated sequence variation using mass spectrometry can be accomplished by creating defined fragments, where methylation results in mass shift of affected fragments.

25           Detection of cytosine methylation was tested at the Igf2/H19 locus of chromosome 11.p15.5 (SEQ ID NO. 43). A sequence between H19 and Igf2 known as the imprinting control region (ICR) is completely methylated in sperm and completely unmethylated in oocytes. In adult

blood samples, the IGF2/H19 region is methylated only on one parental allele. Igf2 is an essential fetal growth factor, and its misregulation plays a role in Beckwith-Wiedemann syndrome and Wilms Tumor. H19 is an enigmatic untranslated RNA whose function is still unknown. For

- 5 Igf2/H19, the differentially methylated ICR is necessary for imprinted transcription of both genes.

Bisulphite treatment of genomic DNA was followed by PCR.

Primers for PCR contained a transcription tag at the 5' end for T7 or SP6 polymerase. In some cases a transcription tag containing 6 bases

- 10 (agaagg) is placed between polymerase tag and DNA binding site of the oligo. This improved the transcription reaction and helps suppress the effect of premature termination.

RNA transcription was done in a 384 well plate format. After adding the transcription mastermix to the PCR product, transcription was

15 performed @37°C for 2h. Next, the cleavage enzyme mix was added to the transcription reaction. Afterwards an ion exchanger was added, and the reaction solution was spotted on a chip and analysed by MALDI-TOF MS.

RNA cleavage can be done with two different enzymes:

- 20 Endoribonuclease RNase T1 and RNase A. Both act on single stranded RNA by cleaving the phosphodiester bond but differ in their target nucleotides. RNase T1 cleaves between 3'-guanylic residues and the 5'-hydroxy residues of flanking nucleotides. This reaction yields oligonucleotides with a terminal 3'-GMP. RNase A specifically attacks
- 25 RNA at C and U residues. RNase A catalyzes cleavage between the 5'-ribose of a nucleotide and the phosphate group attached to the 3'-ribose of a flanking pyrimidine nucleotide.

After RNase treatment, SAP was added to the cleavage reaction to reduce the quantity of cyclic monophosphate side products.

A mutant polymerase T7 was used to incorporate either dCTP or dTTP into the transcript. This permitted base specific cleavage at U or C residues when dCTP or dTTP, respectively, was incorporated, and also circumvented the problem arising from the almost identical masses of rCTP and rTTP.

Therefore there are six theoretically possible cleavage schemes of one sequence:

10

	Forward primer T7 tagged	Reverse Primer T7 tagged
RNase T1	G specific cleavage	G specific cleavage
RNase A; dCTP	T specific cleavage	T specific cleavage
RNase A; dTTP	C specific cleavage	C specific cleavage

15

In one example, a bisulfite treated DNA Sequence like TAAAC<sup>(5'me)</sup>GCAT will remain TAAACGTAT if methylated at the cytosine at the fifth position and will convert to TAAATGTAT if not methylated.

The transcription product of the M32053 target region is a 430 nucleotide long fragment containing both the **ggg** transcription start and a **agaagg** tag and the 421 nucleotide long transcription product. The number of resulting fragments after base specific cleavage depends on the cleavage scheme, the transcription direction and the methylation status.

## RESULTS

### RNAse A CLEAVAGE

#### Forward transcript:

Spectra of methylated samples were clearly distinguished from non-methylated samples. In all cases of CpG methylation a new fragment was created that could be assigned to methylation in those fragments. Five of those fragments contained two CpG sites and two signals were created by two fragments containing one CpG site each. In some cases it was not clearly differentiable which one of the CpG sites was responsible for the detected signal; in those cases, the absence of signals resulting from non methylated CpG islands helped to identify the methylation status.

#### Reverse transcript:

Methylated and non-methylated samples were clearly distinguishable. In contrast to the forward transcription, every methylation event resulted in a mass shift of the corresponding signal. Signal intensity was slightly better compared to the forward reaction.

### RNAse T1 CLEAVAGE:

Signal intensity overall was lower than in RNAse A cleaved samples. The transcription results were best with wildtype T7 polymerase. Addition of SAP to the cleavage reaction as well as fitting in an **agaagg** tag into the primer did not improve efficiency.

#### Forward transcript:

In the forward reaction, methylated samples were clearly distinguished from non-methylated ones. The mass shifts of 13 d in the



5 methylated samples were sometimes hard to detect in clusters of signals, because the peaks were close together.

#### Reverse transcript:

5 The reverse reaction was more complicated in the non-methylated samples compared to the other transcriptions. Because there was no cytosine in the forward strand, there was no guanosine in the reverse transcript, and, therefore, there was no recognition site for the enzyme to cut. Therefore, signal intensity was weak.

#### METHYLATION PATTERN OF IGF2/H19 IMPRINTED REGION M32053

10 The methylation pattern of the m32053 region was clearly distinguished in methylated and non-methylated DNA. The analysed samples were either completely methylated or not methylated. Previous articles described complete segregation of methylated and non methylated DNA in germlines and also further stages of maturity. The DNA CpG site  
15 at position 470 was clearly typed methylated. The data also confirmed methylation of the CpNpG site at position 347.

#### METHYLATION RATIO

In order to determine methylation ratios in DNA samples different amounts of methylated and nonmethylated DNA were pooled.

20 Determination of the plasmid DNA concentration was performed with Pico Green fluorescent assay.

The analysed samples had a rising concentration of methylated DNA. DNA pools containing 0%, 0.5%, 1%, 5%, 10%, 20% ... 90%, 95%, 99%, 99.5% and 100% methylated DNA were analysed. RNAse A  
25 cleavage was performed in both transcription directions. There was no significant difference in accuracy or reliability comparing the forward and

the reverse reaction. Peak area was measured to examine the methylation ratios of methylated vs. non methylated.

- Methylation ratios were determined in a range from 10 - 90% methylated DNA with an accuracy of  $\pm 2\%$ . The accuracy decreases in the high and in the low ranges of methylated DNA. In samples where the concentration of methylated DNA falls under 5%, the corresponding peak becomes difficult to resolve from background. Therefore, the detection limit was in between about 1–5% methylated DNA.

#### GENOMIC DNA

- The analysis showed the methylated and the non-methylated clone in a 50/50 ratio. This indicates equal PCR amplification of methylated and non-methylated alleles in a genomic DNA.

#### COVERAGE AND REDUNDANCY

- In theory, each methylated CpG can generate a specific fragment resulting in at least one indicative mass signal in the mass spectrum. Some of these signals might not be detectable because their masses fall in the high or low mass cut off. MALDI-TOF equipment can allow detection of cleavage products with a mass between 1000 to 11000 Da, equivalent to fragments about 3 to 35 nucleotides in length. Depending on the target nucleic acid sequence, one reaction alone can allow determination of the methylation status of, for example, around 75% of all CpG sites within the target nucleic acid. To obtain the information about all CpG sites, two to four reactions can be used, where the reactions can include C or T specific cleavage of the forward or reverse transcription products. This combination can permit base specific cleavage at every nucleotide on the forward strand, since C specific cleavage on the reverse strand is equivalent to G specific cleavage on the forward strand, and T specific cleavage on the reverse strand is equivalent to A specific

cleavage on the forward strand. The combined information from two to four cleavage reactions can allow compilation of the exact methylation pattern. For the IGF2/H19 region, even two reactions were sufficient to obtain the methylation status for each CpG site. Using four reactions

5 provided redundant information, where 92% of all CpG sites were represented by more than one signal. Thus, each methylation event was independently confirmed by one or more observations.

Methylation analysis using RNA fragmentation combined with MALDI-TOF MS detection is a successful technique offering the potential

10 of high throughput analysis combined with the use of small amounts of poor quality DNA. It is not only a qualitative but also a quantitative method. The fragments generated according to the exemplified protocol can be used for analysis according to the methods provided herein.

## EXAMPLE 6

### 15 Analysis of Sequence Variations in Sample Mixtures

The aim of this study was to perform analysis of sequence variations in a target sequence relative to a reference sequence by base-specific fragmentation in samples with different DNA ratios of wildtype and mutant DNA, and to evaluate detection sensitivity.

### 20 MATERIALS AND METHODS

The DNA was a 269 bp amplicon derived from the oncogene K-Ras (SEQ ID NO. 44). DNA samples contained either the wild-type sequence or a K-Ras mutant sequence derived from tumor cell lines. DNA samples (Samples A, B, C, D and E) were mixed in different ratios of wildtype and

25 heterozygote mutated DNA. The ratio of mutated DNA in the mixture varied from 0% to 50% per sample as represented in the table below:

	<u>DNA Name</u>	<u>Ratio of wt DNA to heterozygote mutated DNA</u>	<u>Percent mutated DNA</u>
	DNA A	1 : 1	25 %
	DNA B	9 : 1	5 %
	DNA C	0 : 1	50 %
5	DNA D	4 : 1	10 %
	DNA E	1 : 0	0 %

Each DNA sample contained 50 ng (5  $\mu$ l of 10 ng/ $\mu$ l). The homogenous base-specific cleavage reactions according to the protocol provided in Example 1 were performed four times on four different days. The fragments obtained by differential cleavage of the mutant amplicon relative to the wild-type amplicon were analyzed by mass spectrometry, followed by analysis of the mass spectral fragment peaks according to the methods provided herein.

## 15 RESULTS

A G/A substitution at position 216 was detected in the mutant amplicon. The mutation was confirmed by a mass shift in the C specific forward reaction from 2313d in the G allele to 2297d in the A allele. Detection of this signal was necessary to identify the presence of an SNP in the mutant sequence. The signal at 2297d (corresponding to the A allele) was detected in all DNA samples A, B, C, and D, even when the mutant allele was only present at a level of 5% (DNA sample B).

Since modifications will be apparent to those of skill in this art, it is intended that this invention be limited only by the scope of the appended claims.